

KOSZUL HOMOMORPHISMS AND UNIVERSAL RESOLUTIONS IN LOCAL ALGEBRA

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ABSTRACT. We define a local homomorphism $(Q, k) \rightarrow (R, \ell)$ to be Koszul if its derived fiber $R \otimes_Q^L k$ is formal, and if $\mathrm{Tor}^Q(R, k)$ is Koszul in the classical sense. This recovers the classical definition when Q is a field, and more generally includes all flat deformations of Koszul algebras. The non-flat case is significantly more interesting, and there is no need for examples to be quadratic: all complete intersection and all Golod quotients are Koszul homomorphisms. We show that the class of Koszul homomorphisms enjoys excellent homological properties, and we give many more examples, especially various monomial and Gorenstein examples. We then study Koszul homomorphisms from the perspective of A_∞ -structures on resolutions. We use this machinery to construct universal free resolutions of R -modules by generalizing a classical construction of Priddy. The resulting (infinite) free resolution of an R -module M is often minimal, and can be described by a finite amount of data whenever M and R have finite projective dimension over Q . Our construction simultaneously recovers the resolutions of Shamash and Eisenbud over a complete intersection ring, and the bar resolutions of Iyengar and Burke over a Golod ring, and produces analogous resolutions for various other classes of local rings.

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1. INTRODUCTION

The phenomenon of Koszul duality has been observed in many forms across algebra, geometry and topology. It provides explicit computational tools for answering homological questions and opens up deep connections between a number of seemingly unrelated areas of mathematics. The goal of the present work is to develop a relative theory of Koszul duality in local commutative algebra, and to give concrete applications for understanding infinite free resolutions.

For a finite homomorphism $\varphi: Q \rightarrow R$ of commutative noetherian local rings, the derived fiber $F = R \otimes_Q^L k$, where k is the residue field of Q , is a differential graded k -algebra that encodes important ring theoretic properties of φ . We define φ to be *Koszul* if F is formal (see 2.3), and if its homology $H(F) = \text{Tor}^Q(R, k)$ is a Koszul k -algebra (see 2.1). This recovers the classical definition when the source is a field. Through the looking glass that connects local algebra with rational homotopy theory, the definition is directly analogous to Berglund's notion of a Koszul space.

Flat local maps that have a Koszul fiber are natural examples of Koszul homomorphisms, but the non-flat case is significantly more interesting: all complete intersection and all Golod quotient homomorphisms are Koszul, and we give many other monomial and Gorenstein examples. In particular, there is no need for the homomorphism to be quadratic in any sense.

The definition also has structural consequences connecting the homological algebra over R and Q . Our main theorem provides an algorithmic way to transfer free resolutions over Q into free resolutions over R . To achieve this we introduce a slightly stronger “strictly Koszul” property (see 7.2) that is satisfied in our main examples. These ideas borrow from a long history, and we will discuss the context and technology behind the construction following this summary of our main results.

Theorem A. *For any strictly Koszul local homomorphism $\varphi: Q \rightarrow R$ there is a non-negatively graded, degreewise finite rank free Q -module C such that:*

- (1) *For each finitely generated R -module M with a minimal Q -free resolution $G \rightarrow M$, there is a differential ∂^τ on the graded R -module $R \otimes C \otimes G$ such that the resulting “twisted tensor product” complex*

$$(R \otimes C \otimes G, \partial^\tau) \xrightarrow{\sim} M$$

is an R -free resolution of M . If R and M have finite projective dimension over Q , then both C and the twisted tensor product differential can be explicitly described in their entirety with a finite amount of data.

- (2) *Assume that φ is small (a central case of interest is (Q, \mathfrak{m}_Q) regular and $\ker(\varphi) \subseteq \mathfrak{m}_Q^2$). The twisted tensor product complex is minimal for the residue field k of R . More generally, the resolution is minimal whenever M is inert with respect to φ , in the sense of Lescot. In particular,*

$$\sum_i \text{rank}_Q(C_i) t^i = \frac{P_k^R(t)}{P_k^Q(t)}.$$

The following homomorphisms are strictly Koszul:

- (a) *Surjective complete intersection homomorphisms.*
- (b) *Surjective Golod homomorphisms.*
- (c) *Surjective Gorenstein homomorphisms of projective dimension three or less.*
- (d) *Cohen presentations of compressed artinian Gorenstein rings having characteristic zero, odd embedding dimension, and socle degree not 3.*

Part (1) of the Theorem, with an explicit description of the twisted tensor product differential, is Theorem 7.8, while part (2) is contained in Theorem 7.11. The examples (a)–(d), and several more, are introduced in Section 3 and treated again in Section 8, with a complete description of the corresponding C in each case.

Universal resolutions, that is, free resolutions over a ring that are defined in a uniform way for all finitely generated modules, have been of central interest in homological commutative algebra since at least the 60s, often importing tools such as Massey operations and bar resolutions from algebraic topology.

Let $\varphi: Q \rightarrow R$ be a local homomorphism. Shamash constructed universal resolutions for R -modules when φ is a hypersurface quotient [Sha69], and these were clarified and extended to complete intersection quotients by Eisenbud using the theory of higher homotopies [Eis80]. Burke recognized in [Bur15] that the higher homotopies are a manifestation of certain A_∞ -structures (we will return to these later in the introduction). In the presence of a Q -free differential graded algebra resolution $A \rightarrow R$, and a Q -free differential graded A -module resolution G of an R -module M , Iyengar constructed a bar resolution for M over R [Iye97]. By endowing A with an A_∞ -algebra structure, and G with an A_∞ -module structure over A , Burke constructed a bar resolution even when associative multiplicative resolutions do not exist [Bur15]. The resulting resolution is minimal when M is Golod with respect to φ , and is otherwise typically far from minimal. Theorem A recovers both the resolutions of Shamash and Eisenbud, when φ is a complete intersection quotient, and the bar resolutions of Iyengar and Burke, when φ is a Golod quotient.

In parallel, the universal resolutions introduced by Priddy over Koszul algebras [Pri70] have had far-reaching impact, not least as a computational tool. Our theory directly builds on and recovers his construction, while providing a common framework for the universal resolutions above.

The technical foundation for our universal resolutions is in Section 6. Here we develop a general theory of twisted tensor products over a commutative ring Q . The data that goes into this construction is a curved differential graded coalgebra C over Q , a quasi-isomorphism $\Omega(C) \rightarrow R$ from the cobar construction of C to R , and a differential graded module structure over $\Omega(C)$ on G . These terms are defined in Section 4. From this, in Theorem 6.5, we construct a canonical resolution

$$R \otimes^\tau C \otimes^\tau G = (R \otimes C \otimes G, \partial^\tau) \xrightarrow{\simeq} M.$$

The key to proving Theorem A is to show that C can be defined in an explicit, canonical, and minimal way when φ is strictly Koszul. We will return to this at the end of the introduction, with more context in hand.

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We turn our attention back to the Koszul homomorphisms. The first half of this work develops the theory of these maps; this part of the paper does not involve A_∞ -structures, using only ordinary differential graded algebras.

Similar Koszul-type conditions have been considered by other authors [CDG⁺20, HI05, HRW98, Mye21], and we compare our definition with theirs in Remark 2.18. We motivate our condition as well by drawing connections with other areas, such as rational homotopy theory (Remarks 2.5 and 3.18) and toric topology (Remark 3.27).

We pay particular attention to the case that Q is regular. In this situation, the resolutions constructed in Theorem A essentially depend only on the ring R , and they are always finitely determined. When R is a local ring such that every Cohen

presentation $\varphi: Q \rightarrow \widehat{R}$ is a Koszul homomorphism, we say that R is *Cohen Koszul*; see Section 2.8. These rings enjoy excellent homological properties while being surprisingly abundant; they behave in many ways like classical Koszul algebras despite not necessarily being quadratic.

We show that Cohen Koszul local rings have rational Poincaré series that can be computed explicitly from their Koszul homology:

$$P_k^R(t) = \frac{(1+t)^e}{\sum_{i,w} (-1)^w \operatorname{rank}_k(H_i(K^R)_{(w)}) t^{i+w}};$$

see Proposition 2.11, where the notation is explained.

Section 3 is devoted entirely to examples, and we prove that surjective complete intersection homomorphisms are Koszul (Example 3.2), along with surjective Golod homomorphisms (Example 3.5), and surjective Gorenstein homomorphisms of projective dimension three (Example 3.12). We exactly determine the local rings of codepth three or less that are Cohen Koszul in terms of the classification into the types described in [AKM88]; we find that in every type except one the local ring is Cohen Koszul (Theorem 3.10). Graded local rings having an almost linear resolution in the sense of [DE22] are also Cohen Koszul (Remark 3.17). We treat monomial rings in Section 3.20, making connections with combinatorial commutative algebra and with the topology of moment angle complexes. Monomial rings are classically Koszul exactly when they are quadratic [Frö75], while Cohen Koszul monomial rings need not be, and we produce many nontrivial examples in Proposition 3.22. We further give examples that illuminate how the Koszul condition relates with classical Koszulity, formality, being quadratic, and various other technical conditions.

One of our main examples is a class of rings that we call *almost Golod Gorenstein*, treated in Section 3.13. Within the class of Gorenstein local rings, these display extremal homological behavior analogous to Golod rings within the class of all local rings; cf. Proposition 3.19. In Theorem 3.16 we establish a characterization in terms of the derived fiber that is similar to Avramov's characterization of Golod rings [Avr86], and, under some additional technical assumptions, we deduce that almost Golod Gorenstein rings are Cohen Koszul.

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In the second half of the paper we study Koszul homomorphisms from the perspective of A_∞ -structures on resolutions. An A_∞ -algebra is a complex A equipped with multilinear operations $m_n: A^{\otimes n} \rightarrow A$ for $n \geq 2$ that together satisfy certain associativity conditions generalizing the definition of a differential graded algebra (which one recovers by assuming $m_n = 0$ for $n \geq 3$). These objects were introduced by Stasheff to characterize loop spaces in algebraic topology [Sta63a].

Koszulity is well-known to be connected with formality (Remark 2.5), and in turn it has been understood since [Kad82] that formality can be made visible through A_∞ -structures. In the present context, these structures are important because they carry the information necessary to construct the universal resolutions in Theorem A while being flexible enough that all resolutions can always be given A_∞ -structures.

An introduction to A_∞ -algebras and A_∞ -modules over commutative rings is given in Section 4. In Section 5 we prove some quite general transfer results, in particular, constructing A_∞ -structures on minimal resolutions of local rings and

modules. Burke was one of the first to develop and apply the theory of A_∞ -algebras over a commutative ring (rather than over a field) [Bur15, Bur18], and our treatment owes a substantial intellectual debt to his work.

We develop the theory of cyclic A_∞ -algebras over commutative rings in Section 5.6. These were introduced by Kontsevich as part of his homological mirror symmetry program [Kon94]. These A_∞ -algebras possess extra structure that takes advantage of the Poincaré duality on the minimal resolution of a Gorenstein ring, and we apply this theory to almost Golod Gorenstein rings.

With this perspective in hand we return to Koszul homomorphisms in Section 7. The next result shows that, at the derived level, Koszul homomorphisms may be thought of as deformations of classical Koszul algebras. A more precise and more general statement is given in Theorem 7.1. We write $T(V)$ for the tensor algebra $\bigoplus_{n \geq 0} V^{\otimes_Q n}$ on a graded Q -module V .

Theorem B. *A surjective local homomorphism $\varphi: Q \rightarrow R$ is Koszul if and only if there is a positively graded, degreewise finite rank free Q -module V , a direct summand $W \subseteq V \otimes_Q V$, and an A_∞ -structure $\{m_n\}$ on $A = T(V)/(W)$ such that*

- (1) *the induced quotient $A \rightarrow R$ is an A_∞ -algebra quasi-isomorphism,*
- (2) *modulo the maximal ideal of Q , the A_∞ -structure $\{m_n\}$ on A agrees with the usual algebra structure on $T(V)/(W)$, that is,*

$$m_2 \otimes_Q k = \mu \otimes_Q k \quad \text{and} \quad m_n \otimes_Q k = 0 \quad \text{for } n \neq 2,$$

where μ is the usual product on the quotient of a tensor algebra,

- (3) *the k -algebra $T(V \otimes_Q k)/(W \otimes_Q k)$ is Koszul with this algebra structure.*

Coming full circle, we are now able to describe the coalgebra C that appears in Theorem A. Fixing a Koszul homomorphism φ with V and W as in Theorem B, we define

$$C := \bigoplus_n \left(\bigcap_{i+2+j=n} V^{\otimes_Q i} \otimes_Q W \otimes_Q V^{\otimes_Q j} \right).$$

This is modeled on the work of Priddy [Pri70]. By construction, the graded Q -dual C^\vee is the quadratic dual $T(V^\vee)/(W^\perp)$ of the algebra $T(V)/(W)$. The strict Koszul condition introduced in Section 7.2 guarantees that the A_∞ -structure on A induces the structure of a curved differential graded coalgebra on C ; see Definition 7.3. We think of C as Koszul dual to R relative to Q , as justified by Theorem 7.6.

We conclude the paper with a reexamination of examples, in Section 8. We start by showing that certain deformations of classical Koszul algebras yield strictly Koszul homomorphisms, and we obtain resolutions that directly deform the original resolutions of Priddy. We study surjective Golod homomorphisms in Example 8.2; in this case $C = B(A)$ is the bar construction of the A_∞ -algebra A , and we recover the bar resolution of Iyengar and Burke. In Example 8.4 we show that surjective Gorenstein homomorphisms of projective dimension three are strictly Koszul, and describe C as the dual of a noncommutative hypersurface. We show that surjective complete intersection homomorphisms are strictly Koszul in Section 8.7, and we show that C is the free divided power algebra on V ; our twisted tensor products encode the theory of higher homotopies, and the resulting resolutions recover those of Shamash and Eisenbud. We end by treating almost Golod Gorenstein rings in Section 8.15; this requires a substantial amount of machinery, and the result is a large class of interesting Gorenstein rings over which we have explicit, small, universal resolutions.

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2. KOSZUL HOMOMORPHISMS

In this section we discuss the Koszul property in various settings, starting from the classical notion for an algebra over a field and leading up to a definition of a Koszul local homomorphism. Examples have been collected in the Section 3. While later sections exploit the machinery of A_∞ -algebras, this section requires only knowledge of differential graded (dg) algebras; a suitable reference for the latter is [Avr98].

We fix a field k , and work with local rings having residue field k . We also consider modules with two \mathbb{Z} -gradings, the *weight grading* and the *homological grading*. The weight grading is denoted $M = M_{(*)}$ and the corresponding shift $M(d)$ is given by $M(d)_{(w)} = M_{(w+d)}$. For the homological grading we write $M = M_\bullet$ and the suspension $\Sigma^d M$ is given by $(\Sigma^d M)_i = M_{i-d}$. The homological degree of an element $m \in M$ is denoted $|m|$. We assume that the two gradings are *compatible* in the sense that M is bigraded by its submodules $M_{i,(w)} := M_i \cap M_{(w)}$. If M is a complex, the differential ∂^M should preserve the weight grading and decrease the homological grading by one, and we equip ΣM with the differential $\partial^{\Sigma M} := -\partial^M$.

Definition 2.1. An augmented k -algebra K is *Koszul (over k)* if it admits an algebra grading $K = \bigoplus_{w \geq 0} K_{(w)}$, known as a *weight grading*, such that $K_{(0)} = k$ and such that the minimal resolution of k is linear with respect to this grading.

Remark 2.2. Definition 2.1 is essentially the classical definition of a Koszul algebra due to Priddy [Pri70, Section 2], see also [Löf86, Frö99], except that we do not consider the weight grading to be part of the given data. We emphasize that the additional grading may be *different* to the given one; cf. Remark 3.3. By [BGS96, Section 2.3] a Koszul algebra is quadratic with respect to this new grading; that is,

generated as an associative k -algebra by elements of weight one, subject to relations of weight two. For example if U is a graded vector space then the trivial extension algebra $K = k \ltimes U$ is a graded augmented algebra, and the weight grading $K_{(0)} = k$ and $K_{(1)} = U$ makes K Koszul; see Example 3.4.

Next we define a Koszul property for dg algebras. This definition appears implicitly in the work of Berglund [Ber14], where it is applied to Sullivan models for topological spaces; it is *not* the same as the Koszul property introduced in [HW08], which is too general for our purposes.

2.3. Let A and B be dg k -algebras. Recall that A is *quasi-isomorphic* to B , denoted $A \simeq B$, if there exists a zig-zag of quasi-isomorphisms of dg k -algebras connecting A and B . A dg k -algebra K is called *formal* if it is quasi-isomorphic to $H(K)$.

Definition 2.4. An augmented dg k -algebra K is *Koszul* if it is formal and $H(K)$ is Koszul in the sense of Definition 2.1.

Remark 2.5. It is well-known that formality is closely related with the Koszul property. In fact, an augmented k -algebra K is Koszul in the sense of Definition 2.1 if and only if the dg k -algebra $R\text{Hom}_K(k, k)$ is formal; see [GM74] and also [Kel02, 2.2] and [Ber14, Theorem 2.9]. This condition is sometimes called *coformality* of K . From this perspective, a dg k -algebra K is Koszul in the sense of Definition 2.4 if and only if it is both formal and coformal.

Before introducing the Koszul property for local homomorphisms, we need to recall the notion of the derived fiber. Let $\varphi: Q \rightarrow R$ be a local homomorphism of commutative noetherian local rings having maximal ideals \mathfrak{m}_Q and \mathfrak{m}_R , respectively, and common residue field k .

Let $A \rightarrow R$ be a dg algebra resolution of R over Q ; that is, A is a dg algebra concentrated in non-negative degrees, such that A is degreewise a free Q -module, and $A \rightarrow R$ is a morphism of dg algebras inducing an isomorphism in homology. The *derived fiber* of φ is the dg k -algebra

$$R \otimes_Q^L k := A \otimes_Q k.$$

Up to a zig-zag of quasi-isomorphisms of dg k -algebras, $R \otimes_Q^L k$ is independent of the choice of A . For more information see [Avr86]. We remark that one can equally well use a different species of model for the resolution A , such as simplicial algebras or A_∞ -algebras, and obtain an equivalent definition of Koszul homomorphism. Indeed, we make use of A_∞ -models in Section 7.

Definition 2.6. Let $\varphi: Q \rightarrow R$ be a finite local homomorphism. We say that φ is *Koszul* if $R \otimes_Q^L k$ is a Koszul dg k -algebra; that is, $R \otimes_Q^L k$ is formal and its homology $\text{Tor}^Q(R, k)$ is Koszul in the sense of Definition 2.1.

According to Definition 2.1, when φ is Koszul, the Tor algebra $\text{Tor}^Q(R, k)$ admits a quadratic presentation, albeit not necessarily generated by elements in homological degree one.

Taking $Q = k$ to be a field, we recover the classical definition: The homomorphism $k \rightarrow R$ is Koszul if and only if R is a Koszul k -algebra; cf. Definition 2.1.

The examples given in the next section show that Koszul homomorphisms are extremely common. In particular, this class includes all flat local homomorphisms

whose fibers are (classically) Koszul; all complete intersection and all Golod homomorphisms; Cohen presentations of most local rings having codepth at most 3, and of generic Gorenstein rings.

Remark 2.7. When the minimal Q -free resolution A of R admits a dg algebra structure, the derived fiber $R \otimes_Q^L k = A \otimes_R k$ has zero differential, and is automatically formal. This is the case for complete intersection homomorphisms (Example 3.2) and when $\text{proj dim}_Q(R) \leq 3$ (Theorem 3.10). When φ is Golod the minimal resolution typically does not support a dg algebra structure, cf. Remark 8.3, but nonetheless $R \otimes_Q^L k$ is formal (Example 3.5). The monomial rings presented in Examples 3.6 and 3.24 yield non-Koszul homomorphisms; the corresponding minimal resolution does not admit a dg algebra structure in the former example (see [Avr81, 2.2]), but it does in the latter example.

2.8. Cohen Koszul local rings. Recall that every local ring R admits a Cohen presentation, that is, a surjection $\varphi: Q \rightarrow \hat{R}$ from a regular local ring Q , and one may assume that φ is minimal in the sense that $\ker(\varphi) \subseteq \mathfrak{m}_Q^2$.

Definition 2.9. A local ring R is *Cohen Koszul* if every homomorphism $\varphi: Q \rightarrow \hat{R}$ is Koszul, where Q is a regular local ring and φ is surjective with $\ker(\varphi) \subseteq \mathfrak{m}_Q^2$. In other words, every minimal Cohen presentation of R is Koszul.

Remark 2.10. For equicharacteristic rings the minimal Cohen presentation $Q \rightarrow \hat{R}$ is essentially unique. In this situation, if R is already a quotient of a regular local ring, then by Proposition 2.16 there is no need to complete R to determine whether R is Cohen Koszul.

If we assume that R contains its residue field k , then the Koszul complex K^R on the maximal ideal of R is a dg k -algebra. It is well-known that

$$K^R \simeq \hat{R} \otimes_Q^L k$$

as dg k -algebras, see for example [ABIM10, Theorem 8.1]. Therefore in this situation we can say that R is Cohen Koszul exactly when K^R is a Koszul dg k -algebra.

If R does not contain its residue field, the fact that K^R is not a dg k -algebra introduces subtleties. The distinction between formality of dg k -algebras and formality of dg rings means that it is not clear whether Definition 2.9 is independent of the choice of Cohen presentation. In all of our examples however, the choice will be irrelevant.

Complete intersection rings are Cohen Koszul by Example 3.2, Golod rings are Cohen Koszul by Example 3.5, and most rings of codepth 3 are Cohen Koszul according to Theorem 3.10.

Cohen Koszul local rings have rational Poincaré series. Recall that the Poincaré series of a finitely generated R -module M is

$$P_M^R(t) = \sum_{n \in \mathbb{Z}} \text{rank}_k(\text{Tor}_n^R(M, k)) t^n.$$

Proposition 2.11. *Let R be a Cohen Koszul local ring with embedding dimension e and residue field k . Fix a weight grading making the Koszul homology $H(K^R)$ a Koszul k -algebra. Then*

$$P_k^R(t) = \frac{(1+t)^e}{\sum_{i,w} (-1)^w \text{rank}_k(H_i(K^R)_{(w)}) t^{i+w}}.$$

Remark 2.12. We note that since $H_*(K^R)$ is generated in weight 1, the rank of $H_i(K^R)_{(w)}$ is equal to the rank of $[H_{>0}(K^R)^w / H_{>0}(K^R)^{w+1}]_i$. Therefore is is not necessary to *choose* a weight grading to calculate the Poincaré series above.

Proof. Let $T = H(K^R)$, bigraded by homological degree and by weight, and write

$$H_T(s, t) := \sum_{i,w} \text{rank}_k(T_{i,(w)}) t^i s^w \quad \text{and} \quad P_k^T(s, t) := \sum_{i,w} \text{rank}_k(\text{Tor}_w^T(k, k)_i) t^i s^w.$$

In $\text{Tor}_w^T(k, k)_i$, the index w is the usual homological grading of Tor , and i is the extra grading that comes from the homological grading on T . Since T is Koszul with respect to its weight grading, the usual computation of the Poincaré series of a Koszul algebra shows that $H_T(s, t) P_k^T(-s, t) = 1$; see [Löf86].

Let $Q \rightarrow \widehat{R}$ be a minimal Cohen presentation. Formality of $\widehat{R} \otimes_Q^L k$ implies that the spectral sequence [Avr81, 6.2.1] is degenerate, and so from [Avr81, 6.2 (b')] we obtain the first equality below, which yields the desired series

$$P_k^R(t) = (1+t)^e P_k^T(t, t) = \frac{(1+t)^e}{H_T(-t, t)}. \quad \square$$

Proposition 2.11 recovers the known Poincaré series for complete intersection rings, Golod rings, and almost Golod Gorenstein rings; see Section 3 for the latter.

There are a number of results that apply to certain subsets of Cohen Koszul rings, motivating the study of whether such a property holds for these rings in general. We highlight a couple instances below.

Remark 2.13. Recently, Brown–Dao–Sridhar have shown that over complete intersection and Golod rings, the ideals of minors of differentials in minimal free resolutions are eventually two-periodic [BDS23]. It would be worthwhile, and seems plausible (in light of the structural result in Theorem 7.8), to determine whether (strictly) Cohen Koszul rings satisfy this property more generally.

Remark 2.14. Lower bounds on the Loewy length of the homology module of perfect complexes are of interest in both algebra and topology; see, for example, [ABIM10, AP93, Car83, IW18, Wal17]. For Cohen Koszul rings one can establish such bounds.

Let R be a local ring with residue field k , and let $k[\chi_1, \dots, \chi_n]$ denote a maximal polynomial subalgebra of the graded k -algebra $\text{Ext}_R(k, k)$, generated by elements in even degree. For example, if R is complete intersection, then n is the codimension of R . If R is Cohen Koszul, then for any finite free R -complex F with $H(F) \neq 0$ one has the inequality

$$\sum_{n \in \mathbb{Z}} \ell \ell_R H_n(F) \geq n + 1.$$

One can use similar ideas to those in [ABIM10], as well as [BGP24], to establish this bound; here, however, formality of the derived fiber of a Cohen presentation of R is a main ingredient. Moreover, when R is complete intersection it agrees with the common bounds from [ABIM10, BGP24].

2.15. Properties of Koszul homomorphisms. Before moving on to examples we establish some basic change of rings properties for Koszul homomorphisms. First, we note that being Koszul is invariant under certain flat base changes, and in particular under completion.

Proposition 2.16. *Given a finite local homomorphism $\varphi: Q \rightarrow R$ and a flat local homomorphism $\psi: Q \rightarrow Q'$ inducing an isomorphism on residue fields, φ is Koszul if and only if $\varphi \otimes Q': Q' \rightarrow R \otimes_Q Q'$ is Koszul.*

Proof. The natural map $R \otimes_Q^L k \rightarrow (R \otimes_Q Q') \otimes_{Q'}^L k$ is a quasi-isomorphism of dg k -algebras. Indeed, if A is a dg algebra resolution of R over Q , then $A \otimes_Q Q'$ is a dg algebra resolution of $R \otimes_Q Q'$ over Q' , and $(A \otimes_Q Q') \otimes_{Q'} k \cong A \otimes_Q k$. \square

The next proposition will often be useful in reducing the dimension of Q or R .

Proposition 2.17. *Let $\varphi: Q \rightarrow R$ be a finite local homomorphism, and let $x \in \mathfrak{m}_Q$ and $y \in \mathfrak{m}_R$.*

- (1) *If x is regular on Q and y is regular on R , with $\varphi(x) = y$, then φ is Koszul if and only if the map of quotients $Q/(x) \rightarrow R/(y)$ is Koszul.*
- (2) *If y is regular on R then φ is Koszul if and only if the composition $Q \rightarrow R/(y)$ is Koszul.*
- (3) *If $\varphi(x) = 0$ and x generates a free R -module summand of $\ker(\varphi)/\ker(\varphi)^2$, then φ is Koszul if and only if the induced map $Q/(x) \rightarrow R$ is Koszul.*

Proof. For part (1), let $A \xrightarrow{\sim} R$ be a dg algebra resolution of R over Q . The assumptions on x and y imply that $A \otimes_Q Q/(x)$ is a dg algebra resolution of $R/(y)$ over $Q/(x)$. In particular there are quasi-isomorphisms

$$R \otimes_Q^L k \simeq A \otimes_Q k \cong (A \otimes_Q Q/(x)) \otimes_{Q/(x)} k \simeq R/(y) \otimes_{Q/(x)}^L k$$

of dg k -algebras, and the claim follows.

For part (2), if A is a dg algebra resolution of R over Q , as above, then there is an element $\tilde{y} \in \mathfrak{m}_Q A_0$ mapping to $y \in R$. Taking an exterior variable e of degree 1, and setting $\partial(e) = \tilde{y}$, the extension $A\langle e \rangle$ is then a dg algebra resolution of $R/(y)$ over Q ; see [Avr98, 6.1]. We see that

$$R/(y) \otimes_Q^L k \simeq A\langle e \rangle \otimes_Q k \cong (A \otimes_Q k) \otimes_k \Lambda_k(e) \simeq (R \otimes_Q^L k) \otimes_k \Lambda_k(e),$$

where $\Lambda_k(e)$ is the exterior algebra over k on the degree 1 variable e . Hence it remains to note that the tensor product of dg k -algebras is formal if and only if both of its factors are formal, and hence Koszul if and only if both of its factors are Koszul; see [Frö99, Theorem 2] for the latter.

For part (3) we invoke [Iye01, Proposition 2.1] to obtain a dg algebra resolution A of R over Q and an isomorphism of dg k -algebras $A \otimes_Q k \cong W \otimes_k \Lambda_k(e)$, where W is a dg subalgebra of $A \otimes_Q k$ and $\Lambda_k(e)$ is the exterior algebra on a generator of degree 1 (this result is based upon André's theory of special cycles [And82]). Moreover, the proof in [Iye01] identifies the inclusion $\Lambda_k(e) \rightarrow A \otimes_Q k$ with the natural map $Q/(x) \otimes_Q^L k \rightarrow R \otimes_Q^L k$. It follows that

$$R \otimes_{Q/(x)}^L k \simeq (R \otimes_Q^L k) \otimes_{Q/(x) \otimes_Q^L k}^L k \simeq (W \otimes_k \Lambda_k(e)) \otimes_{\Lambda_k(e)} k \cong W.$$

As in part (2) we may deduce that $R \otimes_{Q/(x)}^L k$ is Koszul dg k -algebra if and only if $R \otimes_Q^L k \simeq (R \otimes_{Q/(x)}^L k) \otimes_k \Lambda_k(e)$ is as well. \square

Remark 2.18. Many other Koszul-like properties have appeared in the literature. Within local commutative algebra, Herzog, Reiner, and Welker introduced a notion of Koszul local ring in [HRW98], and the same condition is investigated in [HI05]. The local ring $k[[x, y]]/(x^2 - y^3)$ is Koszul in the sense of these references, but it is not a Koszul k -algebra according to Definition 2.1, since it does not admit a quadratic

presentation. However, the same ring $k[[x, y]]/(x^2 - y^3)$ is Koszul as a $k[[y]]$ -algebra by Example 3.1, and it is Koszul as a $k[[x, y]]$ -algebra by Example 3.2—in other words, it is Cohen Koszul.

Myers studied a Koszulity condition in [Mye21] that is closely related to ours. That work begins with a standard graded k -algebra R , and its Koszul homology $H(K^R)$ is said to be *strand Koszul* if it is Koszul with respect to the induced weight grading by strands: $H(K^R)_{(w)} = \bigoplus_{i+j=w} H_i(K^R)_j$ (the total of the homological and internal gradings). According to [Mye21, Theorem B] the Koszul complex K^R is automatically *quasi-formal*; this is a weakening of formality defined in terms of the degeneration of a certain Eilenberg–Moore spectral on its second page, see [Mye21, 2.3]. In contrast, R is Cohen Koszul if K^R is formal and $H(K^R)$ is Koszul with respect to *some* weight grading. In the next section we see that there are many natural examples for which $H(K^R)$ is Koszul with respect to a different grading than the strand grading.

The authors of [CDG⁺20] have also investigated how the Koszul condition on a local ring affects the algebra structure of the Koszul homology $H(K^R)$. While this is connected to the present work, we note that there are many examples of local k -algebras that are Cohen Koszul but not Koszul as k -algebras.

Remark 2.19. We end this section with remarks on the generality of Definition 2.6.

We have chosen to focus on the setting of finite Q -algebras because this is necessary to meaningfully talk about transferring homological information from Q to R . However, the notion of Koszul homomorphism can be extended fruitfully to all local homomorphisms, with some additional technicalities. In particular, to accommodate non-finite algebras, Definition 2.1 should be adapted to require that the completion of K at its augmentation ideal is isomorphic to $\prod_{w \geq 0} K_{(w)}$, and that k admits a linear resolution over the corresponding graded ring $\bigoplus_{w \geq 0} K_{(w)}$.

For Q non-local, one can say a Q -algebra R is *Koszul at a prime* $\mathfrak{p} \in \mathrm{Spec}(Q)$ if $\kappa(\mathfrak{p}) \otimes_Q^L R$ is a Koszul dg algebra over $\kappa(\mathfrak{p}) = Q_{\mathfrak{p}}/\mathfrak{p}Q_{\mathfrak{p}}$. From this perspective it is natural to replace R with a sheaf of algebras on some scheme; examples related to this have appeared in the literature, such as the sheaf of Clifford algebras constructed by Buchweitz in [BEH87, Appendix].

In this work we focus on applications to commutative algebra. The natural generalization to non-commutative algebras is interesting as well, using exactly the same definitions.

3. EXAMPLES OF KOSZUL HOMOMORPHISMS

This section contains examples (and counterexamples) demonstrating the ubiquity of the Koszul condition. The first class of examples generalizes the class of Koszul algebras over a field in a straightforward manner.

Example 3.1 (Flat homomorphisms with Koszul fiber). A flat finite local homomorphism $\varphi: Q \rightarrow R$ is Koszul if and only if its fiber $R \otimes_Q k$ is a Koszul k -algebra. Such examples are readily constructed by deforming presentations of known Koszul algebras. For example, the k -algebra $k[x]/(x^2)$ is Koszul, and so the homomorphism

$$Q \rightarrow Q[x]/(x^2 - ax - b)$$

is Koszul for any $a, b \in \mathfrak{m}_Q$.

We will see that the non-flat case is significantly more interesting, and crucially *there is no need for the map $\varphi: Q \rightarrow R$ to be quadratic in any sense*, as the following examples demonstrate. Nonetheless, later we return to the idea that Koszul homomorphisms look like deformations of classical Koszul presentations; cf. Theorem 7.1.

Example 3.2 (Complete intersection homomorphisms). Let $\varphi: Q \rightarrow R$ be a surjective, local, complete intersection homomorphism of codimension c . That is, $\ker(\varphi)$ is generated by a Q -regular sequence $\mathbf{f} = f_1, \dots, f_c$. In this case, the Koszul complex $A = \text{Kos}^Q(\mathbf{f})$ is a dg algebra resolution of R over Q . Then

$$R \otimes_Q^L k = A \otimes_Q k = \Lambda_k(\Sigma A_1 \otimes_Q k)$$

is the exterior algebra on a k -space of rank c in homological degree one, with zero differential. Thus the derived fiber of φ is clearly formal, and it is well-known to be a Koszul k -algebra with its weight homological gradings coinciding; cf. [Pri70, Examples 2.2(2)]. In particular, a local complete intersection ring is Cohen Koszul.

Remark 3.3. For a Cohen Koszul ring R , the homological and weight grading on $H(K^R)$ coincide if and only if R is complete intersection. Indeed, the reverse implication was indicated in Example 3.2, and the forward implication follows from a Theorem of Wiebe [Wie69]; see also [BH98, Theorem 2.3.15].

Example 3.4 (Trivial extension algebras). Given a graded ring B and a graded B -module U , let $B \ltimes U$ denote the trivial extension of B by U . This is the graded module $B \oplus U$ with multiplication

$$(b, u) \cdot (b', u') = (bb', bu' + b'u),$$

and zero differential. The main case of interest is that B is augmented to k , and U is a graded k -space thought of as a trivial B -module. If B is also a k -algebra, then B is a Koszul if and only if $B \ltimes U$ is by [Che17].

In particular, for any k -space U the local k -algebra $k \ltimes U$ is Koszul. It is also Cohen Koszul, according to Example 3.5.

For a surjective local map $\varphi: Q \rightarrow R$ and R -module M there is the following coefficientwise inequality of Poincaré series:

$$(3.4.1) \quad P_M^R(t) \preccurlyeq \frac{P_M^Q(t)}{1 - t(P_R^Q(t) - 1)}.$$

This fact is due to Serre; see, for example, [Avr98, Proposition 3.3.2].

Example 3.5 (Golod homomorphisms). Let $\varphi: Q \rightarrow R$ be a surjective, local, Golod homomorphism. That is, the Serre bound (3.4.1) is an equality for the residue field $M = k$.

Avramov proved that a surjective, local homomorphism is Golod if and only if there is a quasi-isomorphism of dg algebras

$$R \otimes_Q^L k \simeq k \ltimes U,$$

where U is a positively graded vector space over k . This follows by applying [Avr86, Theorem 2.3] to a (possibly non-minimal) dg k -algebra model for $R \otimes_Q^L k$. The trivial extension algebra $k \ltimes U$ is Koszul by [LV12, Proposition 3.4.9], see also Example 3.4, and hence φ is Koszul.

The examples above provide many instances of Cohen Koszul k -algebras appearing in local commutative algebra, and *some* of these examples are Koszul in the classical sense: for example a local complete intersection k -algebra is Koszul if and only if it is quadratic; see [Frö99, 3.1]. We give a small example of a local k -algebra that is neither Koszul nor Cohen Koszul.

Example 3.6. Suppose $R = k[[a, b, c]]/(a^2, bc, ac + b^2)$. A computation shows $\Lambda_k(e_1, e_2, e_3)/(e_1e_2e_3)$ is an algebra retract of $H(K^R)$; one could, for example, use Macaulay2 [GS] for this calculation. In particular, $H(K^R)$ has a relation of weight 3 in any weight grading, and so $H(K^R)$ cannot be a Koszul k -algebra. Thus, R is not Cohen Koszul. Moreover, R is the completion of a quadratic algebra that is not Koszul in the classical sense. One can see this by computing the third differential in the minimal free resolution of k over R ; alternatively, see [BF85].

In Theorem 3.10, we see that this is part of an exceptional class of non-Cohen Koszul local rings among rings having embedding dimension at most three.

Example 3.7 (Short Gorenstein local rings). A local ring R with maximal ideal \mathfrak{m}_R is called *short Gorenstein* if it is Gorenstein and $\mathfrak{m}_R^3 = 0$. Equivalently, these are the local rings having Hilbert series $H_R(t) = 1 + nt + t^2$ for some n . This is an important class of local rings that occurs frequently in what follows. If R is also a k -algebra, then R is Koszul by [Frö82] or [Sch80] (this follows as well from the slightly earlier computations in [LA78]). Short Gorenstein rings are also Cohen Koszul by Example 3.15.

3.8. Rings of small codepth. Recall that for a local ring R with maximal ideal \mathfrak{m}_R and residue field k , its codepth is

$$\text{codepth}(R) := \text{rank}_k(\mathfrak{m}_R/\mathfrak{m}_R^2) - \text{depth}(R).$$

This value is a measure of the singularity of R in the sense that $\text{codepth}(R) = 0$ if and only if R is regular. The next result illustrates that a local ring of small codepth is almost always Cohen Koszul. First we remind the reader of the structure theorem on Koszul homology for rings having codepth three.

3.9. Assume R has codepth three and fix a minimal Cohen presentation $\varphi: Q \rightarrow \widehat{R}$. The minimal Q -free resolution A of \widehat{R} supports a dg algebra structure; see, for example, [BE77]. Hence, $\widehat{R} \otimes_Q^L k$ is formal and the algebra structure of its homology $T = \text{Tor}^Q(\widehat{R}, k) = H(K^R)$ has been classified as follows.

Fix bases $\{e_1, \dots, e_\ell\}$, $\{f_1, \dots, f_m\}$ and $\{g_1, \dots, g_n\}$ for T_1 , T_2 and T_3 , respectively. By [AKM88], there are non-negative integer parameters p, q, r , satisfying

$$p \leq \ell - 1, \quad q \leq m - p, \quad r \leq \min\{\ell, m\},$$

such that T is one of the graded-commutative algebras determined below, where products between the basis elements not listed are zero:

CI: $e_1e_2 = f_3, e_1e_3 = f_2, e_2e_3 = f_1, e_i f_i = g_1$ for $i = 1, 2, 3$.

TE: $e_1e_2 = f_3, e_1e_3 = f_2, e_2e_3 = f_1$.

B: $e_1e_2 = f_3, e_1f_1 = g_1, e_2f_2 = g_1$.

G(r): $e_i f_i = g_1$ for $i = 1, \dots, r$ and $r \geq 2$

H(p, q): $e_{p+1}e_i = f_i$ for $i = 1, \dots, p$, and $e_{p+1}f_{p+i} = g_i$ for $i = 1, \dots, q$.

In each case, let T' denote the corresponding subalgebra on the basis elements appearing in the multiplication table above. If U is the k -space spanned by the

basis of elements of T not recorded in the same multiplication table, then note that there is an isomorphism of graded k -algebras

$$(3.9.1) \quad T \cong T' \ltimes U.$$

Finally, as a matter of terminology, we say a local ring R belongs to one of these classes if $T = \text{Tor}^Q(\widehat{R}, k)$ has the corresponding algebra structure.

Theorem 3.10. *A local ring of codepth two or less is Cohen Koszul. A local ring of codepth three is Cohen Koszul if and only if it belongs to **CI**, **B**, **G**(r) or **H**(p, q).*

Proof. Let R be a local ring of codepth c with residue field k . Fix a minimal Cohen presentation $\varphi: Q \rightarrow \widehat{R}$ and set $T = \text{Tor}^Q(\widehat{R}, k)$.

If $c \leq 2$, then R must be complete intersection or Golod; that is, φ is a complete intersection or Golod homomorphism. This follows from the Hilbert–Burch theorem (see [Bur68], as well [BH98, Theorem 1.4.17]) combined with a result of [Lev76, Theorem 2.3]; see also [Avr99, Proposition 5.3.4]. Therefore by Examples 3.2 and 3.5 R is Cohen Koszul in either case.

Now assume $c = 3$. The simplest case is when R belongs to **CI**, since in this case R is complete intersection and so R is Cohen Koszul; cf. Example 3.2.

For the remainder of the proof we adopt the notation from 3.9 and analyze the graded algebra structure of T . By Example 3.4 and (3.9.1), T is Koszul if and only if T' is, and so we replace T by T' in what follows.

If T is **H**(p, q), then it is the tensor product of a trivial extension algebra on $e_1, \dots, e_p, f_{p+1}, \dots, f_{p+q}$ and the exterior algebra on e_{p+1} , where each of these have weight 1. Hence, T is a tensor product of Koszul k -algebras and so it is Koszul.

If T is **G**(r), we give $\{e_i\}$ and $\{f_i\}$ weight one and g_1 weight two. Then T is a short Gorenstein k -algebra and hence Koszul; see Example 3.7.

If T is **B**, then we give T weight grading

$$T_{(w)} = \begin{cases} k & w = 0 \\ ke_1 \oplus ke_2 \oplus kf_1 \oplus kf_2 & w = 1 \\ kf_3 \oplus kg_1 & w = 2 \\ 0 & w \geq 3. \end{cases}$$

As an algebra T is the quotient of the exterior algebra

$$T \cong \Lambda_k(e_1, e_2, f_1, f_2)/(e_1f_2, e_2f_1, f_1f_2, e_1f_1 - e_2f_2).$$

The graded k -algebra T is Koszul since its defining ideal has a quadratic Gröbner basis, and is therefore Koszul by [MM22].

Finally, if T is type **TE**, then T is not quadratic with respect to any weight grading. Indeed, the products in 3.9 force e_1, e_2, e_3 to all have weight one, as well as the minimal relation $e_1e_2e_3 = 0$. Hence, T is not Koszul. \square

Remark 3.11. Theorem 3.10 deals with the ‘absolute’ case. That is to say, when a local ring is Cohen Koszul. However given a surjective map of local rings $\varphi: Q \rightarrow R$ one has that this is always Koszul for $\text{proj dim}_Q(R) \leq 2$. Indeed, in this case φ is either a complete intersection homomorphism, or a Golod homomorphism. For the case $\text{proj dim}_Q(R) = 3$, the structure theorem on $T = \text{Tor}^Q(R, k)$ discussed in 3.9 can be applied assuming that $\ker(\varphi)$ is a perfect ideal. In this case φ is Koszul except in the case that T belongs to **TE**.

A surjective local homomorphism $\varphi: Q \rightarrow R$ of finite projective dimension is *Gorenstein of projective dimension d* if

$$(3.11.1) \quad \mathrm{Ext}_Q^i(R, Q) = \begin{cases} R & i = d \\ 0 & i \neq d. \end{cases}$$

For example, Gorenstein rings of codimension d are exactly those whose minimal Cohen presentations are Gorenstein of projective dimension d . If $d = 3$ then $\mathrm{Tor}^Q(R, k)$ belongs to $\mathbf{G}(r)$ and the dg algebra structure on the minimal resolution of R over Q can be described explicitly; one can hence verify directly, as is done below, that such maps are Koszul.

Example 3.12 (Gorenstein homomorphisms of projective dimension 3). Assume that φ is Gorenstein of projective dimension 3. Buchsbaum and Eisenbud constructed the minimal free resolution of R over Q in [BE77, Theorem 2.1 & 4.1]:

$$A = 0 \rightarrow Q \rightarrow Q^r \xrightarrow{\psi} Q^r \rightarrow Q \rightarrow 0$$

where $r \geq 3$ is odd and the first and third differential of A depend on Pfaffians of submatrices of the alternating matrix ψ . Furthermore A is a graded-commutative dg algebra with the following multiplication: We fix bases $\{e_i\}_{i=1}^r$, $\{f_i\}_{i=1}^r$, and $\{g\}$ for A_1 , A_2 , and A_3 , respectively. The multiplication is determined by

$$e_i e_j := \sum_{\ell=1}^r (\pm 1) \mathrm{pf}(\psi_{ij\ell}) f_\ell \quad \text{for } i < j, \quad e_i f_j := \delta_{ij} g \quad \text{and} \quad f_i f_j = 0$$

where $\psi_{ij\ell}$ is the submatrix of ψ obtained by deleting the i th, j th and ℓ th row and column, and δ_{ij} is the Kronecker delta function. The exact description is not important for the sequel, see [Avr98, Example 2.1.3] for detail.

When $r = 3$, it follows that A is a Koszul complex on three elements and so φ is a surjective complete intersection map, hence φ is Koszul by Example 3.2.

When $r \geq 5$, we have that $\mathrm{pf}(\psi_{ij\ell}) \in \mathfrak{m}_Q$ for any i, j and ℓ . Hence the only non-zero products in the graded algebra $A \otimes_Q k$ are

$$e_i f_i = f_i e_i = g \quad \text{for } 1 \leq i \leq r.$$

Giving $A \otimes_Q k$ the weight grading

$$(A \otimes_Q k)_{(w)} = \begin{cases} A_0 \otimes_Q k & w = 0 \\ (A_1 \otimes_Q k) \oplus (A_2 \otimes_Q k) & w = 1 \\ A_3 \otimes_Q k & w = 2 \\ 0 & \text{else,} \end{cases}$$

it belongs to $\mathbf{G}(r)$ in 3.9. The fact that $A \otimes_Q k$ is a Koszul k -algebra was established in the proof of Theorem 3.10. Thus φ is a Koszul homomorphism.

3.13. Almost Golod Gorenstein rings. We discuss here a large class of local Gorenstein rings displaying interesting homological behavior, that has been studied before in [RS14, Section 6].

Definition 3.14. We say that an artinian local ring R is *almost Golod* if the socle quotient $R/\mathrm{soc}(R)$ is Golod. A general local ring is *almost Golod* if it is Cohen–Macaulay and $R/(\mathbf{x})$ is an almost Golod artinian ring, where \mathbf{x} is a maximal regular sequence that is part of a minimal generating set for \mathfrak{m}_R .

Example 3.15 (Almost Golod Gorenstein rings). Let R be an almost Golod local ring that is also Gorenstein of codepth d .

Fix a minimal Cohen presentation $\varphi: Q \rightarrow \widehat{R}$ and set $T = \text{Tor}^Q(\widehat{R}, k)$. Since Q is regular and R is Gorenstein, T is a Poincaré duality algebra by [AG71]. That is to say, for each $0 \leq i \leq d$ the multiplication maps

$$T_i \times T_{d-i} \rightarrow T_d \cong k$$

are perfect pairings. Furthermore, by [LA78, Theorem 1] the quotient T/T_d is a subalgebra of the trivial extension algebra $\text{Tor}^Q(R/\text{soc}(R), k)$, and hence is itself a trivial extension algebra. It follows that T is a short Gorenstein k -algebra. Moreover, prescribing T with the following weight grading

$$T_{(0)} = T_0, \quad T_{(1)} = \bigoplus_{i=1}^{d-1} T_i, \quad \text{and} \quad T_{(2)} = T_d$$

makes T a Koszul k -algebra, with the multiplication of T being equivalent to a perfect pairing on $T_{(1)}$; see the proof of Theorem 3.10.

We prove that these rings are Cohen–Koszul under the assumption that R contains a field of characteristic zero and d is odd, by giving a characterization analogous to Avramov’s characterization of Golod rings [Avr86]. We do not know whether the assumption on the characteristic or on d is necessary (but see Remark 5.10).

Theorem 3.16. *Let R be a local with a minimal Cohen presentation $Q \rightarrow \widehat{R}$. If there is a quasi-isomorphism of dg k -algebras $\widehat{R} \otimes_Q^L k \simeq T$, where T is a short Gorenstein graded k -algebra, then R is almost Golod Gorenstein. Assuming that R contains a field of characteristic zero, and that $\text{codepth}(R)$ is odd, the converse holds as well. In particular, almost Golod Gorenstein rings (of characteristic zero and odd codepth) are Cohen–Koszul.*

Proof. If R is almost Golod Gorenstein, we have already seen that $T = \text{Tor}^Q(\widehat{R}, k)$ is a short Gorenstein algebra, and in particular Koszul. The proof that $\widehat{R} \otimes_Q^L k$ is formal under the stated assumptions will be given in Theorem 5.7 and Lemma 8.17.

Conversely assume that $\widehat{R} \otimes_Q^L k$ is quasi-isomorphic to a short Gorenstein algebra T , and write e for the embedding dimension of R . By Proposition 2.11,

$$P_k^R(t) = \frac{(1+t)^e}{1 - (H_T(t) - 1 - t^e)t + t^{e+2}},$$

and hence as a consequence of [RS14, Proposition 6.2], R is almost Golod. \square

Remark 3.17. The prototypical example of an almost Golod Gorenstein ring is a short Gorenstein local ring R . In this case $R/\text{soc}(R) = R/\mathfrak{m}_R^2$ is Golod by [Gol78].

Among the complete intersection local rings, the almost Golod Gorenstein rings are exactly those having codimension two or less; see Theorem 3.16. If R is a Gorenstein local ring of codimension 3 that is not complete intersection, then R is almost Golod Gorenstein by Example 3.12 and Theorem 3.16.

By [RS14, Proposition 6.3] every Gorenstein compressed local ring of socle degree at least 4 is almost Golod Gorenstein. Moreover for fixed embedding dimension and socle degree, the generic Gorenstein local k -algebra is compressed by [Iar84, Theorem I]. Hence the almost Golod Gorenstein condition is extremely common.

Recall that if Q is a standard graded polynomial algebra, a homogeneous quotient $R = Q/I$ is said to have an *almost linear* resolution over Q if the ideal I is generated

by forms of degree e , and for all $0 < i < \text{proj dim}_Q(R)$ we have $\text{Tor}_i^Q(R, k)_j = 0$ unless $j - i = e - 1$; confer [EHU06]. Graded Gorenstein rings with almost linear resolutions are always almost Golod Gorenstein. This will be justified later in the paper, in Example 8.19, along with the fact that such algebras with $e \geq 3$ are also Cohen Koszul; this is done without the assumptions on characteristic and codepth in Theorem 3.16.

Remark 3.18. In rational homotopy theory, Golod rings correspond to spaces that are (rationally) homotopy equivalent to a wedge of spheres, while Gorenstein rings are analogous to manifolds, or more generally Poincaré duality spaces; see the looking glass [AH86] for more information.

To be more precise, if M is a simply connected manifold and the punctured space $M \setminus \{\text{pt}\}$ is rationally homotopy equivalent to a wedge of spheres, then the cohomology ring $H^*(M; \mathbb{Q})$ is an almost Golod Gorenstein ring. In [Sta83], Stasheff proved such spaces are formal, and therefore they are Koszul in the sense of Berglund [Ber14]. A well studied class of manifolds satisfying this property are the *highly connected manifolds*, that is, those M with $H^i(M; \mathbb{Q}) = 0$ when $0 < i < [\dim(M)/2]$.

Since Gorenstein rings that are not regular or hypersurfaces are never Golod, the Serre bound (3.4.1) must be strict for such rings. However, a tighter bound can be established for Gorenstein local rings, as we show now. The case of equality below is equivalent (when $d = 0$) to the formula for $P_k^R(t)$ given in [R\$14, Proposition 6.2], and our proof is essentially equivalent to that of *loc. cit.*

Proposition 3.19. *Let R be a local ring having dimension d and embedding dimension e , with residue field k and Koszul complex K^R . If R is Gorenstein but not regular or a hypersurface, then there is a coefficientwise inequality*

$$\frac{P_k^R(t)}{(1+t)^d - t^2 P_k^R(t)} \preccurlyeq \frac{(1+t)^{e-d}}{1 - t^2(1+t)^{e-d} + t^{e-d+2} - \sum_{i=1}^{e-d-1} \text{rank}_k H_i(K^R)t^{i+1}}$$

and equality holds if and only if R is almost Golod.

While the left-hand side is not equal to $P_k^R(t)$, it increases monotonically with $P_k^R(t)$, and so it directly measures the growth of the resolution of k . Therefore within the class of Gorenstein local rings, almost Golod rings display extremal behavior analogous to Golod rings.

Proof. As R is Gorenstein, prime avoidance yields a regular sequence $\mathbf{x} = x_1, \dots, x_d$ that is part of a minimal generating set for \mathfrak{m}_R , so that $\bar{R} = R/(\mathbf{x})$ is artinian Gorenstein and of embedding dimension $e - d$. By Nagata's theorem [Nag62, Section

[27] we have $P_k^R(t) = P_k^{\bar{R}}(t)(1+t)^d$ and so the first equality below holds:

$$\begin{aligned} \frac{P_k^R(t)}{(1+t)^d - t^2 P_k^R(t)} &= \frac{P_k^{\bar{R}}(t)}{1 - t^2 P_k^{\bar{R}}(t)} \\ &= P_k^{\bar{R}/\text{soc}(\bar{R})}(t) \\ &\preccurlyeq \frac{P_k^Q(t)}{1 - t(P_{\bar{R}/\text{soc}(\bar{R})}^Q(t) - 1)} \\ &= \frac{P_k^Q(t)}{1 - t(P_{\bar{R}}^Q(t) - t^{e-d} + t P_k^Q(t) - t^{e-d+1} - 1)}. \end{aligned}$$

The second equality holds using [LA78, Theorem 2], the coefficientwise inequality is the Serre bound (3.4.1) for $\bar{R}/\text{soc}(\bar{R})$, and the last equality holds using [LA78, Theorem 1]. Since $P_k^Q(t) = (1+t)^{e-d}$ and $P_{\bar{R}}^Q(t) = \sum_{i=0}^{i=e-d} \text{rank}_k H_i(K^R) t^i$, we obtain the claimed inequality. It remains to note that R is almost Golod if and only if $\bar{R}/\text{soc}(\bar{R})$ is Golod, if and only if equality holds in the third line above. \square

Later, in Section 8.15, we explicitly construct resolutions over almost Golod Gorenstein rings that achieve the bound of Proposition 3.19.

3.20. Monomial rings. In this subsection we consider rings of the form $R = Q/I$, where $Q = k[x_1, \dots, x_n]$ and I is generated by monomials m_1, \dots, m_r . By Fröberg's theorem [Frö75], R is Koszul as a k -algebra if and only if each m_i is quadratic. However, the condition that R is Cohen–Koszul is more common and more subtle.

Example 3.21 (Almost linear monomial ring). As discussed in Remark 3.17, graded Gorenstein rings having almost linear resolutions are Cohen–Koszul. To give an explicit example, let I be the ideal in $Q = k[x_1, \dots, x_8]$ generated by

$$x_2 x_4 x_5, x_1 x_3 x_6, x_2 x_5 x_6, x_3 x_5 x_6, x_1 x_3 x_7, x_1 x_4 x_7, x_2 x_4 x_7, x_2 x_6 x_7,$$

$$x_3 x_6 x_7, x_4 x_6 x_7, x_1 x_3 x_8, x_1 x_4 x_8, x_2 x_4 x_8, x_1 x_5 x_8, x_2 x_5 x_8, x_3 x_5 x_8.$$

The Betti table of $R = Q/I$ is

| | 0 | 1 | 2 | 3 | 4 |
|---|---|----|----|----|----|
| 0 | 1 | . | . | . | . |
| 1 | . | . | . | . | . |
| 2 | . | 16 | 30 | 16 | . |
| 3 | . | . | . | . | . |
| 4 | . | . | . | . | 1. |

Of course, this example was found with the help of **Macaulay2** [GS]; it is the Stanley–Reisner ring of a triangulation of the 3-sphere, taken from the enumeration compiled by Lutz [Lut17].

An exact combinatorial characterization of which monomial rings are Cohen–Koszul would be very interesting; this seems possible but likely non-trivial. We describe a special case that produces a large number of explicit examples.

Let $Q = k[x_1, \dots, x_n]$ be a polynomial ring over a field k . A monomial ideal I is called *dominant* if it is generated by a set of monomials G such that for all $m \in G$ there is a variable x_i and an integer a such that x_i^a divides m and x_i^a does not divide any monomial $m' \in G \setminus \{m\}$; see [Ale17, Definition 4.1].

Proposition 3.22. *If $Q = k[x_1, \dots, x_n]$ be a polynomial ring over a field k and I is a dominant monomial ideal in Q then $R = Q/I$ is Cohen Koszul.*

Proof. The Taylor resolution A of R over Q has a basis $\{e_I\}$ indexed by subsets $I \subseteq \{1, \dots, n\}$, with e_I in homological degree $|I|$, and the differential is defined by

$$\partial(e_I) = \sum_{i \in I} \pm \frac{m_I}{m_{I \setminus \{i\}}} e_{I \setminus \{i\}},$$

where $m_I = \text{lcm} \{m_i \mid i \in I\}$; see [Tay66] for details and signs. The hypothesis of the proposition exactly guarantees that the Taylor resolution is minimal by [Ale17, Theorem 4.4].

Gmeda [Gem76] proved that the Taylor resolution has a dg algebra structure with product

$$e_I e_J = \pm \frac{m_I m_J}{m_{I \cup J}} e_{I \cup J}$$

when $I \cap J = \emptyset$, and with $e_I e_J = 0$ otherwise. By Remark 2.7 it follows that $R \otimes_Q^L k = A \otimes_Q k$ is formal.

It remains to show that $A \otimes_Q k$ is a Koszul k -algebra. Let M be the graph with vertices $\{1, \dots, r\}$ and an edge connecting i and j if and only if $\gcd(m_i, m_j) \neq 1$. From the description of A above it follows that

$$A \otimes_Q k = \frac{k[e_I \mid I \subseteq M \text{ connected}]}{(e_I e_J \mid \gcd(m_I, m_J) \neq 1)},$$

where $k[e_I]$ is the free graded-commutative algebra on the indicated e_I ; compare this with [Ber05, 6.2]. Assigning each e_I weight 1, we are done because quadratic monomial quotients of free graded-commutative algebras are Koszul by Fröberg's theorem [Frö75] (such rings belong to class B in [Frö75, Section 3], and Fröberg constructs linear resolutions of the residue field for all rings of class B). \square

Remark 3.23. One can readily exhibit monomial rings satisfying the hypothesis of the proposition, and not falling into the other classes described above. For example, $R = k[[a, b, c, d, e, f]]/(abc, cd, ae, acf)$.

The next examples are k -algebras that fail to be Cohen Koszul; the first is a Koszul k -algebra and the second has $H(K^R)$ a Koszul k -algebra. Both examples fail to be Cohen Koszul since in each case K^R admits a nonzero triple Massey product, and hence is not formal; cf. [May69] for more details on Massey products.

Example 3.24. The k -algebra $R = k[[a, b, c, d]]/(a^2, ab, bc, cd, d^2)$ is the completion of a Koszul k -algebra (in the classical sense) by [Frö75, Corollary 1]. However, the map $k[[a, b, c, d]] \rightarrow R$ is not Koszul. Indeed, by [Avr81, Example 5.1.4], K^R has a nonzero triple Massey product, and so K^R is not formal.

Example 3.25. Let $Q = k[[a, b, c, d, e]]$ and consider the quotient map

$$\varphi: Q \rightarrow R := Q/(ab^2, cd^2, e^3, abcd, d^2e^2, b^2e^2, ace, b^2d^2e).$$

In [Kat17, Theorem 3.1], it is shown that $H(K^R)$ is a trivial extension that admits a nonzero triple Massey product; the latter is an obstruction to the formality of K^R , while the former justifies that $H(K^R)$ is a Koszul k -algebra.

Remark 3.26. To any monomial ideal $I \subseteq Q$ one may associate a square-free monomial ideal I° in a larger polynomial ring Q° , known as the *polarization* of I . Fröberg

[Frö82] proved that there is a regular sequence of linear forms y_1, \dots, y_t in the quotient $R^\circ = Q^\circ/I^\circ$ such that $R = R^\circ/(y_1, \dots, y_t)$. From Proposition 2.17 it follows that R is Cohen–Koszul if and only if R° is Cohen–Koszul.

A *simplicial complex* Δ on $[n] = \{1, \dots, n\}$ is a nonempty family of subsets of $[n]$, closed under taking subsets. The *Stanley–Reisner ring* associated to Δ , denoted $k[\Delta]$, is the quotient of $k[x_1, \dots, x_n]$ by the ideal generated by monomials $x_{i_1} \cdots x_{i_t}$ such that $\{i_1, \dots, i_t\} \notin \Delta$. Every square free monomial ring is the Stanley–Reisner ring of some simplicial complex, and so by Remark 3.26 we may restrict to such monomials rings.

Remark 3.27. We make some remarks about the connections to toric topology; for precise definitions and background on this area the reader may consult [BP15].

To a simplicial complex Δ on $[n]$ one also associates the moment angle complex \mathcal{Z}_Δ , a finite CW-complex with an action of the torus $(S^1)^n$. The homotopy quotient $\mathcal{DJ}_\Delta = \mathcal{Z}_\Delta//(S^1)^n$ is known as the Davis–Januszkiewicz space of Δ . By [DJ91, Theorem 4.8] and [NR05, Theorem 4.8] the cochain algebra of this space is formal, and quasi-isomorphic to the Stanley–Reisner ring:

$$C^*(\mathcal{DJ}_\Delta; k) \simeq k[\Delta],$$

where the variables x_i are given cohomological degree 2. From this it follows that $k[\Delta]$ is a Koszul k -algebra if and only if \mathcal{DJ}_Δ is a Koszul space in the sense of [Ber14]. As remarked in [Ber14, Example 5.8], this happens exactly when $k[\Delta]$ is a quadratic algebra, or equivalently if Δ is a *flag complex*, that is, the minimal faces not belonging to Δ are all edges.

The question of when \mathcal{Z}_Δ is a Koszul space seems to be more interesting. By [BP15, Lemma 3.1] there is a quasi-isomorphism of dg k -algebras

$$C^*(\mathcal{Z}_\Delta; k) \simeq k[\Delta] \otimes_Q^L k.$$

Thus $k[\Delta]$ is Cohen–Koszul if and only if \mathcal{Z}_Δ is a Koszul space, since the latter means that $C^*(\mathcal{Z}_\Delta; k)$ is formal with Koszul homology algebra. The related condition that \mathcal{Z}_Δ is formal has been investigated in [DS07, Lim19].

The almost Golod condition is also connected with the minimally non-Golod condition for simplicial complexes introduced in [BJ07]. Indeed, the proof of [Ame20, Theorem 1.1] shows that if $M = \mathcal{Z}_\Delta$ is a moment angle manifold, and if $M \setminus \{\text{pt}\}$ is rationally homotopy equivalent to a wedge of spheres, then Δ is minimally non-Golod (over \mathbb{Q}).

4. BACKGROUND ON A_∞ -ALGEBRAS AND COALGEBRAS

Stasheff introduced A_∞ -algebras in topology to characterize loop spaces [Sta63a, Sta63b], and they have since proven a powerful tool in algebra as a flexible generalization of dg algebras; for an overview see [Kel01]. In our context, the minimal Q -free resolution of a finite Q -algebra R can be equipped with an A_∞ -algebra structure (see Section 5), and this will be leveraged to characterize Koszul homomorphisms in terms of presentations similar to the quadratic presentations for classical Koszul algebras (see Section 7).

From now on Q is always a local ring with maximal ideal \mathfrak{m}_Q and residue field k , and unadorned tensor products and Hom sets are taken over Q .

4.1. An A_∞ -algebra is a graded Q -module A equipped with Q -linear maps

$$m_n: A^{\otimes n} \rightarrow A \quad \text{for } n \geq 1$$

of degree $(n - 2)$ satisfying the *Stasheff identities*

$$(4.1.1) \quad \sum_{\substack{r+s+t=n \\ r,t \geq 0, s \geq 1}} (-1)^{r+st} m_{r+1+t} (\text{id}^{\otimes r} \otimes m_s \otimes \text{id}^{\otimes t}) = 0.$$

Taking $n = 1$ this says that m_1 is a degree -1 square zero endomorphism of A , so we can (and will) make A a complex with $\partial = m_1$. Taking $n = 2$ yields a product satisfying the Leibniz rule $\partial m_2 = m_2(\partial \otimes \text{id} + \text{id} \otimes \partial)$. The next Stasheff identity, for $n = 3$, can be interpreted as saying that m_2 is associative up to a homotopy given by m_3 , that is,

$$m_2(\text{id} \otimes m_2 - m_2 \otimes \text{id}) = \partial m_3 + m_3(\partial \otimes \text{id} \otimes \text{id} + \text{id} \otimes \partial \otimes \text{id} + \text{id} \otimes \text{id} \otimes \partial).$$

If for some n the Stasheff identity (4.1.1) holds for every integer less than n , then the obstruction

$$(4.1.2) \quad \text{obs}_n^A := \sum_{\substack{r+s+t=n \\ r,t \geq 0, n > s > 1}} (-1)^{r+st} m_{r+1+t} (\text{id}^{\otimes r} \otimes m_s \otimes \text{id}^{\otimes t})$$

is a chain map $A^{\otimes n} \rightarrow A$; see [LH03, Corollaire B.1.2].

A *morphism of A_∞ -algebras* $\varphi: A \rightarrow B$ consists of Q -linear maps

$$\varphi_n: A^{\otimes n} \rightarrow B \quad \text{for } n \geq 1$$

of degree $(n - 1)$ satisfying

$$(4.1.3) \quad \begin{aligned} & \sum_{\substack{r+s+t=n \\ r,t \geq 0, s \geq 1}} (-1)^{r+st} \varphi_{r+1+t} (\text{id}^{\otimes r} \otimes m_s^A \otimes \text{id}^{\otimes t}) \\ &= \sum_{p=1}^n \sum_{\substack{\alpha \in \mathbb{N}^p \\ |\alpha|=n}} (-1)^{v(\alpha)} m_p^B (\varphi_{\alpha_1} \otimes \cdots \otimes \varphi_{\alpha_p}) \end{aligned}$$

where $\alpha = (\alpha_1, \dots, \alpha_p)$ and $|\alpha| = \sum_{k=1}^p \alpha_k$, with $v(\alpha) = \sum_{k=1}^p (p - k)(\alpha_k - 1)$.

If for some n the Stasheff identity (4.1.3) holds for every integer less than n , then we define

$$\begin{aligned} \text{obs}_n^\varphi := & \sum_{\substack{r+s+t=n \\ r,t \geq 0, s \geq 2}} (-1)^{r+st} \varphi_{r+1+t} (\text{id}^{\otimes r} \otimes m_s^A \otimes \text{id}^{\otimes t}) \\ & - \sum_{p=2}^n \sum_{\substack{\alpha \in \mathbb{N}^p \\ |\alpha|=n}} (-1)^{v(\alpha)} m_p^B (\varphi_{\alpha_1} \otimes \cdots \otimes \varphi_{\alpha_p}). \end{aligned}$$

Then the Stasheff identity (4.1.3) holds if and only if

$$\text{obs}_n^\varphi = m_1 \varphi_n + (-1)^n \varphi_n (m_1 \otimes \text{id}^{\otimes(n-1)} + \cdots + \text{id}^{\otimes(n-1)} \otimes m_1).$$

A morphism φ of A_∞ -algebras is a *quasi-isomorphism* if the chain map φ_1 is a quasi-isomorphism of complexes. The morphism φ is *strict* if $\varphi_n = 0$ for $n > 1$. In this case (4.1.3) simplifies to

$$(4.1.4) \quad \varphi_1 m_n^A = m_n^B (\varphi_1 \otimes \cdots \otimes \varphi_1).$$

The composition of morphisms $\varphi: A \rightarrow B$ and $\psi: B \rightarrow C$ is defined by

$$(\psi \circ \varphi)_n := \sum_{p=1}^n \sum_{\substack{\alpha \in \mathbb{N}^p \\ |\alpha|=n}} (-1)^{v(\alpha)} \psi_p(\varphi_{\alpha_1} \otimes \cdots \otimes \varphi_{\alpha_p}).$$

4.2. An A_∞ -algebra A is *strictly unital* if there exists $1_A \in A_0$ such that

$$(4.2.1) \quad \begin{aligned} m_2(1_A \otimes a) &= a = m_2(a \otimes 1_A) \quad \text{for all } a \in A \quad \text{and} \\ m_n(a_1 \otimes \cdots \otimes a_{i-1} \otimes 1_A \otimes a_{i+1} \otimes \cdots \otimes a_n) &= 0 \quad \text{for all } 1 \leq i \leq n \end{aligned}$$

for any $a_1, \dots, a_n \in A$ and $n > 2$. A morphism of strictly unital A_∞ -algebras $\varphi: A \rightarrow B$ is a morphism of A_∞ -algebras such that

$$(4.2.2) \quad \begin{aligned} \varphi_1(1_A) &= 1_B \quad \text{and} \\ \varphi_n(a_1 \otimes \cdots \otimes a_{i-1} \otimes 1_A \otimes a_{i+1} \otimes \cdots \otimes a_n) &= 0 \quad \text{for all } 1 \leq i \leq n \end{aligned}$$

for any $a_j \in A$ and $n > 1$.

4.3. An A_∞ -algebra is *connective* if it is concentrated in non-negative degrees. If $A = Q \oplus \bar{A}$ is a graded module and 1_A a free generator of the direct summand Q , then A is an A_∞ -algebra with a *split unit*.

A split unital A_∞ -algebra structure on a graded module concentrated in non-negative degrees is equivalent to the existence of Q -linear maps

$$\bar{m}_n: \bar{A}^{\otimes n} \rightarrow \bar{A} \quad \text{for } n \geq 1$$

of degree $(n-2)$ and Q -linear maps

$$h_1: \bar{A} \rightarrow Q \quad \text{and} \quad h_2: \bar{A}^{\otimes 2} \rightarrow Q$$

of degrees -1 and 0 , respectively, such that for $n \neq 2, 3$ the Stasheff identities (4.1.1) hold when replacing m_i by \bar{m}_i , and for $n = 2, 3$

$$\sum_{\substack{r+s+t=n \\ r,t \geq 0, s \geq 1}} (-1)^{r+st} \bar{m}_{r+1+t}(\text{id}^{\otimes r} \otimes \bar{m}_s \otimes \text{id}^{\otimes t}) + (h_{n-1} \otimes \text{id} - \text{id} \otimes h_{n-1}) = 0,$$

and additionally

$$\begin{aligned} h_1 \bar{m}_1 &= 0, \quad h_1 \bar{m}_2 - h_2(\bar{m}_1 \otimes \text{id} + \text{id} \otimes \bar{m}_1) = 0 \quad \text{and} \\ h_1 \bar{m}_3 + h_2(\bar{m}_2 \otimes \text{id} - \text{id} \otimes \bar{m}_2) &= 0; \end{aligned}$$

the former replaces the second and third Stasheff identity and the latter supplements the first three Stasheff identities. In particular, for $n > 2$ the maps \bar{m}_n are the appropriate restrictions of m_n . For $n = 2$, we obtain m_2 by $\bar{m}_2 + h_2$ and additionally enforcing (4.2.1). For $n = 1$, we have $m_1 = \bar{m}_1 + h_1$. This treatment is similar to [Bur15, Section 3], but is slightly more general since we allow $\bar{A}_0 \neq 0$ and hence need h_2 as well as h_1 . If A were not connective then we would also need maps h_n for $n \geq 3$. Taken together the h_n will correspond to the curvature term on the bar construction of A ; see 4.7.

Remark 4.4. Let A be a connective A_∞ -algebra with a split unit. Then the projection $A \rightarrow Q$ onto the free summand containing the unit need not be a morphism of strictly unital A_∞ -algebras. In fact, this happens if and only if $h_1 = 0$ and $h_2 = 0$. Such A_∞ -algebras are called *augmented*.

4.5. Fix a graded coalgebra (C, Δ) . Recall C is *counital* if there exists a counit map $\varepsilon: C \rightarrow Q$ such that

$$(\text{id} \otimes \varepsilon)\Delta = \text{id} = (\varepsilon \otimes \text{id})\Delta.$$

We say C is a *curved dg coalgebra* it is equipped with a coderivation ∂ of degree -1 and a curvature term $h: C \rightarrow Q$ of degree -2 such that

$$\partial^2 = (h \otimes \text{id} - \text{id} \otimes h)\Delta \quad \text{and} \quad h\partial = 0.$$

A curved dg coalgebra C is *connected* if it is non-negatively graded, counital and $C_0 = Q$. In this setting, we write $C = Q \oplus \bar{C}$ for $\bar{C} = \ker(\varepsilon)$ and set

$$\begin{aligned} \bar{\Delta} &:= \left(\bar{C} \rightarrow C \xrightarrow{\Delta} C \otimes C \rightarrow \bar{C} \otimes \bar{C} \right), \\ \bar{\partial} &:= \left(\bar{C} \rightarrow C \xrightarrow{\partial} C \rightarrow \bar{C} \right) \quad \text{and} \quad \bar{h} := \left(\bar{C} \rightarrow C \xrightarrow{h} Q \right) \end{aligned}$$

for the restrictions to \bar{C} . These maps satisfy the same relations as Δ , ∂ and h .

4.6. The tensor algebra $T^a(V)$ on a graded Q -module V has underlying graded module $T(V) := \bigoplus_{n \geq 0} V^{\otimes n}$, and the multiplication

$$\mu((v_1 \otimes \cdots \otimes v_k) \otimes (v'_1 \otimes \cdots \otimes v'_\ell)) := v_1 \otimes \cdots \otimes v_k \otimes v'_1 \otimes \cdots \otimes v'_\ell.$$

The tensor algebra is bigraded by $T_{(n)}^a(V)_i = (V^{\otimes n})_i$.

The tensor coalgebra $T^c(V)$ on a graded Q -module V has underlying graded module $T(V)$, and the comultiplication

$$\Delta(v_1 \otimes \cdots \otimes v_n) := \sum_{i=0}^n (v_1 \otimes \cdots \otimes v_i) \otimes (v_{i+1} \otimes \cdots \otimes v_n).$$

The tensor coalgebra is bigraded by $T_{(n)}^c(V)_i = (V^{\otimes n})_i$. The data of an A_∞ -algebra can equivalently be encoded as a differential on a tensor coalgebra, as we see next.

4.7. Let A be a split unital connective A_∞ -algebra. Then the tensor coalgebra $T^c(\Sigma \bar{A})$ has an induced curved dg coalgebra structure. The curvature term has components

$$h_1 \Sigma^{-1}: T_{(1)}^c(\Sigma \bar{A}) \rightarrow Q \quad \text{and} \quad h_2(\Sigma^{-1})^{\otimes 2}: T_{(2)}^c(\Sigma \bar{A}) \rightarrow Q$$

and zero otherwise. The coderivation ∂ has components

$$(-1)^{\frac{k(k+1)}{2}} \sum_{\substack{i+j=n-k \\ i,j \geq 0}} (\text{id}^{\otimes i} \otimes \Sigma \bar{m}_k(\Sigma^{-1})^{\otimes k} \otimes \text{id}^{\otimes j}): T_{(n)}^c(\Sigma \bar{A}) \rightarrow T_{(n-k+1)}^c(\Sigma \bar{A})$$

for $k \geq 1$, and zero otherwise. The map ∂ is well-defined since A is concentrated in non-negative homological degree. With this structure $T^c(\Sigma \bar{A})$ is a connected curved dg coalgebra, and we call

$$\mathsf{B}_{(\star)}(A)_\bullet := \left(T_{(\star)}^c(\Sigma \bar{A})_\bullet, h, \partial, \Delta \right)$$

the *bar construction* of A . For $a_1, \dots, a_n \in \bar{A}$ we write

$$[a_1 | \dots | a_n] := (\Sigma a_1 \otimes \cdots \otimes \Sigma a_n) \in \mathsf{B}_{(n)}(A).$$

For a split unital connective A_∞ -algebra the canonical projection and inclusion induce a degree -1 map of graded modules

$$\mathsf{B}(A) \twoheadrightarrow \Sigma \bar{A} \hookrightarrow \Sigma A \rightarrow A.$$

Let C be a connected curved dg coalgebra. Then the algebra $T^a(\Sigma^{-1}\bar{C})$ has an induced dg algebra structure. The differential m_1 has components

$$-\bar{h}\Sigma: T_{(1)}^a(\Sigma^{-1}\bar{C}) \rightarrow T_{(0)}^a(\Sigma^{-1}\bar{C}), \quad -\Sigma^{-1}\bar{\partial}\Sigma: T_{(1)}^a(\Sigma^{-1}\bar{C}) \rightarrow T_{(1)}^a(\Sigma^{-1}\bar{C})$$

and $(\Sigma^{-1})^{\otimes 2}\bar{\Delta}\Sigma: T_{(1)}^a(\Sigma^{-1}\bar{C}) \rightarrow T_{(2)}^a(\Sigma^{-1}\bar{C})$;

and zero otherwise. With this structure $T^a(\Sigma^{-1}\bar{C})$ is a split unital connective dg algebra, and we call

$$\Omega_{(\star)}(C)_\bullet := \left(T_{(\star)}^a(\Sigma^{-1}\bar{C})_\bullet, m_1, m_2 \right)$$

the *cobar construction* of C . For $c_1, \dots, c_n \in \bar{C}$ we write

$$\langle c_1 | \dots | c_n \rangle := (\Sigma^{-1}c_1 \otimes \dots \otimes \Sigma^{-1}c_n) \in \Omega_{(n)}(C).$$

For a connected curved dg coalgebra the canonical inclusion and projection maps induces a degree -1 map of graded modules

$$C \rightarrow \Sigma^{-1}C \rightarrow \Sigma^{-1}\bar{C} \hookrightarrow \Omega(C).$$

Remark 4.8. The bar and cobar constructions define an adjoint pair of functors when restricted to split unital connective dg algebras and connected curved dg coalgebras; see [Lyu13, Section 3]. It remains an adjunction when restricted to augmented connective dg algebras and connected dg coalgebras.

4.9. A morphism $\varphi: (C, \Delta, \varepsilon, \partial, h) \rightarrow (C', \Delta', \varepsilon', \partial', h')$ of connected curved dg coalgebras consists of Q -linear maps

$$\varphi_0: C \rightarrow Q \quad \text{and} \quad \varphi_1: C \rightarrow C'$$

of degree -1 and 0 , respectively, satisfying

$$\varepsilon = \varepsilon' \varphi_1, \quad h' \varphi_1 = h - \varphi_0 \partial + (\varphi_0 \otimes \varphi_0) \Delta,$$

$$\partial' \varphi_1 = \varphi_1 \partial + (\varphi_0 \otimes \varphi_1 - \varphi_1 \otimes \varphi_0) \Delta \quad \text{and} \quad \Delta' \varphi_1 = (\varphi_1 \otimes \varphi_1) \Delta;$$

see [Pos11, Chapter 4]. This induces a map of dg algebras $\Omega(\varphi): \Omega(C) \rightarrow \Omega(C')$, and we say φ is a *weak equivalence* if $\Omega(\varphi)$ is a quasi-isomorphism.

4.10. Let A be an A_∞ -algebra. An A_∞ -module over A is a graded module M equipped with maps

$$m_n^M: A^{\otimes(n-1)} \otimes M \rightarrow M \quad \text{for } n \geq 1$$

of degree $(n-2)$, satisfying

$$\sum_{\substack{r+s+t=n \\ r \geq 0, s, t \geq 1}} (-1)^{r+st} m_{r+1+t}^M (\text{id}^{\otimes r} \otimes m_s \otimes \text{id}^{\otimes t}) + \sum_{\substack{r+s=n \\ r \geq 0, s \geq 1}} (-1)^r m_{r+1}^M (\text{id}^{\otimes r} \otimes m_s^M) = 0.$$

If A is strictly unital, we say an A_∞ -module M over A is *strictly unital* if

$$m_2(1_A \otimes m) = m \quad \text{for all } m \in M \quad \text{and}$$

$$m_n(a_1 \otimes \dots \otimes a_{i-1} \otimes 1_A \otimes a_{i+1} \otimes \dots \otimes a_{n-1} \otimes m) = 0 \quad \text{for all } 1 \leq i \leq n-1$$

for any $a_1, \dots, a_{n-1} \in A$ and $m \in M$ with $n \neq 2$.

If A is connective and has a split unit, then a strictly unital A_∞ -module structure over A on M is equivalent to the existence of maps

$$\bar{m}_n^M: \bar{A}^{\otimes(n-1)} \otimes M \rightarrow M \quad \text{for } n \geq 1$$

of degree $(n-2)$ such that for $n \neq 2, 3$ the Stasheff identities hold when replacing m by \bar{m} , and for $n = 2, 3$ there is an extra curvature term $h_{n-1} \otimes \text{id}$ similar to 4.3.

4.11. Let A be a split unital connective A_∞ -algebra. The data of a strictly unital A_∞ -module structure over A is equivalent to that of a strictly unital dg module structure over $\Omega(\mathcal{B}(A))$. Explicitly, if $\{\bar{m}_n^M\}$ is a strictly unital A_∞ -module structure on a graded module M , then the dg module structure on M is given by the same differential m_1^M , and the multiplication $\Omega(\mathcal{B}(A)) \otimes M \rightarrow M$ induced by

$$-(-1)^{\frac{n(n-1)}{2}} m_{n+1}^M((\Sigma^{-1})^{\otimes n} \Sigma \otimes \text{id}_M): \Sigma^{-1} \bar{\mathcal{B}}_{(n)}(A) \otimes M \rightarrow M.$$

Moreover, this construction is natural in A and M , and any quasi-isomorphism of A_∞ -modules over A yields a quasi-isomorphism of dg modules over $\Omega(\mathcal{B}(A))$.

5. TRANSFER OF A_∞ -ALGEBRA STRUCTURES

In this section, as above, Q is a local ring with maximal ideal \mathfrak{m}_Q and residue field k . Let R be an A_∞ -algebra over Q , and let $A \rightarrow R$ be a quasi-isomorphism of complexes over Q . We would like to know whether the A_∞ -algebra structure on R induces an A_∞ -algebra structure on A . This is well-understood in the case that Q is a field, so that $A \rightarrow R$ is a homotopy equivalence; the first result is due to Kadeishvili [Kad82] when $A = H(R)$. For general homotopy equivalences this was studied, for example, in [Mar06]. Burke has shown that if R is a quotient of Q and A is a Q -free resolution of R , then the product on R lifts to an A_∞ -structure on A [Bur18, Proposition 3.6]. We give a proof in a more general situation.

Proposition 5.1. *Let R be a strictly unital connective A_∞ -algebra and $\varepsilon: A \rightarrow R$ a surjective quasi-isomorphism of complexes over Q , with A degree-wise free and concentrated in non-negative degrees. Then there exists an A_∞ -algebra structure with a split unit on A such that ε is a strict quasi-isomorphism of A_∞ -algebras.*

Proof. Since ε is surjective we may choose a splitting $A = \bar{A} \oplus Q$ such that ε maps the free generator of Q to the unit of R . We inductively construct higher multiplication maps m_n on A satisfying the n th Stasheff identity. To begin with we set $m_1 := \partial$ where ∂ is the differential of A .

For $n = 2$ we consider the commutative diagram

$$\begin{array}{ccc} A \otimes Q + Q \otimes A & \xrightarrow{\quad} & A \\ \downarrow & \nearrow m_2^A & \downarrow \varepsilon \simeq \\ A^{\otimes 2} & \xrightarrow{\varepsilon^{\otimes 2}} & R^{\otimes 2} \xrightarrow{m_2^R} R. \end{array}$$

The morphism of complexes $m_2^A: A^{\otimes 2} \rightarrow A$ exists because ε is a surjective quasi-isomorphism and the left vertical arrow is injective in each degree and the cokernel in each degree is projective; see for example [DS95, Section 7]. The morphism m_2^A satisfies the desired properties by construction.

For $n > 2$, the obstruction obs_n^A from (4.1.2) is a chain map. We have a short exact sequence of complexes

$$0 \rightarrow \sum_{i+j=n-1} A^{\otimes i} \otimes Q \otimes A^{\otimes j} \xrightarrow{\eta_n} A^{\otimes n} \rightarrow \bar{A}^{\otimes n} \rightarrow 0.$$

By direct computation we obtain $\text{obs}_n^A \eta_n = 0$. So the obstruction obs_n^A factors through $\bar{A}^{\otimes n}$. We consider the diagram

$$\begin{array}{ccccc} & & \bar{A}^{\otimes n} & & \\ & \swarrow \alpha & \downarrow \text{obs}_n^A & \searrow 0 & \\ \Sigma^{-1} \text{cone}(\varepsilon) & \xrightarrow{\pi} & A & \xrightarrow[\varepsilon]{\cong} & R. \end{array}$$

Since $\bar{A}^{\otimes n}$ is, as graded modules, a direct summand of $A^{\otimes n}$, and the higher multiplications m_i^A for $i < n$ commute with ε , the right triangle commutes up to the homotopy $m_n^R \varepsilon^{\otimes n}$. Then there exists a chain map α such that the left triangle commutes up to a homotopy σ . Since ε is surjective, we may assume $m_n^R \varepsilon^{\otimes n} = \varepsilon \sigma$ by [Avr98, Proposition 1.3.1]. That is

$$m_n^A := (A^{\otimes n} \rightarrow \bar{A}^{\otimes n} \xrightarrow{\sigma} A)$$

satisfies the n th Stasheff identity (4.1.4). \square

5.2. In the setup of Proposition 5.1 we can also transfer A_∞ -module structures: If M is a strictly unital A_∞ -module over R and with semifree resolution $\gamma: G \rightarrow M$ over Q , in the sense discussed later in 6.2, then there exists a strictly unital A_∞ -module structure on G over A and γ is a strict morphism of A_∞ -modules; compare with [Bur18]. When the homology of M is bounded below (for example, if M is an honest module), one can take G to be a bounded below complex of free Q -modules.

Proposition 5.3. *Let $\varepsilon: R \rightarrow S$ be a surjective strict quasi-isomorphism of strictly unital A_∞ -algebras over Q . Further let A be a split unital, connective, degree-wise free A_∞ -algebra and $\varphi: A \rightarrow S$ a morphism of strictly unital A_∞ -algebras. Then there exists a morphism of strictly unital A_∞ -algebras $\psi: A \rightarrow R$ such that $\varphi = \varepsilon \psi$.*

Proof. The unit $Q \rightarrow S$ factors through ε and φ_1 , so by [DS95, Section 7], there is a chain map $\psi_1: A \rightarrow R$ such that $\varphi_1 = \varepsilon \psi_1$ and $\psi_1(1_A) = 1_R$.

Let $n \geq 2$ and assume that for $i < n$ the chain maps $\psi_i: A^{\otimes i} \rightarrow R$ exist, the i th Stasheff identities (4.1.3) and (4.2.2) hold, and $\varepsilon \psi_i = \varphi_i$. A computation shows that obs_n^ψ and obs_n^φ vanish when any of its inputs is 1_A . Hence we can view obs_n^ψ and obs_n^φ as maps on $\bar{A}^{\otimes n}$. Taking homology classes in $\text{Hom}(\bar{A}^{\otimes n}, S)$ we have

$$\varepsilon[\text{obs}_n^\psi] = [\text{obs}_n^\varphi] = 0,$$

since $\varepsilon \text{obs}_n^\psi = \text{obs}_n^\varphi$ and φ is a morphism of strictly unital A_∞ -algebras; cf. 4.1. Since ε is a surjective quasi-isomorphism, and using the assumptions on A , the induced map $\text{Hom}(\bar{A}^{\otimes n}, R) \rightarrow \text{Hom}(\bar{A}^{\otimes n}, S)$ is a quasi-isomorphism and hence obs_n^ψ is a boundary in $\text{Hom}(\bar{A}^{\otimes n}, R)$. That is, there is $\bar{\psi}_n: \bar{A}^{\otimes n} \rightarrow R$ such that

$$\text{obs}_n^\psi = m_1^R \bar{\psi}_n + (-1)^n \bar{\psi}_n (\bar{m}_1^A \otimes \text{id}^{\otimes n} + \cdots + \text{id}^{\otimes n} \otimes \bar{m}_1^A).$$

Setting $\psi_n := (A^{\otimes n} \rightarrow \bar{A}^{\otimes n} \xrightarrow{\bar{\psi}_n} R)$, we now have ψ_1, \dots, ψ_n satisfying the required identities (4.1.3) and (4.2.2), completing the induction. \square

It is well-known that A_∞ -algebras can be used to characterize formality of dg algebras over fields [Kad82]. We record the following generalization in local algebra.

Proposition 5.4. *Let $\varphi: Q \rightarrow R$ be a finite local homomorphism and let $\varepsilon: A \rightarrow R$ be the minimal Q -free resolution, equipped with an A_∞ -structure making ε a strict quasi-isomorphism of A_∞ -algebras. The A_∞ -algebra $A \otimes_Q k$ is quasi-isomorphic, as*

an A_∞ -algebra, to the derived fiber $R \otimes_Q^L k$ defined as a dg k -algebra in Section 2. Moreover, $R \otimes_Q^L k$ is formal as a dg k -algebra if and only if A admits an A_∞ -structure $\{m_n\}$ as above that also satisfies $m_n \otimes_Q k = 0$ for $n \geq 3$.

Proof. Suppose that the minimal Q -free resolution A of R has an A_∞ -structure $\{m_n\}$ with the stated property. If A' is a Q -free dg algebra resolution of R , then A and A' are quasi-isomorphic as A_∞ -algebras over Q by Proposition 5.3. Therefore $A \otimes_Q k$ and $R \otimes_Q^L k = A' \otimes_Q k$ are quasi-isomorphic as A_∞ -algebras over k .

If $m_n \otimes_Q k = 0$ for $n \geq 3$ then $A \otimes_Q k$ is a graded algebra, canonically isomorphic to $\mathrm{Tor}^Q(R, k)$. Two dg k -algebras are quasi-isomorphic as dg algebras if and only if they are quasi-isomorphic as A_∞ -algebras [Kad82], and we can conclude that $R \otimes_Q^L k$ is formal.

Suppose conversely that $R \otimes_Q^L k$ is formal. By Proposition 5.1 the minimal Q -free resolution A of R admits an A_∞ -structure $\{m'_n\}$. Using the same reasoning as above, since $R \otimes_Q^L k$ is formal $A \otimes_Q k$ and $\mathrm{Tor}^Q(R, k)$ are quasi-isomorphic as A_∞ -algebras over k . By the uniqueness of minimal models (that is, A_∞ -algebras over a field having zero differential; see [Kad82]) there is an isomorphism of A_∞ -algebras

$$\psi: (\mathrm{Tor}^Q(R, k), 0, \mu, 0, \dots) \xrightarrow{\cong} (A \otimes_Q k, 0, m'_2 \otimes k, m'_3 \otimes k, \dots),$$

where μ is the ordinary product on $\mathrm{Tor}^Q(R, k)$. We may make the identification $A \otimes_Q k = \mathrm{Tor}^Q(R, k)$ and choose lifts $\Psi_i: A^{\otimes i} \rightarrow A$ with $\Psi_i \otimes_Q k = \psi_i$. By Nakayama's lemma Ψ_1 is an isomorphism and we can inductively define operations $m_n: A^{\otimes n} \rightarrow A$ by the formula $m_n :=$

$$\Psi_1^{-1} \left(- \sum_{\substack{r+s+t=n \\ r,t \geq 0, s \geq 1}} (-1)^{r+st} \Psi_{r+1+t} (\mathrm{id}^{\otimes r} \otimes m_s \otimes \mathrm{id}^{\otimes t}) + \sum_{\substack{p, \alpha \in \mathbb{N}^p \\ |\alpha| = n}} (-1)^{v(\alpha)} m'_p \Psi^{\otimes \alpha} \right).$$

By construction the map $\Psi: (A, \{m'_n\}) \rightarrow (A, \{m_n\})$ now satisfies the Stasheff morphism identities (4.1.3), and it follows that $(A, \{m_n\})$ is an A_∞ -algebra, isomorphic to $(A, \{m'_n\})$. Finally, from $\Psi \otimes_Q k = \psi$ it follows that $m_2 \otimes_Q k = \mu$ and $m_n \otimes_Q k = 0$ for $n \geq 3$, as stated in the proposition. \square

The following technical lemma will be used later to help generate examples, by showing that certain A_∞ -operations are minimal.

Lemma 5.5. *Let $\varphi: A \rightarrow T$ be a map of connective split unital A_∞ -algebras, where T is a trivial algebra. If for some N the map $(\varphi_1)_{< N}: A_{< N} \rightarrow T_{< N}$ is injective, then the A_∞ -structure of A vanishes in degrees less than N , in the sense that $(\bar{m}_n(\bar{A}^{\otimes n}))_i = 0$ for all $n \geq 1$ and $i < N$.*

Proof. We prove this by induction on n . It is clear for $n = 1$ since φ_1 is a chain map. For $n \geq 2$, since $\bar{m}_s^T = 0$ for all s , we can rearrange the Stasheff morphism identities (4.1.3):

$$\bar{\varphi}_1 \bar{m}_n = - \sum_{\substack{r+s+t=n \\ r,t \geq 0, s \geq 1}} (-1)^{r+st} \bar{\varphi}_{r+1+t} (\mathrm{id}^{\otimes r} \otimes \bar{m}_s \otimes \mathrm{id}^{\otimes t}).$$

We can assume by induction that $(\bar{m}_s(\bar{A}^{\otimes s}))_{< N} = 0$ for $s < n$. Since each φ_r increases degree by $r - 1$, this implies that the right-hand side above is zero in degrees $i < N$. Since $(\bar{\varphi}_1)_{< N}$ is injective, it follows that $\bar{m}_n(\bar{A}^{\otimes n})_{< N} = 0$. \square

5.6. Cyclic A_∞ -algebras. For Gorenstein algebras the minimal resolution satisfies a Poincaré duality property that allows us, in favorable situations, to construct A_∞ -resolutions with additional duality properties.

A *cyclic A_∞ -algebra* of degree d over Q is a complex A of finitely generated free Q -modules with a perfect, Q -bilinear pairing

$$\langle -, - \rangle: A \otimes A \rightarrow \Sigma^d Q,$$

and an A_∞ -structure $\{m_n\}$ on A such that for each n

$$\langle m_n(a_1, \dots, a_n), a_{n+1} \rangle = (-1)^{n+|a_1|(|a_2|+\dots+|a_{n+1}|)} \langle m_n(a_2, \dots, a_{n+1}), a_1 \rangle;$$

see [Kon94].

There is for each n an isomorphism of complexes

$$\text{cyc}: \text{Hom}(A^{\otimes n}, A) \xrightarrow{\cong} \text{Hom}(A^{\otimes(n+1)}, \Sigma^d Q), \quad \text{cyc}(f) = \langle f(-), - \rangle.$$

We give $A^{\otimes(n+1)}$ the action of the cyclic group $C_{n+1} = \langle c \rangle$ with generator acting by $c \cdot (a_1 \otimes \dots \otimes a_{n+1}) = (-1)^{|a_1|(|a_2|+\dots+|a_{n+1}|)} (a_2 \otimes \dots \otimes a_{n+1} \otimes a_1)$. From this perspective, an A_∞ -structure $\{m_n\}$ is cyclic if and only if

$$\text{cyc}(m_n) \cdot c = (-1)^n \text{cyc}(m_n) \quad \text{for all } n.$$

Let $\varphi: Q \rightarrow R$ be a surjective local Gorenstein homomorphism of projective dimension d , and let A be the minimal resolution of R over Q . Let $\mu: A^{\otimes 2} \rightarrow A$ be a chain map lifting the product on R ; we can assume that μ is unital and graded-commutative by [BH98, 3.4.3]. The Gorenstein condition (3.11.1) guarantees that $A \otimes_Q k = \text{Tor}^Q(R, k)$ is a Poincaré duality algebra with the product induced from μ ; see [AG71, Theorem]. It follows from Nakayama's lemma that $A_d \cong Q$ and we obtain a perfect pairing

$$(5.6.1) \quad \langle -, - \rangle: A \otimes A \xrightarrow{\mu} A \rightarrow \Sigma^d A_d = \Sigma^d Q.$$

Theorem 5.7. *Let $Q \rightarrow R$ be a surjective local Gorenstein homomorphism of odd projective dimension d . Assume that Q contains a field of characteristic zero. The minimal resolution A of R over Q admits the structure of a split unital, cyclic A_∞ -algebra of degree d , making the map $A \rightarrow R$ a strict A_∞ -algebra quasi-isomorphism.*

We first need a lemma about projective resolutions.

Lemma 5.8. *Let M be a finitely generated Q -module of projective dimension $d > 0$, with a projective resolution $A \rightarrow M$, and set $V = A_{<d}/A_0$. Then for any n we have $H_i(V^{\otimes n+1}) = 0$ whenever $i > n(d-1) + 1$ and $i \neq (n+1)(d-1)$.*

Proof. We show this by inducing on n . Since A is a projective resolution of R , the homology of V is concentrated in degrees 1 and $d-1$, and there is an exact triangle

$$\Sigma^{d-1} Q^s \rightarrow V \rightarrow \Sigma N,$$

of complexes of Q -modules, where $N = \ker(A_0 \rightarrow M)$ and $A_d = Q^{\oplus s}$. This justifies the case $n = 0$, and for each $n \geq 1$ yields another exact triangle

$$\Sigma^{d-1}(V^{\otimes n})^{\oplus s} \rightarrow V^{\otimes n+1} \rightarrow \Sigma V^{\otimes n} \otimes N.$$

Clearly $H_i(\Sigma V^{\otimes n} \otimes N) = 0$ for $i > n(d-1) + 1$, so by the long exact sequence in homology the map $H_i(\Sigma^{d-1} V^{\otimes(n-1)})^{\oplus s} \rightarrow H_i(V^{\otimes n})$ is surjective for $i > n(d-1) + 1$. By the induction hypothesis $H_i(\Sigma^{d-1} V^{\otimes(n-1)}) = 0$ if $i \neq n(d-1)$ and $i > (n-1)(d-1) + 1$. From this we conclude that the lemma holds for n . \square

Proof of Theorem 5.7. Recall from (5.6.1) that the pairing on A was defined from a unital and graded-commutative product $\mu: A^{\otimes 2} \rightarrow A$. This restricts to a perfect pairing on $V = A_{\leq d}/A_0$, and we start by constructing operations $m_n^V: V^{\otimes n} \rightarrow V$.

If $|a| + |b| = d + 1$ then $\mu(a \otimes b) = 0$ in A , so

$$\mu(\partial(a) \otimes b) + (-1)^{|a|} \mu(a \otimes \partial(b)) = \partial(\mu(a \otimes b)) = 0.$$

Using graded-commutativity of μ , this is equivalent to the cyclic identity

$$\langle m_1^V(a), b \rangle = (-1)^{1+|a||b|} \langle m_1^V(b), a \rangle,$$

where $m_1^V := \partial$.

Next, we truncate μ to obtain $\mu^V: V^{\otimes 2} \rightarrow V$, and we define m_2^V by symmetrizing μ^V with respect to the C_3 -action:

$$\text{cyc}(m_2^V) := \text{cyc}(\mu^V) \cdot \frac{1}{3}(1 + c + c^2).$$

The obtained m_2^V satisfies the required cyclic property by construction. However, the Stasheff identity (4.1.1) does not hold for $n = 2$, and instead

$$(5.8.1) \quad \partial(m_2^V(a, b)) - m_2^V(\partial(a), b) - (-1)^{|a|} m_2^V(a, \partial(b)) = \langle a, b \rangle \partial(\omega),$$

where $\omega \in A_d$ is the generator with $\langle \omega, 1 \rangle = 1$. Nonetheless, since $\langle -, - \rangle$ is a chain map, the same computation as in (4.1.2) shows that the obstruction obs_3^V is a chain map, that is, a cycle in $\text{Hom}(V^{\otimes 3}, V)$.

We proceed to construct m_n^V for $n \geq 3$ by induction, satisfying the Stasheff identities (4.1.1) for $n \geq 3$, and all satisfying $\text{cyc}(m_n^V) \cdot c = (-1)^n \text{cyc}(m_n^V)$. The argument is similar to the proof of Proposition 5.1. If m_i^V have been constructed for $i < n$ with required cyclic symmetry, a computation shows that the obstruction obs_n^V from (4.1.2) is cyclic as well:

$$\text{cyc}(\text{obs}_n^V) = (-1)^n \text{cyc}(\text{obs}_n^V) \cdot c.$$

Since $\text{Hom}(V^{\otimes n}, V) \cong \Sigma^{-nd} V^{\otimes (n+1)}$ we can use Lemma 5.8 with $M = R$ to conclude that

$$H_i(\text{Hom}(V^{\otimes n}, V)) = 0 \text{ for } i > 1 - n \text{ and } i \neq d - n - 1.$$

Since d is odd, it is impossible to have $|\text{obs}_n^V| = n - 3 = d - n - 1$, hence the complex $\text{Hom}(V^{\otimes n}, V)$ is acyclic in degree $n - 3$, and the class $[\text{obs}_n^V]$ vanishes. This shows that there is an operation \tilde{m}_n^V in $\text{Hom}(V^{\otimes n}, V)_{n-2}$ such that $\partial(\tilde{m}_n^V) = \text{obs}_n^V$, and we symmetrize this to define m_n :

$$\text{cyc}(m_n^V) := \text{cyc}(\tilde{m}_n^V) \cdot \sum_{i=0}^n \frac{(-1)^{in} c^i}{n+1}.$$

By construction m_n^V has the required cyclic symmetry. We note that

$$\partial(\text{cyc}(m_n^V)) = \text{cyc}(\text{obs}_n^V) \cdot \sum_{i=0}^n \frac{(-1)^{in} c^i}{n+1} = \text{cyc}(\text{obs}_n^V).$$

Therefore $\partial(m_n^V) = \text{obs}_n^V$ and the operations $\{m_n^V\}$ satisfy the n th Stasheff identity. This concludes the induction.

To finish the proof we define the following operations on A :

$$m_2(a_1, a_2) := \begin{cases} m_2^V(a_1, a_2) & \text{if } |a_1|, |a_2| > 0 \text{ and } |a_1| + |a_2| < d, \\ \langle a_1, a_2 \rangle \omega & \text{if } |a_1| + |a_2| = d, \\ a_1 a_2 & \text{if } |a_1| = 0 \text{ or } |a_2| = 0, \end{cases}$$

and for $n \geq 3$

$$m_n(a_1, \dots, a_n) := \begin{cases} m_n^V(a_1, \dots, a_n) & \text{if all } |a_i| > 0 \text{ and } |a_1| + \dots + |a_n| < d, \\ 0 & \text{if } |a_1| + \dots + |a_n| = d \text{ or any } |a_i| = 0. \end{cases}$$

The $n = 2$ Stasheff identity for A is equivalent to the identity (5.8.1) above.

To verify the n th Stasheff identity, with $n \geq 3$, we need to divide into cases depending on the inputs: when any of the inputs have degree zero; when the output has degree less than d ; and when the output has degree d . The first two of these cases follow easily from the Stasheff identities for $\{m_n^V\}$. To check the third case, we suppose that $|a_1| + \dots + |a_n| + n - 3 = d$, and we compute

$$\sum_{r+s+t=n} (-1)^{r+st} m_{r+1+t}(\text{id}^{\otimes r} \otimes m_s \otimes \text{id}^{\otimes t})(a_1, \dots, a_n) = (-1)^{|a_1|(n-1)+1} \langle a_1, m_{n-1}^V(a_2, \dots, a_n) \rangle + (-1)^{n-1} \langle m_{n-1}^V(a_1, \dots, a_{n-1}), a_n \rangle;$$

and this vanishes by the cyclic symmetry condition for m_{n-1}^V .

It follows that A is an A_∞ -algebra with the operations $\{m_n\}$. Finally, the cyclic symmetry condition on $\{m_n^V\}$ implies A is a cyclic A_∞ -algebra. \square

Remark 5.9. The construction in the proof yields a bijection between unital cyclic A_∞ -algebra structures on A and nonunital cyclic A_∞ -algebra structures on V , but with a modified version of the second Stasheff identity in the latter case. The cyclic condition is necessary to make this correspondence work.

Remark 5.10. In work to appear, Alexander Berglund constructs cyclic A_∞ -algebra structures in significantly more generality than Theorem 5.7. In particular, his argument shows that the restriction to odd d is unnecessary. We note the case $d \equiv 2$ modulo 4 can be obtained by a more careful analysis of the proof of Theorem 5.7, but the general case seems to require more machinery.

6. TWISTED TENSOR PRODUCTS

Twisted tensor products are an important tool in homological algebra, especially in the construction of resolutions. In this section we develop their theory over a commutative ring, producing universal resolutions via the resolution of the diagonal that will be applied to Koszul homomorphisms in later sections. However, our method of construction is new even when the base ring Q is a field. Similar results have been obtained using different methods in unpublished work of Burke [Bur]. We do not explicitly use the language of twisting cochains, but these objects are present implicitly; and the reader may consult [LV12, Section 2.1] for more information on twisted tensor products and twisting cochains.

Let C be a connected curved differential graded (dg) coalgebra over Q that is free as a graded module. For a right dg module M and a left dg module N over $\Omega(C)$, we construct a complex $M \otimes^\tau C \otimes^\tau N$, with a “twisted” differential; we call this complex a *twisted tensor product*. First, we define a dg bimodule $\Omega(C) \otimes^\tau C \otimes^\tau \Omega(C)$ over $\Omega(C)$.

Construction 6.1. Ignoring differentials for now, there is a well-known exact sequence of graded $\Omega(C)$ -bimodules

$$(6.1.1) \quad 0 \rightarrow \Omega(C) \otimes \Sigma^{-1} \bar{C} \otimes \Omega(C) \xrightarrow{\iota} \Omega(C) \otimes Q \otimes \Omega(C) \xrightarrow{\mu} \Omega(C) \rightarrow 0,$$

where $\iota(x \otimes \langle c \rangle \otimes y) = \mu(x, \langle c \rangle) \otimes 1 \otimes y - x \otimes 1 \otimes \mu(\langle c \rangle, y)$ for $c \in \bar{C}$ and $x, y \in \Omega(C)$, and μ the multiplication map.

We give $\Omega(C) \otimes \Sigma^{-1} \bar{C} \otimes \Omega(C)$ the unique differential ∂^ι making ι a chain map, and we set

$$\Omega(C) \otimes^\tau C \otimes^\tau \Omega(C) := \text{cone}(\Omega(C) \otimes \Sigma^{-1} \bar{C} \otimes \Omega(C) \xrightarrow{\iota} \Omega(C) \otimes Q \otimes \Omega(C)).$$

We write ∂^τ for the differential on $\Omega(C) \otimes^\tau C \otimes^\tau \Omega(C)$, this is a dg $\Omega(C)$ -bimodule whose underlying graded bimodule is $\Omega(C) \otimes C \otimes \Omega(C)$, using the evident multiplication by $\Omega(C)$ on either side.

For a right dg $\Omega(C)$ -module F and a left dg $\Omega(C)$ -module G , we define

$$F \otimes^\tau C \otimes^\tau G := F \otimes_{\Omega(C)} \Omega(C) \otimes^\tau C \otimes^\tau \Omega(C) \otimes_{\Omega(C)} G.$$

Explicitly its underlying graded module is $F \otimes C \otimes G$ and the differential is

$$\begin{aligned} \partial^\tau = & \partial^F \otimes \text{id}_C \otimes \text{id}_G + \text{id}_F \otimes \partial^C \otimes \text{id}_G + \text{id}_F \otimes \text{id}_C \otimes \partial^G \\ & + (\mu(\text{id}_F \otimes \Sigma^{-1} p) \otimes \text{id}_C \otimes \text{id}_G - \text{id}_F \otimes \text{id}_C \otimes \mu(\Sigma^{-1} p \otimes \text{id}_G)) (\text{id}_F \otimes \Delta \otimes \text{id}_G) \end{aligned}$$

where $p: C \rightarrow \bar{C}$ is the natural projection and we use μ for the right action of $\Omega(C)$ on F and the left action of $\Omega(C)$ on G .

6.2. Given a dg algebra A , recall that a dg A -module F is *semifree* if it admits an exhaustive filtration

$$0 = F(-1) \subseteq F(0) \subseteq F(1) \subseteq \dots \subseteq F$$

where each subquotient $F(i)/F(i-1)$ is a sum of shifts of A . As a matter of terminology, a semifree dg A -bimodule is a semifree dg module over $A \otimes A^{\text{op}}$.

Every dg A -module M admits a semifree resolution in the sense that there exists a surjective quasi-isomorphism $F \xrightarrow{\sim} M$, with F a semifree dg A -module. Such resolutions are unique up to homotopy; see [FHT01, Chapter 6] for this fact, as well as other details regarding semifree dg modules.

Lemma 6.3. *The map $\Omega(C) \otimes^\tau C \otimes^\tau \Omega(C) \rightarrow \Omega(C)$ induced from (6.1.1) is a semifree resolution of $\Omega(C)$ as a dg $\Omega(C)$ -bimodule.*

Proof. By construction, (6.1.1) is a short exact sequence of dg $\Omega(C)$ -bimodules, and so it induces an exact triangle in the derived category of dg $\Omega(C)$ -bimodules. We obtain the quasi-isomorphism by comparing this triangle to the triangle associated to the cone construction for $\Omega(C) \otimes^\tau C \otimes^\tau \Omega(C)$.

The dg module $\Omega(C) \otimes^\tau C \otimes^\tau \Omega(C)$ is semifree as a dg $\Omega(C)$ -bimodule since C is free as a module over Q and non-negatively graded. \square

6.4. Let $\varphi: Q \rightarrow R$ be a finite local homomorphism with $A \rightarrow R$ a free resolution of R over Q . Fix an R -complex M and a semifree resolution $\gamma: G \rightarrow M$ over Q . By Proposition 5.1, there exists a split unital A_∞ -algebra structure $\{m_n\}$ on A and a strictly unital A_∞ -module structure $\{m_n^G\}$ over A on G . Then by 4.11, this induces a dg module structure over $\Omega(B(A))$ on G .

Suppose further that C is a connected curved dg coalgebra with counit $\varepsilon: C \rightarrow Q$, equipped with a weak equivalence of connected curved dg coalgebras $C \rightarrow B(A)$; cf. 4.9. Then R and G each have an induced dg module structure over $\Omega(C)$.

Theorem 6.5. *In the setting of 6.4, the map*

$$R \otimes^\tau C \otimes^\tau G \longrightarrow M \quad \text{given by} \quad r \otimes c \otimes g \mapsto r\varepsilon(c)\gamma(g)$$

is a semifree resolution of M over R .

Proof. The map $G \rightarrow M$ is a quasi-isomorphism of A_∞ -modules over A , and by 4.11, it is a quasi-isomorphism of dg modules over $\Omega(B(A))$, and thus over $\Omega(C)$. From Lemma 6.3, we obtain the quasi-isomorphism of (left) dg $\Omega(C)$ -modules

$$\beta: \Omega(C) \otimes^\tau C \otimes^\tau G = \Omega(C) \otimes^\tau C \otimes^\tau \Omega(C) \otimes_{\Omega(C)} G \xrightarrow{\sim} \Omega(C) \otimes_{\Omega(C)} G \cong G.$$

Since G is semifree over Q , it follows that $\Omega(C) \otimes^\tau C \otimes^\tau G$ is semifree as a left dg module over $\Omega(C)$.

The claimed quasi-isomorphism fits into the commutative diagram below

$$\begin{array}{ccccc} & & \Omega(C) \otimes^\tau C \otimes^\tau G & & \\ & \swarrow \alpha & & \searrow \gamma\beta & \\ R \otimes^\tau C \otimes^\tau G & \xrightarrow{\quad} & M, & \xleftarrow{\quad} & \end{array}$$

where α is the map induced by the quasi-isomorphism of dg algebras

$$(6.5.1) \quad \Omega(C) \xrightarrow{\sim} \Omega(B(A)) \xrightarrow{\sim} A \xrightarrow{\sim} R;$$

where the fact that second map is a quasi-isomorphism follows from the derived version of Nakayama's lemma since, upon applying $- \otimes_Q k$, the map becomes a quasi-isomorphism [LH03, Section 2.2.1].

As the composition in (6.5.1) is a quasi-isomorphism and $\Omega(C) \otimes^\tau C \otimes^\tau G$ is a semifree resolution of M over $\Omega(C)$, it follows that α is a quasi-isomorphism. We have already justified that β and γ are quasi-isomorphisms, accounting for the downward arrow on the right. As both legs of the triangle in the diagram above are quasi-isomorphisms the horizontal map is a quasi-isomorphism, as claimed. \square

7. A_∞ -ALGEBRA PRESENTATIONS FOR KOSZUL HOMOMORPHISMS

We now have the machinery to show that Koszul homomorphisms admit presentations analogous to those of classical Koszul k -algebras. The next result lifts these classical quadratic presentations to local algebra, and explains how one may think of Koszul homomorphisms as A_∞ -deformations of Koszul algebras over fields.

Theorem 7.1. *A finite local homomorphism $\varphi: Q \rightarrow R$ is Koszul if and only if there is*

- (1) *a non-negatively graded, degreewise finite rank free Q -module V , and a direct summand $W \subseteq V \otimes V$,*
- (2) *an A_∞ -structure $\{m_n\}$ on the Q -module $T(V)/(W)$ with grading induced from the grading of V , and*
- (3) *a Q -linear map $V_0 \rightarrow R$,*

such that

- (i) *the k -algebra $T^a(V \otimes k)/(W \otimes k)$ is Koszul with respect to the tensor algebra weight grading,*
- (ii) *$\{m_n\}$ agrees with the algebra structure on $T^a(V)/(W)$ modulo \mathfrak{m}_Q ; that is*

$$m_2 \otimes k = \mu \otimes k \quad \text{and} \quad m_n \otimes k = 0 \quad \text{for } n \neq 2,$$

where μ is the usual product on the quotient of a tensor algebra, and

(iii) with the structure $\{m_n\}$, the induced map $T(V)/(W) \rightarrow R$ is a strict A_∞ -algebra quasi-isomorphism.

Moreover, V can be taken to be finite rank whenever R has finite projective dimension over Q .

Proof. If the stated conditions hold then $R \otimes_Q^L k$ is formal by Proposition 5.4, and $\text{Tor}^Q(R, k) \cong T^a(V \otimes k)/(W \otimes k)$ is Koszul, therefore φ is Koszul by definition.

Assume, conversely, that φ is Koszul. Since $\text{Tor}^Q(R, k)$ is Koszul, it admits a compatible weight grading making it quadratic. That is, we have an isomorphism

$$\text{Tor}_i^Q(R, k)_{(w)} \cong (T^a_{(w)}(\bar{V})/(W))_i$$

identifying the product on Tor with the product on the quotient of the tensor algebra, where $\bar{V} = \text{Tor}^Q(R, k)_{(1)}$ and $\bar{W} \subseteq \bar{V} \otimes_k \bar{V}$ is a graded subspace.

Let V be a free graded Q -module such that $V \otimes k = \bar{V}$, and choose a direct summand $W \subseteq V \otimes V$ such that $W \otimes k = \bar{W}$. If we define $A := T(V)/(W)$, then A is a free, bigraded Q -module and

$$A \otimes_Q k = T(V)/(W) \otimes k = T(\bar{V})/(\bar{W}) \cong \text{Tor}^Q(R, k).$$

Therefore we may equip A with a differential making it the minimal Q -resolution of R . We have constructed (1) and (3) satisfying condition (i). Since $R \otimes_Q^L k$ is formal, by Proposition 5.4 there is an A_∞ -structure on A as required for (2), inducing the algebra structure on $\text{Tor}^Q(R, k)$ and satisfying the conditions (ii) and (iii). \square

In Section 8 we illustrate Theorem 7.1 in detail using the examples in Section 3.

7.2. Strictly Koszul presentations. For a local homomorphism $\varphi: Q \rightarrow R$, Theorem 6.5 allowed us to obtain free resolutions over R starting from free resolutions over Q . The main input to this theorem was a curved dg coalgebra C over Q with quasi-isomorphism $\Omega(C) \rightarrow R$. Our philosophy is that when φ is Koszul C should have a simple description. In this section we introduce additional technical assumptions that will allow us to explicitly construct C , mimicking a classical construction of Priddy.

Definition 7.3. Let $\varphi: Q \rightarrow R$ be Koszul. Recall from Theorem 7.1 that R admits an A_∞ -algebra resolution A over Q with a quadratic presentation $A \cong T(V)/(W)$ satisfying the conditions (i)–(iii). The data (A, V, W) is called a *strictly Koszul presentation* for φ if, in addition to these conditions,

$$(7.3.1) \quad \bar{m}_1(V) \subseteq V \quad \text{and} \quad \bar{m}_n\left(\bigcap_{i+2+j=n} V^{\otimes i} \otimes W \otimes V^{\otimes j}\right) \subseteq V \quad \text{for } n \geq 2,$$

where we have used the inclusion $\bigcap_{i+2+j=n} V^{\otimes i} \otimes W \otimes V^{\otimes j} \subseteq V^{\otimes n} \subseteq \bar{A}^{\otimes n}$ to apply the A_∞ -operations \bar{m}_n of \bar{A} . If the homomorphism φ admits a strictly Koszul presentation, then φ is called *strictly Koszul*.

In this setting, we define

$$C_{(n)}(V, W) := \bigcap_{i+2+j=n} (\Sigma V)^{\otimes i} \otimes \Sigma^2 W \otimes (\Sigma V)^{\otimes j} \subseteq B_{(n)}(A).$$

By definition, the curvature term, the coderivation and the comultiplication on $B(A)$ restrict to maps on $C(V, W)$, and hence $C(V, W)$ is a counital curved dg coalgebra. We call $C(V, W)$ the *Priddy coalgebra associated to (A, V, W)* . If the

presentation is clear from the context, we say it is the *Priddy coalgebra* of φ , and we write

$$\mathsf{C}(\varphi) := \mathsf{C}(V, W).$$

7.4. Let φ have a strictly Koszul presentation (A, V, W) , and let $(-)^{\vee}$ denote graded Q -linear duality. In this setting, one can directly compute from the definition of the Priddy coalgebra of φ that

$$(7.4.1) \quad \mathsf{C}(\varphi)^{\vee} = \mathsf{T}^a(\Sigma^{-1}V^{\vee})/(\Sigma^{-2}W^{\perp})$$

where $W^{\perp} = \{f \in (V \otimes V)^{\vee} \mid f(W) = 0\}$; this uses that V is free and $W \subseteq V \otimes V$ is a summand.

What we call the Priddy coalgebra first appeared, for algebras over a field, in the work of Priddy [Pri70, Section 3], where it is called the Koszul complex. See also [BGS96, Section 2.6] and [LV12, Chapter 3] (where our notation is taken from).

In Section 8 we show that complete intersection and Golod homomorphisms are strictly Koszul, as well as Cohen presentations of almost Golod Gorenstein local rings. In fact, we are not able to construct surjective Koszul homomorphisms that are not strictly Koszul, therefore we ask:

Question 7.5. For a surjective Koszul homomorphism $\varphi: Q \rightarrow R$, is it always possible to construct a strictly Koszul presentation (A, V, W) as in Definition 7.3?

We think of R and $\mathsf{C}(\varphi)^{\vee}$ as being Koszul dual to each other relative to Q . The next result justifies this, and in particular it says that this specializes, at the maximal ideal of Q , to classical Koszul duality over k .

Theorem 7.6. *Let $\varphi: Q \rightarrow R$ be a strictly Koszul homomorphism. Then $\mathsf{C}(\varphi)$ is minimal in the sense that $\partial(\mathsf{C}(\varphi)) \subseteq \mathfrak{m}_Q \mathsf{C}(\varphi)$, and the inclusion $\mathsf{C}(\varphi) \rightarrow \mathsf{B}(A)$ is a weak equivalence of connected curved dg coalgebras. Moreover, both $T = \mathsf{Tor}^Q(R, k)$ and $E = \mathsf{Hom}(\mathsf{C}(\varphi), k)$ are Koszul k -algebras and there k -algebra isomorphisms*

$$\mathsf{Ext}_T(k, k) \cong E \quad \text{and} \quad \mathsf{Ext}_E(k, k) \cong T.$$

Proof. We fix a strictly Koszul presentation, so that $\mathsf{C}(\varphi) = \mathsf{C}(V, W)$.

By Theorem 7.1 the A_{∞} -structure on A satisfies $m_n \otimes k = 0$ for $n \neq 2$, and $A \otimes k = \mathsf{T}^a(V \otimes k)/(W \otimes k)$ is a quadratic algebra. It then follows from [LV12, Proposition 3.3.2] that the differential of $\mathsf{C}(V, W) \otimes k = \mathsf{C}(V \otimes k, W \otimes k) \subseteq \mathsf{B}(A \otimes k)$ is zero. Therefore $\mathsf{C}(V, W)$ is minimal.

Since $T = A \otimes k$ is Koszul by assumption, $\mathsf{C}(V \otimes k, W \otimes k) \rightarrow \mathsf{B}(A \otimes k)$ is a weak equivalence by [LV12, Theorem 3.4.6]. Since V is free and $W \subseteq V \otimes V$ is a summand, $\Omega(\mathsf{C}(V \otimes k, W \otimes k)) = \Omega(\mathsf{C}(V, W)) \otimes k$ and $\Omega(\mathsf{B}(A \otimes k)) = \Omega(\mathsf{B}(A)) \otimes k$, so it follows from the derived version of Nakayama's lemma that $\mathsf{C}(V, W) \rightarrow \mathsf{B}(A)$ is a weak equivalence as well.

Since $\mathsf{C}(\varphi)$ is minimal, the coproduct induces the structure of a graded k -algebra on $\mathsf{Hom}(\mathsf{C}(\varphi), k)$, with zero differential. Using 7.4, it follows that

$$E = \mathsf{C}(\varphi)^{\vee} \otimes k = \mathsf{T}^a(\Sigma^{-1}V^{\vee} \otimes k)/(\Sigma^{-2}W^{\perp} \otimes k).$$

Therefore E is the quadratic dual of $T = \mathsf{T}^a(V \otimes k)/(W \otimes k)$, and the final statement follows from [BGS96, 2.10]. \square

7.7. The Priddy resolution. We have arrived at one of the main applications of our techniques. The next result provides explicit “universal resolutions” for modules over the target of a strictly Koszul homomorphism. It recovers the Shamash resolution in the case of complete intersection homomorphisms, and the bar resolution of Iyengar and Burke in the case of Golod homomorphisms. We present these and other examples in the next section.

Theorem 7.8. *Let $\varphi: Q \rightarrow R$ be a Koszul homomorphism with a strictly Koszul presentation (A, V, W) . Assume that M is an R -complex with a semifree resolution $G \rightarrow M$ over Q and that G has a strictly unital A_∞ -module structure over A . Then*

$$R \otimes^\tau C(V, W) \otimes^\tau G \longrightarrow M$$

is a semifree resolution over R , with differential given by

$$\begin{aligned} \partial^\tau = & \sum_{\substack{r+s+t=n \\ r, t \geq 0, s \geq 1}} (-1)^{\frac{s(s+1)}{2}} \text{id}_R \otimes (\text{id}^{\otimes r} \otimes \Sigma \bar{m}_s(\Sigma^{-1})^{\otimes s} \otimes \text{id}^{\otimes t}) \otimes \text{id}_G \\ & + \sum_{\substack{i+j=n+1 \\ i \geq 0, j \geq 1}} (-1)^{\frac{(j-1)(j-2)}{2}} \text{id}_R \otimes \text{id}^{\otimes i} \otimes \bar{m}_j^G((\Sigma^{-1})^{\otimes(j-1)} \otimes \text{id}_G). \end{aligned}$$

Proof. By Theorem 7.6 we can apply Theorem 6.5 to obtain the result. \square

We call $R \otimes^\tau C(V, W) \otimes^\tau G$ the *Priddy resolution* of M associated to the strictly Koszul presentation (A, V, W) . We emphasize that (as long as M and R have finite projective dimension over Q) there is *only a finite amount of data needed to construct the Priddy resolution*. Therefore, it would be especially interesting to give an effectively computable answer to Question 7.5.

7.9. For any surjective map $\varphi: Q \rightarrow R$ of local rings with common residue field k , and any finitely generated R -module M , Lescot [Les90] established the coefficientwise inequality

$$P_M^R(t) \cdot P_k^Q(t) \preccurlyeq P_M^Q(t) \cdot P_k^R(t).$$

If equality holds, M is said to be *inert* by φ .

7.10. A surjective map $\varphi: Q \rightarrow R$ of local rings with common residue field k is called *small* if the induced map $\text{Tor}^Q(k, k) \rightarrow \text{Tor}^R(k, k)$ is injective [Avr78]. For example, any minimal Cohen presentation is small. When φ is small, there is an equality $P_k^Q(t) \cdot P_k^{R \otimes_Q^L k}(t) = P_k^R(t)$ by [Avr78, Corollary 5.3].

The next result addresses the (non-)minimality of the Priddy resolution.

Theorem 7.11. *Let $\varphi: Q \rightarrow R$ be a surjective map of local rings with common residue field k . If φ is small and strictly Koszul with Priddy coalgebra $C(\varphi)$, then*

$$\sum_i \text{rank}_Q(C(\varphi)_i) t^i = \frac{P_k^R(t)}{P_k^Q(t)}.$$

Moreover, for any finitely generated R -module M there is a coefficientwise inequality

$$P_M^R(t) \preccurlyeq \frac{P_M^Q(t) \cdot P_k^R(t)}{P_k^Q(t)},$$

Equality holds if and only if M is inert by φ , if and only if its Priddy resolution with respect to φ is a minimal resolution. In particular, the Priddy resolution of the residue field k is minimal.

Proof. We may compute $H_C(t) := \sum_i \text{rank}_Q(C(\varphi)_i) t^i$ as follows:

$$H_C(t) = \sum_i \text{rank}_k(\text{Hom}(C(\varphi)_i, k)) t^i = P_k^{\text{Tor}^Q(R, k)}(t) = P_k^{R \otimes_Q^1 k}(t) = \frac{P_k^R(t)}{P_k^Q(t)};$$

the second equality follows from that last statement in Theorem 7.6; the third uses formality of $R \otimes_Q^1 k$; and the last uses the small hypothesis, explained in 7.10.

Theorem 7.8 directly yields the inequality

$$(7.11.1) \quad P_M^R(t) \preccurlyeq P_M^Q(t) \cdot H_C(t),$$

with equality if and only if the Priddy resolution is minimal. At the same time, the computation of $H_C(t)$ above transforms (7.11.1) into the inequality stated in the theorem, and equality holds there by definition when M is inert; see 7.9. \square

Remark 7.12. Theorem 7.11 recovers Lescot's bound in 7.9 for the homomorphisms considered. One cannot directly recover the former from the latter using manipulations of formal power series as the coefficients of $P_k^Q(t)^{-1}$ can be negative.

8. EXAMPLES OF STRICTLY KOSZUL PRESENTATIONS

In this final section we will apply the theory developed above in a series of examples, obtaining explicit resolutions for modules over various classes of rings. We also survey how these constructions relate to known resolutions in the literature.

We fix a local ring Q with residue field k .

| | A_0 | A_1 | A_2 | | A_0 | A_1 | A_2 | | A_0 | A_1 | A_2 |
|-----------|-------|-------|-------|--|-------|-------|--------------|--|-------|-------|-------|
| $A_{(0)}$ | Q | 0 | 0 | | Q | 0 | 0 | | Q | 0 | 0 |
| $A_{(1)}$ | V | 0 | 0 | | 0 | V | 0 | | 0 | V_1 | V_2 |
| $A_{(2)}$ | V^2 | 0 | 0 | | 0 | 0 | $\wedge^2 V$ | | 0 | 0 | 0 |

(A) Flat Koszul map, cf.
Examples 3.1 and 8.1

(B) Complete intersection
map, cf. Example 3.2
and Section 8.7

(C) Golod map, cf. Exam-
ples 3.5 and 8.2

FIGURE 1. Illustration of the weight and homological gradings of the A_∞ -resolution A for various examples.

Example 8.1 (Flat Koszul homomorphisms). We begin with a presentation for a commutative Koszul k -algebra:

$$K = k[x_1, \dots, x_n]/(f_1, \dots, f_m),$$

where f_1, \dots, f_m are quadratic polynomials. To deform this presentation, we consider the Q -algebra $Q[x_1, \dots, x_n]$, weight graded by polynomial degree. We choose elements F_1, \dots, F_m such that for each i

$$F_i = F_{i,(2)} + F_{i,(1)} + F_{i,(0)} \quad \text{with} \quad F_{i,(w)} \in Q[x_1, \dots, x_n]_{(w)},$$

and such that modulo \mathfrak{m}_Q , in $k[x_1, \dots, x_n]$, we have

$$F_{i,(2)} = f_i \quad \text{and} \quad F_{i,(1)} = F_{i,(0)} = 0.$$

By construction, the homomorphism

$$\varphi: Q \longrightarrow R := \frac{Q[x_1, \dots, x_n]}{(F_1, \dots, F_m)}$$

is flat, and its fiber $K = R \otimes_Q k$ is Koszul. Therefore φ is a Koszul homomorphism, as in Example 3.1.

To show that φ is strictly Koszul, we take $V = Q[x_1, \dots, x_n]_{(1)}$ and

$$W = \langle \{x_i \otimes x_j - x_j \otimes x_i\}_{ij}, \tilde{F}_{1,(2)}, \dots, \tilde{F}_{m,(2)} \rangle \subseteq V \otimes V,$$

where $\tilde{F}_{i,(2)}$ are preimages of $F_{i,(2)}$ in $V \otimes V$. Then $T^a(V)/(W) \otimes k \cong K$, so we may choose a compatible isomorphism of Q -modules

$$R \cong T^a(V)/(W)$$

that restricts to the identity of V .

We obtain a presentation satisfying the conditions of Theorem 7.1, using $A = R$ with only m_2 nonzero. To show that the presentation is strict we note that since R is commutative

$$m_2(x_i \otimes x_j - x_j \otimes x_i) = 0,$$

and we note that since $F_i = 0$ in R ,

$$m_2(\tilde{F}_{i,(2)}) + F_{i,(1)} + F_{i,(0)} = m_2(\tilde{F}_{i,(2)} + F_{i,(1)} \otimes 1 + F_{i,(0)} \otimes 1) = 0.$$

This shows that

$$\tilde{m}_2(W) \subseteq \langle F_{1,(1)}, \dots, F_{m,(1)} \rangle \subseteq V.$$

We can conclude that (R, V, W) is a strictly Koszul presentation for φ . In Fig. 1a we illustrate the grading of A .

Example 8.2 (Golod homomorphisms). This is the primary example treated by Burke in [Bur15], at least when Q is regular. Continuing Example 3.5, let $\varphi: Q \rightarrow R$ be a surjective local Golod homomorphism, with a minimal resolution A of R over Q . By [Bur15, Theorem 6.13], for every A_∞ -algebra structure $\{m_n\}$ on A one has $m_n \otimes_Q k = 0$ for $n \neq 2$. Then

$$R \otimes_Q^L k = A \otimes_Q k = k \ltimes U = T^a(U)/(U \otimes U)$$

where U is the graded k -vector space $A_{\geq 1} \otimes k$. In particular, lifting this isomorphism to Q we obtain $A \cong T^a(V)/(W)$ with $V = A_{\geq 1}$ and $W = V \otimes V$. This presentation satisfies the conditions of Theorem 7.1. Further, the data (A, V, W) is a strictly Koszul presentation, and the Priddy coalgebra of φ is the bar construction $C(V, W) = B(A)$. In this case the Priddy resolution of a module M recovers the bar resolution $R \otimes^\tau B(A) \otimes^\tau G$ from [Bur15, Theorem 3.13]. Comparing 7.9 with (3.4.1), the resolution is minimal if and only if M is inert with respect to φ , if and only if M is a φ -Golod module (i.e. the Serre bound (3.4.1) is an equality), by Theorem 7.11.

Remark 8.3. There are many examples of Golod (in particular, Koszul) homomorphisms $\varphi: Q \rightarrow R$ such that the minimal resolution A of R over Q does not admit a dg Q -algebra structure. In fact, this behavior seems to be typical. One way to construct them is as follows.

Let I be an ideal in a local ring P , and consider the map $\varphi: Q \rightarrow R$ with

$$Q = P[x]_{(\mathfrak{m}_P, x)} \quad \text{and} \quad R = Q/(xI).$$

By [Lev76, Theorem 2.4], see also [Sha69], φ is Golod. If B is the minimal resolution of P/I over P , then the minimal resolution A of R over Q can be described as

$$A_i = B_i \otimes_P Q, \quad \text{with} \quad \partial_1^A = x \cdot \partial_1^B \otimes_P Q \quad \text{and} \quad \partial_{\geq 2}^A = \partial_{\geq 2}^B \otimes_P Q.$$

If A were to admit a dg Q -algebra structure, then localizing would produce a dg $P(x)$ -algebra structure on

$$A \otimes_Q Q_{(\mathfrak{m}_P[x])} \cong B \otimes_P P(x),$$

and this is a minimal resolution of $P(x)/I(x)$ over $P(x)$.

However, we can start with examples of P and I such that this is impossible. To be concrete, Example 3.24 (replacing k with $k(x)$) shows that there is no such dg algebra structure when $P(x) = k(x)[[a, b, c, d]]$ and $I(x) = (a^2, ab, bc, cd, d^2)$. It follows that the homomorphism

$$\varphi: k[[a, b, c, d, x]] \longrightarrow \frac{k[[a, b, c, d, x]]}{(a^2x, abx, bcx, cdx, d^2x)}$$

is Golod and there is no dg algebra structure on the minimal resolution of the target over the source.

Example 8.4 (Gorenstein homomorphisms of projective dimension 3). Assume that $\varphi: Q \rightarrow R$ is a surjective local Gorenstein map of $\text{projdim}_Q(R) = 3$. In Example 3.12 the dg algebra resolution A of R is described, with bases $\{e_i\}$, $\{f_i\}$ and $\{g\}$ for A_1 , A_2 and A_3 respectively. The multiplication induces a perfect pairing

$$\langle -, - \rangle: A \otimes A \longrightarrow \Sigma^3 A_3 \cong \Sigma^3 Q$$

that makes A a cyclic A_∞ -algebra. We take $V = A_1 \oplus A_2$ and $W = \ker(\langle -, - \rangle|_{V \otimes V})$; alternatively, W is freely spanned as a graded Q -module by

$$\{e_i \otimes e_j, f_i \otimes f_j, e_i \otimes f_i - f_j \otimes e_j\}_{i,j} \cup \{e_i \otimes f_j, f_i \otimes e_j\}_{i \neq j}.$$

The short Gorenstein description of $A \otimes_Q k$ lifts to an isomorphism of graded Q -modules $A \cong T(V)/(W)$ satisfying the conditions of Theorem 7.1.

Using the explicit description in Example 3.12

$$\bar{m}_1(V) \subseteq A_1 \subseteq V, \quad \bar{m}_2(W) \subseteq A_2 \subseteq V \quad \text{and} \quad \bar{m}_n = 0 \quad \text{for } n \geq 3.$$

Therefore the homomorphism φ is strictly Koszul, using the presentation (A, V, W) . The corresponding Priddy coalgebra is given by

$$C_{(n)}(V, W) = \left\{ \sum v_1 \otimes \cdots \otimes v_n \mid \sum v_1 \otimes \cdots \otimes \langle v_i, v_{i+1} \rangle v_{i+2} \otimes \cdots \otimes v_n = 0, 1 \leq i < n \right\}.$$

Alternatively, $C(V, W)$ can be described explicitly using the basis of W above. We also note that the Priddy coalgebra is dual to the non-commutative hypersurface

$$C(V, W)^\vee \cong T^a(V^\vee)/(\rho),$$

where $\rho = e_1^\vee \otimes f_1^\vee + f_1^\vee \otimes e_1^\vee + \cdots + e_r^\vee \otimes f_r^\vee + f_r^\vee \otimes e_r^\vee$.

Remark 8.5. In [Bur15, Example 3.10], Burke examines the specific Gorenstein ring $R = Q/I$, of codimension three, where

$$Q = k[[x, y, z]] \quad \text{and} \quad I = (x^2, yz, xy + z^2, xz, y^2).$$

In particular, Burke explicitly computes the A_∞ -module A -structure on K^Q and uses this to obtain the (non-minimal) bar resolution $R \otimes^\tau B(A) \otimes^\tau K^Q$ of k . In comparison, by Theorem 7.8 the Priddy resolution $R \otimes^\tau C(V, W) \otimes^\tau K^Q$ of k , with respect to (A, V, W) in Example 8.4, is minimal by Theorem 7.11.

Remark 8.6. A similar argument to Example 8.4 shows that if $\varphi: Q \rightarrow R$ is a minimal Cohen presentation for an almost Golod Gorenstein ring, and if the minimal Q -free resolution of R admits a dg algebra structure, then φ is strictly Koszul. The minimal resolution is known in the case of a compressed artinian Gorenstein ring [MR18], and it is suspected to carry a dg algebra structure.

In Theorem 8.18 we will generalize this substantially, showing that it is only necessary for the minimal resolution to admit a cyclic A_∞ -algebra resolution.

8.7. Complete intersection homomorphisms. Next we show that surjective complete intersection homomorphisms are strictly Koszul, and that the resulting Priddy resolution recovers a well known construction of Eisenbud [Eis80] and Shamash [Sha69]. The latter uses *systems of higher homotopies* to obtain free resolutions over the target of a surjective complete intersection homomorphism, starting from data over the source. We first recall this story, which provides context for some of the results in this subsection. We then proceed to verify that such maps are strictly Koszul, and conclude by laying out the connection between A_∞ -structures and systems of higher homotopies.

In what follows, we return to the setting of Example 3.2. Namely, $\varphi: Q \rightarrow R$ is a surjective, local homomorphism where $\ker \varphi$ is generated by a Q -regular sequence $\mathbf{f} = f_1, \dots, f_c$, and $A = \text{Kos}^Q(\mathbf{f})$.

8.8. Let M be an R -module and $G \rightarrow M$ a free resolution over Q . A *system of higher homotopies*, corresponding to \mathbf{f} , on G is a collection of maps $\sigma^{(\alpha)}: G \rightarrow G$, one for each $\alpha \in \mathbb{N}_0^c$, of degree $2|\alpha| - 1$ such that:

- (1) $\sigma^{(\mathbf{0})} = \partial^G$ where $\mathbf{0} = (0, \dots, 0)$;
- (2) $\sigma^{(\mathbf{0})}\sigma^{(\mathbf{e}_i)} + \sigma^{(\mathbf{e}_i)}\sigma^{(\mathbf{0})} = f_i \text{id}_G$ where $\mathbf{e}_i = (0, \dots, 0, 1, 0, \dots, 0)$;
- (3) for any $\alpha \in \mathbb{N}_0^c$ with $|\alpha| > 1$ one has $\sum_{\alpha=\beta+\gamma} \sigma^{(\beta)}\sigma^{(\gamma)} = 0$.

Such a system of maps always exists by [Eis80, Section 7]. The utility of this data is summarized in the following construction: if D denotes the graded Q -linear dual of $Q[\chi_1, \dots, \chi_c]$, where each χ_i has homological degree -2 , then the R -complex $R \otimes D \otimes G$ with differential $\sum_{\alpha \in \mathbb{N}_0^c} 1 \otimes \chi^\alpha \otimes \sigma^{(\alpha)}$ is a free resolution of M over R ; see [Eis80, Section 7] for more details.

When M is an R -complex, one can take $\varepsilon: G \rightarrow M$ to be a semifree resolution over Q and impose also the following condition to obtain analogous results:

- (4) $\varepsilon\sigma^{(\alpha)} = 0$ for $|\alpha| > 0$.

Such a system of maps exists and can be used to transfer semifree resolutions over Q to ones over R , by an argument similar to the classical one in [Eis80]; this will be contained in future joint work of Grifo with the first and fourth author.

Remark 8.9. Let M be an R -module and $G \rightarrow M$ a free resolution over Q . In [Bur15], Burke notes that when $c = 1$ an A_∞ -module structure on G over A is equivalent to a system of higher homotopies on G . Furthermore the bar resolution of M in Burke's paper agrees with the Priddy resolution of M , introduced above. Such maps are also Golod, and so we are also in the setting of Example 8.2.

For arbitrary codimension c the bar resolution is not minimal. However, in unpublished work, Burke constructs an acyclic twisting cochain $D \rightarrow A$ and uses this to transfer a semifree resolution of an R -complex M over Q to one over R that agrees with the construction of Eisenbud and Shamash; cf. 8.8 (see also [AB00, Mar21]). The connection between higher homotopies and A_∞ -structures is also

implicit in Burke's work. We will give an explicit description of how these structures relate in Theorem 8.12.

8.10. The narrative above is subsumed by the one in this article. Specifically, the dg algebra resolution $A = \text{Kos}^Q(\mathbf{f})$ of R over Q has a quadratic presentation $T^a(V)/(W)$, with

$$V = A_1 = \Sigma Q^c \quad \text{and} \quad W = \langle \{a \otimes a\}_{a \in A_1} \cup \{a \otimes b + b \otimes a\}_{a, b \in A_1} \rangle \subseteq V^{\otimes 2}.$$

The graded module V is concentrated in degree 1, and the weight and homological gradings agree. It is straightforward to check that this presentation satisfies the conditions of Theorem 7.1. By construction,

$$(8.10.1) \quad \bar{m}_1(V) = 0, \quad \bar{m}_2(W) = 0 \quad \text{and} \quad \bar{m}_n = 0 \quad \text{for } n \geq 3.$$

Hence φ is strictly Koszul.

To conclude that the constructions in 8.8 and Remark 8.9 are recovered by Theorem 7.8, we end this subsection with the following analysis.

8.11. Let S_n be the symmetric group. For $\alpha = (\alpha_i) \in \mathbb{N}_0^c$ with $|\alpha| = n$ we let

$$S_\alpha := \{\tau \in S_n \mid \tau(\alpha_1 + \cdots + \alpha_i + 1) < \cdots < \tau(\alpha_1 + \cdots + \alpha_{i+1}) \text{ for } 0 \leq i \leq c-1\}$$

denote the subgroup of α -shuffles [Bou81, Chapter IV, §5.3].

The symmetric group S_n acts on $(\Sigma V)^{\otimes n}$ by permuting simple tensors, there are no signs appearing since ΣV is in degree 2. The *module of symmetric tensors on ΣV* is the graded module $\Gamma(\Sigma V) = \bigoplus_{n \geq 0} \Gamma_{(n)}(\Sigma V)$, with

$$\Gamma_{(n)}(\Sigma V) := T_{(n)}(\Sigma V)^{S_n}.$$

The coalgebra structure on $T^c(\Sigma V)$ restricts to a coalgebra structure on $\Gamma(\Sigma V)$. We call this the *coalgebra of symmetric tensors on ΣV* and denote it by $\Gamma^c(\Sigma V)$.

We denote the basis of $\Sigma V = \Sigma^2 Q^c$ corresponding to f_1, \dots, f_c by y_1, \dots, y_c . A basis of $\Gamma(\Sigma V)$ is given by

$$y^{(\alpha)} := \sum_{\tau \in S_\alpha} \tau \cdot (y_1^{\otimes \alpha_1} \otimes \cdots \otimes y_c^{\otimes \alpha_c}) \in \Gamma_{(|\alpha|)}^c(\Sigma V).$$

Theorem 8.12. Let $\varphi: Q \rightarrow R$ be a surjective complete intersection homomorphism with kernel generated by a Q -regular sequence $\mathbf{f} = f_1, \dots, f_c$, and let M denote an R -complex.

- (1) φ is strictly Koszul and its Priddy coalgebra is the curved coalgebra of symmetric tensors $\Gamma^c(\Sigma^2 Q^c)$, with curvature term $(f_1, \dots, f_c): \Sigma^2 Q^c \rightarrow Q$.
- (2) Given a semifree resolution $G \rightarrow M$ over Q there exists a strictly unital A_∞ -module structure $\{m_n^G\}$ over $A = \text{Kos}^Q(\mathbf{f})$ making $G \rightarrow M$ a strict morphism of A_∞ -modules over A , where A acts on M via restricting scalars along the dg algebra map $A \rightarrow R$. Then setting

$$\sigma^{(\alpha)} := (-1)^{\frac{|\alpha|(|\alpha|-1)}{2}} m_{|\alpha|+1}^G((\Sigma^{-1})^{\otimes |\alpha|} y^{(\alpha)} \otimes \text{id})$$

for $\alpha \in \mathbb{N}_0^c$ and y_1, \dots, y_c the standard basis for $\Sigma^2 Q^c$ defines a system of higher homotopies on G corresponding to \mathbf{f} .

- (3) Moreover, the Priddy resolution from Theorem 7.8 recovers the Eisenbud–Shamash resolution described in 8.8.

Proof. We saw in 8.10 that φ is strictly Koszul. Using the same notation we first show that $C(V, W) = \Gamma(\Sigma V)$. Indeed for the nontrivial element $\tau \in S_2$ one has

$$\Sigma^2 W = \ker \left(\Sigma V \otimes \Sigma V \xrightarrow{\text{id} - \tau} \Sigma V \otimes \Sigma V \right) = \Gamma_{(2)}(\Sigma V),$$

and so using the transposition $\tau_i = (i \ i+1) \in S_n$ we obtain

$$(\Sigma V)^{\otimes i-1} \otimes \Sigma^2 W \otimes (\Sigma V)^{\otimes n-i-1} = \ker \left((\Sigma V)^{\otimes n} \xrightarrow{\tau_i - \text{id}} (\Sigma V)^{\otimes n} \right).$$

Since S_n is generated by the transpositions τ_i , it follows that $C(V, W) = \Gamma(\Sigma V)$.

The coalgebra structure on $C(V, W)$ is inherited from $B(A)$, and this coincides with the coalgebra structure on $T^c(\Sigma V)$ because of the compatible inclusions

$$C(V, W) = \Gamma^c(\Sigma V) \subseteq T^c(\Sigma V) \subseteq T^c(\Sigma \bar{A}) = B(A).$$

The differential on $C(V, W)$ is zero by (8.10.1). It is straightforward to see that the curvature term on $C(V, W)$ is (up to a shift) the first differential of A . This completes the proof of (1).

For (2), such an A_∞ -module structure making $G \rightarrow M$ a strict morphism exists by 5.2. Then, by definition 8.8(1) holds. The fact that 8.8(2) holds follows from using the second Stasheff identity from 4.10. Another computation using the Stasheff identities, the unital structure on G , and the fact that A is graded-commutative show that 8.8(3) holds. The verification of 8.8(3) for $\alpha = e_1 + e_2$ is illustrative of the proof for the general case and so we sketch this case below.

Fix basis elements $e_i \in A_1$ with $\partial^A(e_i) = f_i$, and note that

$$(8.12.1) \quad (\Sigma^{-1})^{\otimes 2} y^{(\alpha)} = e_1 \otimes e_2 + e_2 \otimes e_1.$$

Observe that for $\{i, j\} = \{1, 2\}$ one has

$$\begin{aligned} & m_2^G(e_i \otimes m_2^G(e_j \otimes \text{id}) - (e_i \cdot e_j) \otimes \text{id}) \\ &= \partial^G m_3^G(e_i \otimes e_j \otimes \text{id}) + m_3^G(f_i \otimes e_j \otimes \text{id} - e_j \otimes f_i \otimes \text{id} + e_i \otimes e_j \otimes \partial^G) \\ &= \partial^G m_3^G(e_i \otimes e_j \otimes \text{id}) + m_3^G(e_i \otimes e_j \otimes \text{id}) \partial^G, \end{aligned}$$

where the first precomposes the third Stasheff identity from 4.10 with $e_i \otimes e_j \otimes \text{id}$, while the third equality uses that $\{m_n^G\}$ is a strictly unital A_∞ -module structure. It follows that

$$\partial^G m_3^G(e_i \otimes e_j \otimes \text{id}) - m_2^G(e_i \otimes m_2^G(e_j \otimes \text{id})) + m_2^G(e_i \cdot e_j \otimes \text{id}) + m_3^G(e_i \otimes e_j \otimes \text{id}) \partial^G = 0,$$

and so adding these expressions for $(i, j) = (1, 2)$ and $(i, j) = (2, 1)$, and recalling (8.12.1), we obtain

$$\sum_{\beta+\gamma=\alpha} \sigma^{(\beta)} \sigma^{(\gamma)} + m_2^G(e_1 \cdot e_2 \otimes \text{id}) + m_2^G(e_2 \cdot e_1 \otimes \text{id}) = 0.$$

It now remains to observe that since A is graded-commutative

$$m_2^G(e_1 \cdot e_2 \otimes \text{id}) + m_2^G(e_2 \cdot e_1 \otimes \text{id}) = m_2^G((e_1 \cdot e_2 + e_2 \cdot e_1) \otimes \text{id}) = 0.$$

Thus 8.8(3) holds for $\alpha = e_1 + e_2$.

The condition 8.8(4) holds since ε is a strict morphism, and since M is a dg A -module where the e_i 's act trivially. This completes the proof of (2).

It remains to show (3). By [Bou81, IV.§.5.11] we have a natural isomorphism of algebras

$$Q[\chi_1, \dots, \chi_c] \cong \Gamma^c(\Sigma^2 Q^c)^\vee = C(V, W)^\vee$$

determined by $\chi_i \mapsto y_i^\vee$; this correspondence can also be seen via (7.4.1):

$$\mathsf{C}(V, W)^\vee \cong \mathrm{T}^a(\Sigma^{-2}(Q^c)^\vee)/(\Sigma^{-1}W^\perp) = \mathrm{Sym}(\Sigma^{-2}(Q^c)^\vee) \cong Q[\chi_1, \dots, \chi_n]$$

where $W^\perp = \{f \otimes g - g \otimes f \mid f, g \in \Sigma^{-1}(Q^c)^\vee\}$, identifying $(y^{(\alpha)})^\vee$ with χ^α for each $\alpha \in \mathbb{N}_0^c$. As a consequence, dualizing the correspondence above yields an isomorphism of graded Q -modules $D \cong \mathsf{C}(V, W)$ inducing an isomorphism of graded R -modules

$$\psi: R \otimes D \otimes G \xrightarrow{\cong} R \otimes \mathsf{C}(V, W) \otimes G.$$

It remains to observe that

$$\psi \circ \sum_{|\alpha|=n-1} \chi^\alpha \otimes \sigma^{(\alpha)} = (-1)^{\frac{(n-1)(n-2)}{2}} \bar{m}_n^G((\Sigma^{-1})^{\otimes(n-1)} \otimes \mathrm{id}) \Big|_{\Gamma_{(n-1)}^c(\Sigma^2 Q^c) \otimes G}.$$

Therefore ψ is compatible with the differentials of its target and source, and so it is an isomorphism of R -complexes; cf. Theorem 7.8 and 8.8. \square

Remark 8.13. The higher homotopies $\sigma^{(\alpha)}$ with $|\alpha| = n$ induce a $\Gamma^c(\Sigma^2 Q^c)$ -comodule structure on $\Gamma^c(\Sigma^2 Q^c) \otimes G$; in fact conditions 8.8(1–4) are equivalent to this. On the other hand an A_∞ -module structure on G is equivalent to a $B(A)$ -comodule structure on $B(A) \otimes G$. Hence a system of higher homotopies on G captures the ‘symmetric’ part of an A_∞ -module structure on G over A .

Remark 8.14. Moving beyond finite projective dimension, complete intersection homomorphisms fit into the well-studied class of quasi-complete intersection homomorphisms; cf. [AH§13, AI03]. In residual characteristic zero and two, it is straightforward to check that such maps are strictly Koszul; this provides more examples of strictly Koszul homomorphisms of infinite projective dimension. In odd characteristic the presence of divided powers prevents $\mathrm{Tor}^Q(R, k)$ from admitting a quadratic presentation.

8.15. Almost Golod Gorenstein rings. To end the paper, we return to the class of almost Golod Gorenstein rings that we studied in Section 3.13. We show that these rings are strictly Cohen–Koszul, i.e. every Cohen presentation is a strictly Koszul map, and we thereby obtain concrete free resolutions for all modules over such rings, using the machinery developed in Section 7.2.

The next lemma is a general construction in the homological algebra of Gorenstein rings, building on work of Avramov and Levin [LA78].

Lemma 8.16. *Let R be a zero dimensional Gorenstein ring of codimension d , with a minimal Cohen presentation $Q \rightarrow R$, and let $A \xrightarrow{\cong} R$ be the minimal Q -free resolution of R . The inclusion of the socle lifts to a chain map*

$$\begin{array}{ccc} K^Q & \dashrightarrow & A \\ \simeq \downarrow & & \downarrow \simeq \\ \mathrm{soc}(R) & \longrightarrow & R \end{array}$$

where K^Q is the Koszul complex of Q . The subcomplex

$$A' := \mathrm{cone}(K_{\leq d}^Q \rightarrow A_{\leq d}) \subseteq \mathrm{cone}(K^Q \rightarrow A)$$

is then a minimal Q -free resolution of $R/\mathrm{soc}(R)$.

Proof. By the exact sequence of homology groups $H_*(\text{cone}(K^Q \rightarrow A))$ is isomorphic to $R/\text{soc}(R)$, concentrated in degree zero. The proof of [LA78, Theorem 1] shows that the map $K_i^Q \otimes_Q k \rightarrow A_i \otimes_Q k$ is an isomorphism for $i = d$ and zero for $i < d$. The former fact implies that the inclusion $A' \subseteq \text{cone}(K^Q \rightarrow A)$ is a quasi-isomorphism, and the latter implies that A' is minimal as a complex. Altogether this shows that A' is the minimal resolution of $R/\text{soc}(R)$. \square

Lemma 8.17. *Let R be an almost Golod Gorenstein ring of codimension d having a minimal Cohen presentation $Q \rightarrow R$. Assume that the minimal Q -free resolution A of R is equipped with a cyclic A_∞ -structure. Then $(\bar{m}_n(\bar{A}^{\otimes n}))_i \subseteq \mathfrak{m}_Q \bar{A}_i$ for all $i < d$, and $(\bar{m}_n(\bar{A}^{\otimes n}))_d = 0$ for $n \geq 3$. In particular $R \otimes_Q^L k$ is formal and Koszul.*

Proof. We first address what happens in degree d , and for this we use the fact that A is a cyclic A_∞ -algebra. If $n \geq 3$ and $m_n(a_1, \dots, a_n)$ has degree d , then

$$\langle m_n(a_1, \dots, a_n), 1 \rangle = (-1)^n \langle m_n(1, a_1, \dots, a_{n-1}), a_n \rangle = 0$$

since A is strictly unital. But $\langle -, 1 \rangle$ is the projection onto the (rank 1) degree d part of A , so this implies $m_n(a_1, \dots, a_n) = 0$.

For the rest of the argument we need to reduce to the case that R has dimension zero. We may find a sequence \mathbf{x} that is part of a minimal generating set of \mathfrak{m}_Q , and that maps to a maximal regular sequence in \mathfrak{m}_R . All of the hypotheses, and the remaining assertions to prove, are unchanged if we replace Q , R and A with $Q/(\mathbf{x})$, $R/(\mathbf{x}R)$ and $A \otimes (Q/(\mathbf{x}))$ respectively, using Proposition 2.17 for the Koszul conclusion. Therefore we may assume that R has dimension zero.

We now use the notation and results of Lemma 8.16. Since $A' = \text{cone}(K_{\leq d}^Q \rightarrow A_{\leq d})$ is the minimal Q -free resolution of $R/\text{soc}(R)$ there is a splitting

$$A' \xleftarrow[\simeq]{\quad} \text{cone}(K^Q \rightarrow A),$$

and we define φ_1 to be the composition $A \rightarrow \text{cone}(K^Q \rightarrow A) \rightarrow A'$. By construction $(\varphi_1)_i: A_i \rightarrow A'_i$ is a split injection for $i < d$. Since $R/\text{soc}(R)$ is Golod we may endow A' with a strictly unital A_∞ -structure $\{m'_n\}$ satisfying $\bar{m}'_n(\bar{A}'^{\otimes n}) \subseteq \mathfrak{m}_Q \bar{A}'$ for all $n \geq 1$ by [Bur15, Theorem 6.13]. Having done this, the chain map φ_1 can be extended to a strictly unital map of A_∞ -algebras using Proposition 5.3. We apply Lemma 5.5 to the morphism $A \otimes_Q k \rightarrow A' \otimes_Q k$ to deduce that the A_∞ -structure of A satisfies $(\bar{m}_n(\bar{A}^{\otimes n}))_i \subseteq \mathfrak{m}_Q \bar{A}_i$ for all $n \geq 1$ and all $i < d$.

Since the induced higher A_∞ -structure on $A \otimes_Q k$ vanishes, $R \otimes_Q^L k \simeq A \otimes_Q k$ is formal by Proposition 5.4. It also follows that $A \otimes_Q k$ is a short Gorenstein ring, and in particular it is Koszul by Example 3.7. \square

We are finally able to prove that almost Golod Gorenstein rings satisfying certain technical assumptions are strictly Cohen Koszul, as promised in the proof of Theorem 3.16, and substantially generalizing the class of Gorenstein local rings of codimension three covered by Example 8.4.

Theorem 8.18. *If R is an almost Golod Gorenstein ring of odd codimension d , containing a field of characteristic zero, with a minimal Cohen presentation $\varphi: Q \rightarrow R$, then φ is strictly Koszul. More precisely, if the minimal resolution A of R admits a cyclic A_∞ -structure, then (regardless of d or the characteristic) $A \cong T(V)/W$*

where

$$(8.18.1) \quad V = \bigoplus_{i=1}^{d-1} A_i \quad \text{and} \quad W = \ker (\langle -, - \rangle: V \otimes V \rightarrow \Sigma^d Q),$$

and (A, V, W) is a strictly Koszul presentation for φ .

Proof. Since d is odd and R is Gorenstein of characteristic zero, we may endow A with a cyclic A_∞ -structure by Theorem 5.7.

The pairing $\langle -, - \rangle$ defined in Section 5.6 is nondegenerate, and this implies that W is a summand of $V \otimes V$. We know that $A \otimes k \cong T^a(V \otimes k)/(W \otimes k)$ since $A \otimes k$ is short Gorenstein. It follows from Nakayama's lemma that $A \cong T(V)/W$ as graded Q -modules. The assertion $(\bar{m}_n(\bar{A}^{\otimes n}))_d = 0$ from Lemma 8.17 implies that $m_n(V^{\otimes n}) \subseteq V$ for all n , and therefore the presentation (A, V, W) is strict. \square

Taking an almost Golod Gorenstein ring R , with Q and A as in the theorem, we can describe the Priddy coalgebra explicitly:

$$C_{(n)}(V, W) = \left\{ \sum v_1 \otimes \cdots \otimes v_n \mid \sum v_1 \otimes \cdots \otimes \langle v_i, v_{i+1} \rangle v_{i+2} \otimes \cdots \otimes v_n = 0, 1 \leq i < n \right\}.$$

This is also the dual of a noncommutative hypersurface, as in Example 8.4.

If we let M be a bounded complex of finitely generated R -modules, then there is a finite free Q -resolution $G \rightarrow M$, and G can be given a strictly unital A_∞ -module structure over A by 5.2. All of this data can be constructed with finitely many computations, and it can be assembled into a resolution

$$R \otimes^\tau C(V, W) \otimes^\tau G \xrightarrow{\cong} M$$

with an explicit differential given in Theorem 7.8 in terms of the A_∞ -structures of A and G . When $M = k$ is the residue field and $G = K^Q$ is the Koszul complex of Q , the Priddy resolution is minimal by Theorem 7.11.

The last example provides a class of almost Golod Gorenstein rings where we verify they are Cohen Koszul without any assumptions on the characteristic of k or the parity of the codimension.

Example 8.19. Let Q be a standard graded polynomial ring over k and let $R = Q/I$, where I is an ideal generated by forms of degree $e \geq 3$ admitting an almost linear free resolution, as in Remark 3.17. We further assume that R is Gorenstein of codimension d .

Writing $T_{i,j} = \text{Tor}_i^Q(R, k)_j = H_i(K^R)_j$, we have, by assumption, $T_{i,j} = 0$ when $0 < i < d$ and $j - i \neq e - 1$. The only other nontrivial components are the unit $T_{0,0} = k$ and the socle $T_{d,2e-2+d} = k$. The product on T must preserve both gradings, and it follows that T is a short Gorenstein ring.

Let $A \xrightarrow{\cong} R$ be the minimal graded free resolution of R over Q . In this setting there exists an A_∞ -algebra structure $\{m_n\}$ on A that is homogeneous of degree zero with respect to the internal grading. In particular the induced operations $m_n \otimes_Q k$ on T restrict to maps

$$T_{i_1, j_1} \otimes_k \cdots \otimes_k T_{i_n, j_n} \longrightarrow T_{i_1 + \cdots + i_n + n - 2, j_1 + \cdots + j_n}.$$

If some $i_\ell = d$ the map above is zero for (homological) degree reasons, and so we may assume each i_ℓ is strictly smaller than d . Therefore each $j_\ell - i_\ell = e - 1$ and

$$(j_1 + \cdots + j_n) - (i_1 + \cdots + i_n + n - 2) = n(e - 1) - n + 2.$$

For $m_n \otimes_Q k$ to be nonvanishing on this component, this expression must equal $e - 1$ or $2e - 2$. In the former case we find $(n - 1)(e - 1) = n - 2$, which cannot

hold; in the latter case $(n-2)(e-1) = n-2$, and this cannot hold when n and e are not 2. Hence $m_n \otimes_Q k = 0$ for $n \neq 2$.

Thus we may apply Theorem 7.1 with $V = \bigoplus_{i=1}^{d-1} A_i$ and W as in (8.18.1) to conclude that $Q \rightarrow R$ is Koszul. Finally, it follows from Theorem 3.16 that R is not only Cohen Koszul, but also almost Golod Gorenstein.

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