

# TA-RNN: an Attention-based Time-aware Recurrent Neural Network Architecture to Predict Progression of Alzheimer's Disease

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## Abstract

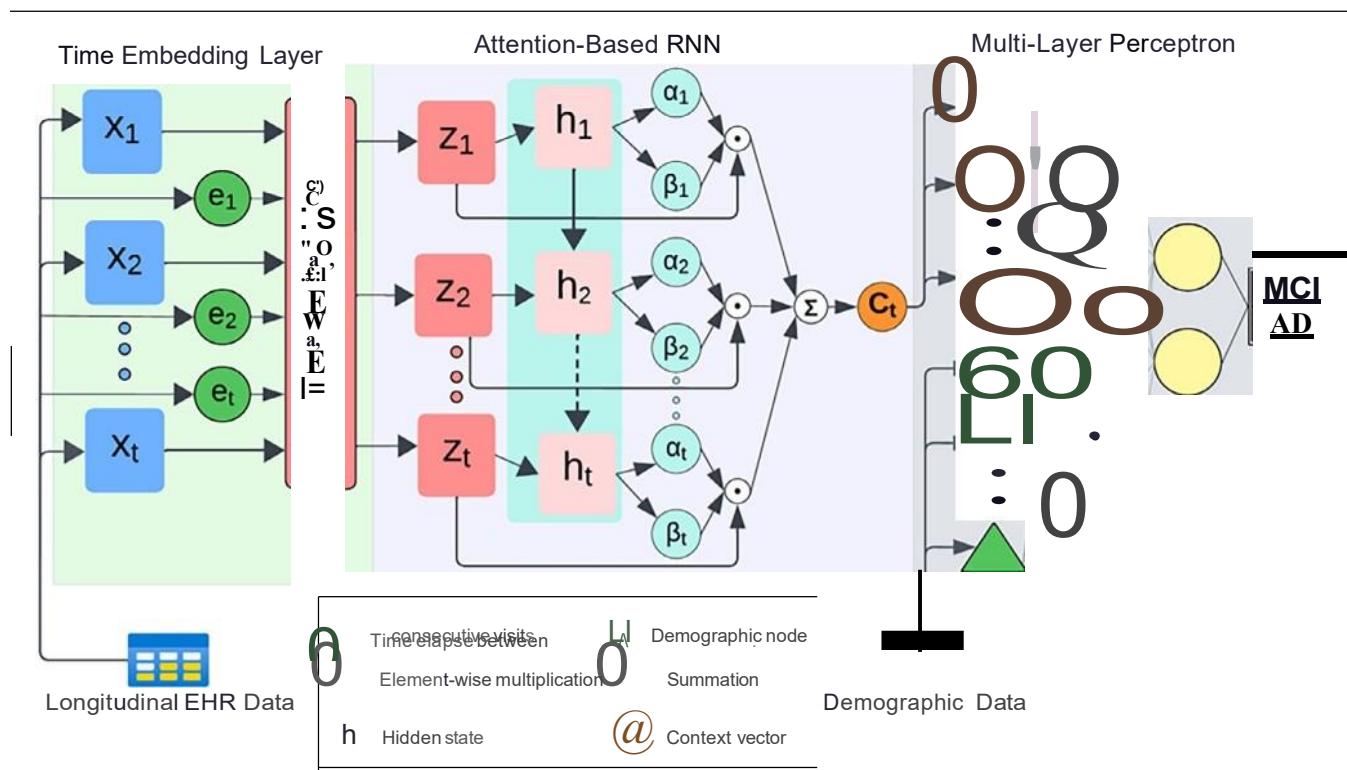
**Background:** Alzheimer's Disease (AD) is a widespread neurodegenerative disease with Mild Cognitive Impairment (MCI) acting as an interim phase between normal cognitive state and AD. The irreversible nature of AD and the difficulty in early prediction present significant challenges for patients, caregivers, and the healthcare sector. Deep learning (DL) methods such as Recurrent Neural Networks (RNN) have been utilized to analyze Electronic Health Records (EHR) to model disease progression and predict diagnosis. However, these models do not address some inherent irregularities in EHR data such as irregular time intervals between clinical visits. Furthermore, most DL models are not interpretable. To address these issues, we developed a novel DL architecture called Time-Aware RNN (TA-RNN) to predict MCI to AD conversion at the next clinical visit.

**Method:** TA-RNN comprises of a time embedding layer, attention-based RNN, and prediction layer based on multi-layer perceptron (MLP) (Figure 1). For interpretability, a dual-level attention mechanism within the RNN identifies significant visits and features impacting predictions. TA-RNN addresses irregular time intervals by incorporating time embedding into longitudinal cognitive and neuroimaging databases based on attention weights to create a patient embedding. The MLP, trained on demographic data and the patient embedding, predicts AD conversion. TA-RNN was evaluated on Alzheimer's Disease Neuroimaging Initiative (ADNI) and National Alzheimer's Coordinating Center (NACC) datasets based on F2 score and sensitivity.

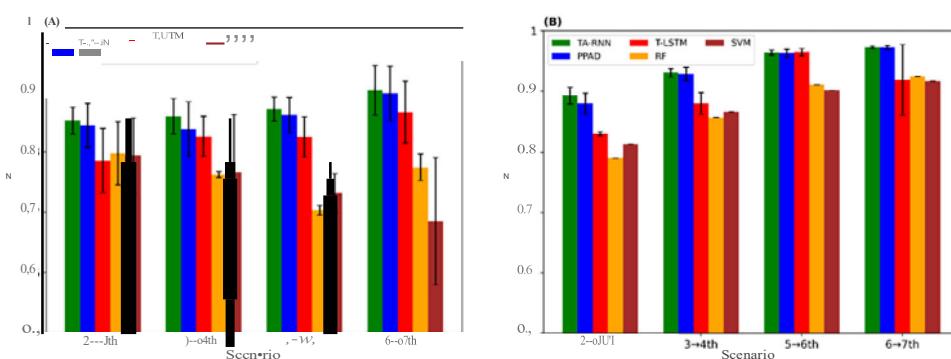
**Result:** Multiple TA-RNN models were trained with two, three, five, or six visits to predict the diagnosis at the next visit. In one setup, the models were retrained and tested on ADNI. In another setup, the models were trained on the entire ADNI dataset and evaluated on the entire NACC dataset. The results indicated superior performance of

TA-RNN compared to state-of-the-art (SOTA) and baseline approaches for both setups (Figure 2A and 2B). Based on attention weights, we also highlighted significant visits (Figure 3A) and features (Figure 3B) and observed that CDRSB and FAQ features and the most recent visit had highest influence in predictions.

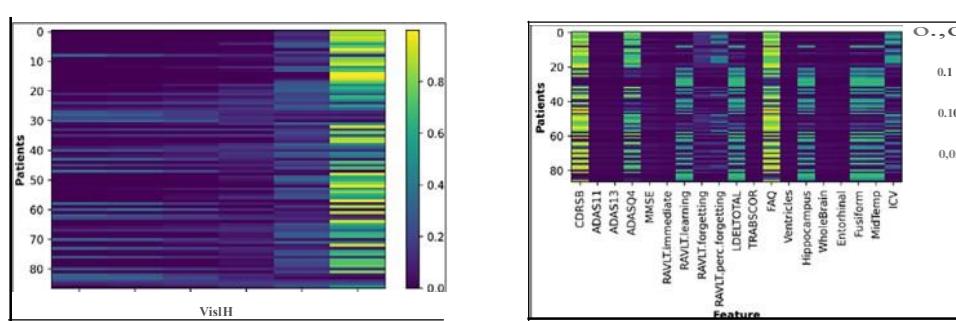
Conclusion: We propose TA-RNN, an interpretable model to predict MCI to AD conversion while handling irregular time intervals. TA-RNN outperformed SOTA and baseline methods in multiple experiments.



**Figure 1.** TA-RNN architecture for predicting conversion to AD at the next visit.



**Figure 2.** F2 scores for TA-RNN models to predict conversion to AD at the next visit. (A) Models tested on held-out samples in ADNI after training using two, three, five, and six visits in ADNI, respectively. (B) Models tested on NACC after training using two, three, five, and six visits in ADNI, respectively.



**Figure 3.** Attention weights (A) Attention weights at the visit level for TA-RNN. TA-RNN evaluated 011 held-out samples in ADNI after training using six preceding visits in ADNL (B) Average attention weights at the feature level for TA-RNN. TA-RNN was evaluated on held-out samples in ADNI after training using six preceding visits in ADNL.