

**1 On the MJO Phase Speed Among Different Background Moisture and Zonal
2 Wind Base States**

3 Ahmed Shaaban and Paul Roundy

**4 Department of Atmospheric and Environmental Sciences, University at Albany, State University
5 of New York, Albany, New York, USA**

6 Corresponding author: Ahmed Shaaban, alasheen@albany.edu

7 Current affiliation: Department of Atmospheric and Environmental Sciences, University at Albany,

8 State University of New York, Albany, New York, USA.

9 ABSTRACT: The variability of the phase speed of the Madden Julian oscillation (MJO) is poorly
10 understood. The authors assess how the phase speed of the convective signal of the MJO associates
11 with the background states over Eastern Africa and the Indian Ocean. ~~Relaxation of the coupling~~
12 ~~between the convective MJO (intraseasonal moisture) and its circulation has been previously linked~~
13 ~~to faster propagation of MJO circulation signals east of the Dateline. Relaxation of the coupling~~
14 ~~between tropical modes and their circulation has been previously linked to faster propagation;~~
15 ~~for example, MJO speeds up over Eastern Pacific where its convective signal decouples from the~~
16 ~~circulation. In contrast, our results show that fast MJO events happen to exist during periods of~~
17 ~~wetter background states (> 90 days) from East Africa across the Indian Ocean, whereas slow MJO~~
18 ~~is associated with dry background states. We found that fast MJO exhibits strong active and inactive~~
19 ~~phases with structure suggesting more hierarchical convection. Results indicate that the association~~
20 ~~of the phase speed of the MJO as seen in the integrated filtered moist static energy with the its~~
21 ~~tendency is stronger than the association of the phase speed as observed in the dry static energy~~
22 ~~with its tendency which is consistent with the acceleration of the MJO during wet background~~
23 ~~states. Also, our results indicate the MJO may be faster during periods of enhanced low-level~~
24 ~~moisture because these periods have anomalously weak upper tropospheric easterly background~~
25 ~~wind, which reduces the westward advection of the MJO by the background easterly wind, resulting~~
26 ~~in higher eastward phase speed of the MJO. The acceleration of the MJO by the background zonal~~
27 ~~wind overwhelms the deceleration associated with the moist wave dynamics.~~

28 SIGNIFICANCE STATEMENT: This study shows that the Madden Julian oscillation (MJO),
29 which is the dominant subseasonal weather signal in the tropics, moves eastward more quickly
30 across Eastern Africa and the Indian Ocean when the region is abnormally moist. The faster
31 propagation does not appear to result from the higher moisture, but instead from encountering
32 weaker than normal upper air winds from the east that tend to occur during moist periods.

33 1. Introduction

34 The Madden Julian oscillation (MJO; Zhang 2005) is the dominant intraseasonal mode in the
35 tropics. Although there is no consensus on its dynamics or how to best trace its signal (Straub
36 2013), the MJO could be described as a cluster of convection coupled with large-scale atmospheric
37 circulation moving eastward with an average speed of 5 m s^{-1} over the warm pool. Its associated
38 large-scale circulation accelerates eastward over the Eastern Pacific, where coupling to convection
39 is much weaker (Salby and Hendon 1994; Bantzer and Wallace 1996).

40 The unsettled dynamics of the MJO and the variety of indices used to trace it (e.g., Straub 2013)
41 complicate studying the variability of MJO phase speeds. One of the earliest simple models of
42 the MJO was a slow Kelvin wave (e.g. Chang and Lim 1988, and many others) as both MJO and
43 Kelvin waves move eastward and couple with convection. This avenue retains some esteem, as it
44 is supported by recent observations; for example, ~~structures similar to Kelvin waves emerge in the~~
45 ~~upper troposphere over the Indian Ocean from data filtered for the MJO.~~ the upper tropospheric
46 circulation signal associated with the MJO over the Indian Ocean appears as a Kelvin ridge to the
47 east of the convection and a Kelvin wave trough to the west (Roundy 2020). The Kelvin wave
48 trough to the west cannot be forced by convection to its east, as that convection would drive a ridge.
49 Nevertheless, the horizontal structure of the MJO, in general, includes substantial non-Kelvin
50 features leading some to describe it as a Rossby-Kelvin wave couplet (e.g., Rui and Wang 1990)
51 or quadrupole vortex (e.g., Monteiro et al. 2014) which has been considered in recent theorizing
52 of the MJO (e.g., Skeleton model of the MJO Majda and Stechmann 2009). Such structures raise
53 debate on the role of the forced Rossby wave component in modulating the phase speed of the
54 MJO (Wang et al. 2018). ~~In contrast, the upper tropospheric circulation signal associated with the~~
55 ~~MJO over the Indian Ocean appears as a Kelvin ridge to the east of the convection and a Kelvin~~

56 ~~wave trough to the west (Roundy 2020). The Kelvin wave trough to the west cannot be forced by~~
57 ~~convection to its east, as that convection would drive a ridge.~~

58 Aside from the gravity wave perspective of the MJO as simplified by Kelvin and tropical Rossby
59 waves, the theory of the moisture mode (e.g., Sobel et al. 2014) arose as a different pathway to
60 understand the propagation and evolution of the MJO. Under the gravity wave perspective, gravity
61 is the restoring force for barotropic waves, and buoyancy (reduced gravity) is the restoring force
62 for baroclinic flow ~~waves traveling in stratified flow~~. Under the moisture mode hypothesis, the
63 evolution and the propagation of the MJO could be traced by moisture or moist static energy
64 field. For instance, fluctuations of moist static energy in phase with precipitation, not only on the
65 intraseasonal scale but also on the background and synoptic scales (Inoue and Back 2015). The
66 convective initiation of the MJO is preceded by the advection of lower tropospheric background
67 moisture by the easterly wind associated with the previous MJO event (Zhao et al. 2013; Li
68 et al. 2015; Zhu and Hendon 2015). The research also suggests that the horizontal advection of
69 background moisture by the MJO flow is essential for the northward propagation of the MJO during
70 northern summer (Jiang et al. 2020). Moreover, the termination of MJO convection is preceded by
71 negative anomalies of moisture over the equatorial Indian Ocean (Stachnik et al. 2015).

72 Analysis of the moist static energy budget has suggested several potential mechanisms that might
73 explain the dynamics of MJO propagation. Benedict and Randall (2007) analyzed the structure
74 of moisture associated with maximum rainfall and found that shallow convection precedes the
75 maximum rainfall associated with the MJO, and dryness driven by horizontal advection follows
76 the peak precipitation by few days, and in roughly 1 ~ 2 weeks succeeded by vertical advection of
77 dry air. Maloney (2009) found that charging of MSE occurs during MJO easterlies and meridional
78 advection of the MSE is the dominant contributor to change in the MSE via suppression of the
79 synoptic eddies. In an aqua-planet model, Andersen and Kuang (2012) found that the tendency of
80 moist static energy is in phase with horizontal advection of the background moisture by the MJO
81 flow, suggesting that horizontal advection may contribute to the eastward propagation. The same
82 results have been replicated by Hsu and Li (2012) using ECWMF Reanalysis ERA-40, and by Sobel
83 et al. (2014) using data from the Dynamics of the MJO (DYNAMO) field program. Kim et al.
84 (2014) found that MJO events that actively propagate over the western Pacific were associated with
85 a poleward advection of the MSE by the synoptic eddies (including tropical Rossby waves), which

86 eventually distribute the MSE over a wide meridional area. Moreover, Wang et al. (2017) compared
87 the MSE structure in models associated with propagating versus non-propagating MJO signals.
88 They found that the MSE is in quadrature with the MSE tendency in the models with propagating
89 MJO, while the MSE is in phase with the MSE tendency in the models with non-propagating
90 MJO. Their distinction between propagating and non-propagating MJO depends on inclusion of
91 westward Fourier components, so it is possible that MJO-events deemed non-propagating emerge
92 from the interference pattern generated by superposition between MJO and equatorial Rossby wave
93 signals and thus still include propagating MJO signals.

94 Using aqua-planet simulations, Jiang et al. (2020) found that the simulated intraseasonal oscil-
95 lation propagates westward if a prescribed uniform SST (which produces an off-equatorial peak in
96 moisture) is used instead of SST gradients (which yield a moisture maximum at the equator). It
97 is unclear the extent to which the mechanisms in subseasonal variability in these models conform
98 to the mechanisms in observations, including the different factors in models and observations that
99 determine the time mean balance between eastward and westward-moving intraseasonal modes.
100 Indeed, the extent to which the upper tropospheric circulation signal associated with the MJO
101 resembles Kelvin wave both east and west of the convection (Roundy 2020), eastward propagation
102 may be assured without any of these mechanisms. Besides, low frequency of the occurrence of
103 the MJO events in models might ultimately wash out their bulk signal in the power spectrum and
104 regression analysis, and mislead our understanding of representation of the MJO in global models
105 (Ling et al. 2017).

106 Aside from the exact nature of the MJO, MJO convective events evolve in a region from East
107 Africa across Indian Ocean with known upper tropospheric easterlies. Roundy (2022) estimated
108 the 200 hPa background zonal wind associated with the MJO at various phase speeds. He found
109 that the slowest MJO events are most affected by the background upper easterlies, and that in
110 resting atmosphere without steering wind, the phase speed of the MJO exceeds 10 m s^{-1} . Hence,
111 Variability of the MJO phase speed could be explained in terms of the variability of the background
112 zonal wind.

113 The proposed essential role of advection of background moisture by the MJO wind for the
114 initiation and termination of MJO convection, the propagation versus the stalling of the MJO,
115 and the eastward versus the westward propagation of proposed simple model disturbances suggest

116 the idea that the background moisture or MSE could modulate MJO phase speed. Previous work
117 on moisture mode theory has suggested relationships between the phase speed of the MJO and
118 moisture gradients. Other works have suggested that increased convective activity, increased
119 moisture, and increased precipitation rates are associated with slower MJO signals. Hence, we
120 analyze the variability of the MJO phase speed with different states of the background moisture at
121 different locations over the Indian Ocean and Eastern Africa. Although the moisture mode theory
122 applies the gradient of the background moisture to predict the characteristics of the moist wave,
123 this study does not, in essence, test a particular theory. Since this area has not been studied enough,
124 this study analyzes the characteristics (speed and structure) of the MJO in different background
125 setups. We think there is merit to analyzing raw variables (e.g., background moisture or wind)
126 before analyzing more derived quantities (e.g., gradient of background moisture or wind).

127 Section 3 discusses the technique used to filter the data for the MJO band and the regression
128 technique used to isolate the structure of the MJO at different background moisture levels. The
129 variability of the MJO phase speed with background moisture is presented in section 4a. The
130 vertical structure of the MJO associated with different phase speeds is discussed in section 4b.
131 Analysis of the moisture budget at different background moisture conditions is explained in section
132 4c. Finally, in section 4d, we show the background zonal wind states associated with the fast and
133 slow MJO. Results investigate to what extent moisture and the background flow are associated
134 with varying phase speed of the MJO. A subsequent paper will discuss a similar analysis of the
135 relationship between zonal and meridional gradients of moisture and MJO phase speed.

136 2. Data

137 Horizontal wind, vertical wind, temperature, specific humidity and geopotential height data were
138 obtained from the ECMWF Interim Reanalysis (ERA-I, Dee et al. 2011) on $2.5^\circ \times 2.5^\circ$ grid and 32
139 vertical pressure levels, from 1980 to 2016, extending from 180°E to 180°W and from 20°S to
140 20°N at 00, 06, 12, and 18 UTC. Daily resolution was produced by averaging the 6-hourly dataset.
141 The ERA-I moisture dataset incorporates SSM/I satellite data (Trenberth et al. 2011), making it
142 suitable for analyzing the moisture field over the Indian Ocean.

143 The daily grided NOAA outgoing longwave radiation (OLR) dataset was obtained from 1979 to
144 2017 on a $2.5^\circ \times 2.5^\circ$ grid (Liebmann and Smith 1996).

145 In order to budget the latent heat (moisture, see section 4c), we downloaded the following
146 variables from the ERA-I dataset:

- 147 • Precipitation and evaporation (in meters) are accumulated forecasted variables, so their anal-
148 ysis time and accumulated period must be specified. To get the daily precipitation, we
149 downloaded the data at 00 and 12 UTC with a 12-hour forecast accumulation, so are summed
150 over 00 and 12 UTC accumulation data. To put the precipitation and evaporation (both in me-
151 ters of water) in energy units (W m^{-2}), we multiplied the daily sum amount of precipitation or
152 evaporation by $\frac{\rho \times L_v}{24 \times 60 \times 60}$, where ρ is the density of water (1000 kg m^{-3}) and L_v is the latent
153 heat of evaporation ($2.45 \times 10^6 \text{ J kg}^{-1}$). Hence, the Evaporation of one meter of precipitation
154 per day requires $28 \times 10^3 \text{ W m}^{-2}$. Conversion of the units of precipitation and evaporation
155 into energy units is necessary for consistency with the vertically averaged budget variables,
156 as discussed in section 4c. Precipitation is a model-dependent variable in ERA-interim and
157 ERA-5 since station precipitation inputs are not used. ERA-I is known for its wet biases over
158 equatorial central Africa. Yet, it has a better precipitation representation than its predecessor
159 data (ERA-40) and represents the interannual variability and annual cycles well.
- 160 • Sensible heat and latent heat, defined as positive for downward fluxes. To get their daily total
161 values, we downloaded them at 00 and 12 UTC with a 12-hour forecast step, as we did with
162 the precipitation and evaporation.
- 163 • Net radiation in the atmospheric column. To calculate it, we downloaded surface net solar
164 flux, surface net thermal, top net solar radiation, and top net thermal radiation at 00 and
165 12 UTC with 12-hour accumulation, as we did before. Those parameters are positive for
166 downward fluxes. The unit of the fluxes is J m^{-2} and to convert it into Watt m^{-2} , we divided
167 by the time of the accumulation period 12 hours, $12 \times 60 \times 60$ seconds. Other flux datasets
168 could outperform ERA-I, but at the same time, using different data from the rest of the project
169 raises more problems with the closure of the budget, making it rather difficult to understand
170 the dynamics under investigation.

171 **3. Methods**

172 *a. Spatial-temporal data filtering.*

173 We used the discrete Fourier transformation (DFT) to extract the intraseasonal component of
174 the ERA-I zonal wind, geopotential height, and specific humidity. This Fourier filtering technique
175 uses a boxcar approach which can cause Gibbs ringing lobes, especially near the ends of the filtered
176 dataset, so we only use data between 1980 and 2016. To distinguish the MJO from westward-
177 moving signals (Wang and Rui 1990), we filter to retain only eastward-propagating components
178 by selecting wavenumbers 1 - 10 and the Fourier harmonics for the 20 - 90 day range using two
179 dimensional DFT following Kiladis et al. (2005); Straub (2013) and many others. The Broader
180 wavenumber range is essential to account from the small scale convective activity associated with
181 the MJO, including harmonics to its dominant wavenumber, and makes the results less sensitive
182 to the domain size and the filter design (Straub 2013; Roundy and Schreck III 2009). Besides the
183 intraseasonal components, we estimate the background states by lowpass filtering data for periods
184 longer than 90 days, retaining the long-term mean and seasonal cycle as well as interannual and
185 longer term variability.

186 Several indices have been used to trace MJO convective signal or large-scale circulation or
187 both (Straub 2013). It is convenient to use an MJO index that traces the MJO convective signal
188 when analyzing the relationship between the background moisture and convective-MJO. Following
189 Zhao et al. (2013), we constructed an MJO index using only OLR data to analyze the convective
190 initiation of the MJO. The covariance matrix of the 20 - 90 day filtered OLR anomalies confined
191 between 40°E to 180°E and 30°S to 30°N was constructed by taking $X^T X$, where X is a array
192 whose columns are the time series at each grid point. The principal component time series were
193 found by projecting the filtered OLR anomalies onto the eigenvectors of this matrix. We used the
194 first principle component (PC1) as a predictor in the regression models to analyze the structure of
195 the MJO. All analysis is based on the northern winter MJO from November to April, when MJO
196 activity peaks (Zhang and Dong 2004). Narrower merdional domain from 20°S to 20°N, used by
197 other authors, yields the same EOF components as the larger domain (not shown), with correlation
198 of -0.99 between the larger and smaller domains first PCs. The domain extends from 40°E to 180°E
199 to account for the active and inactive phases of the MJO.

200 PC1 is used as base index for the regression and composite analysis. Lag regression against PC2
201 ultimately leads to similar conclusions at time lags. This is the case because the two EOFs and
202 PCs are in quadrature in space and time, so they have a maximum lag correlation at 90-degree
203 phase shifts, otherwise, they could not represent a propagating pattern from an EOF analysis. Both
204 indices combined, at time lag zero, could describe any phase state of the MJO. We typically use
205 a pair of indices in real time because they combine to tell us the present state, effectively at a
206 zero-time lag. On the other hand, for composite or regression analysis as conducted in this paper,
207 a time lag from one index alone can be used to represent the MJO signal generated from any
208 combination of the two original PCs, **based on the extent of the association between the two PCs at**
209 **90-degree shift**. Regression analysis senses only the time scales associated with the PC1. Variables
210 other than the OLR data may be associated with horizontal scales that extend outside the filtered
211 wavenumbers when regressed against PC1. Those scales would appear in the regression maps and
212 might result in different estimates of the speed of the MJO in the non-OLR filtered variables.

213 The real-time multivariate MJO index (Wheeler and Hendon 2004, RMM index) has been used
214 to reproduce some results in section a.

215 *b. The varying-coefficients regression technique.*

216 Roundy (2017) developed a regression technique for providing regression coefficients that vary
217 continuously across the seasonal cycle. Standard linear regression can be applied to find a single
218 set of coefficients corresponding the predictors. When calculated based on data from different
219 times of the year, linear regression yields different coefficients. This new algorithm predicts what
220 the coefficients would be in particular **background** conditions such as particular days of year. The
221 algorithm uses regression of the variance of the predictor against the leading harmonic of the
222 seasonal cycle, and regression of the covariance between the predictor and predictand against the
223 same leading harmonic of the seasonal cycle. The ratio of covariance and variance coefficients on a
224 given day of the year **to estimate** is the regression slope coefficient most likely to apply on that day.
225 A revision of the technique is used here to find regression coefficients that fluctuate with any slowly
226 varying signal instead of the seasonal cycle. The varying regression technique (Roundy 2017) is
227 superior to the partial regression technique (Yule 1907) used to find the correlation between two
228 variables while excluding their linear fluctuation with an other (third) variable that might impact

229 the correlation value, in the sense that the algorithm reveals the impact of a third variable on the
230 regression coefficient.

231 In this study, we use the varying regression coefficients technique to analyze the structure and the
232 speed of the MJO in different background moisture states, which may be of essence to the initiation
233 and the propagation of the MJO (see sec. 1). A time lag regression model of the evolving MJO
234 structure is achieved by regressing fields of data against a predictor MJO index x . Let y represent
235 a particular dependent variable at some grid point and time lag. Our objective is to find the
236 regression slope coefficient relating x and y as the relationship varies with background moisture.
237 The technique is as follows: (1) regress the time series of the squared values of MJO index, x^2 ,
238 on the slowly varying background moisture. The regressed values represent the regressed variance
239 of the predictor; (2) regress the product of the MJO index with the times series of the dynamical
240 field that we are interested to analyze against the background moisture. Similarly as in step 1, the
241 output represents the regressed covariance. This dynamical field serves as the regressed variable;
242 (3) substituting the value of the background moisture in (2) and (1) to find the predicted covariance
243 and the predicted variance, and then their ratio is the regression coefficient associated with the
244 prescribed value of the background moisture.

245 In theory, we can use the traditional linear regression technique for a subset of the data that
246 occurs within a range of background values we are interested in. Yet, the varying regression
247 technique introduced by Roundy (2017) leverages the whole data set since the actual selection
248 of the background is performed after calculating the slopes. Utilizing the whole dataset in the
249 varying regression technique makes the resultant signal clearer and more statistically robust than
250 the traditional regression technique that looks at general background states in isolation.

251 *c. Statistical test.*

252 We used the students t-test to test the statistical significance of the traditional regressions and
253 composites. Yet implementing a parametric statistical test is challenging when considering the
254 varying-coefficients regression that includes multiple regressions (Roundy 2017). Hence, we
255 used a bootstrap test following Roundy (2017) to study the statistical significance of the varying-
256 coefficients regression. To implement the test, the regression coefficients are calculated 10,000
257 times based on random samples from the original data, with samples taken with replacement (see

258 ch. 5 Wilks 2011, for details on the bootstrap technique). Those coefficients constitute the
259 population distribution with similar autocorrelation characteristics. To test the significance of the
260 regression coefficient against, for example, the 90% level, we check if the calculated regression
261 coefficient is confined between the population confidence interval, which is between 500 and 9,500
262 quantiles.

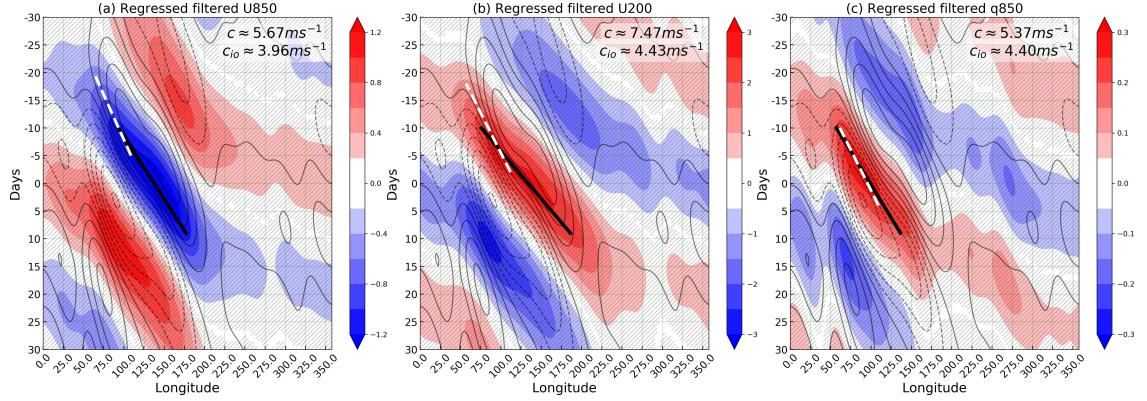
263 4. Results

264 a. MJO phase speed among varying background moisture states.

265 To get a better idea of the structure of the MJO that is associated with the PC1 index (defined
266 in Section 3), we present lead-lag regression Hovmöller diagram of the filtered zonal wind at 850
267 and 200 hPa (Figs. 1a and b), and specific humidity at 850 hPa (Fig. 1c). At 850 hPa, over the
268 Indian Ocean, westerly anomalies are located west of the negative OLR anomalies, with easterly
269 anomalies to the east. The reverse occurs at 200 hPa, consistent with the traditional vertical
270 structure of the MJO that maps roughly onto the first baroclinic mode or ~~higher baroclinic mode the~~
271 ~~superposition of the first few baroclinic modes~~ (Rui and Wang 1990, and others) that ultimately
272 resembles an overturning circulation. The quadrature relationship between the wind and convection
273 resembles MJO model I of Zhang and Anderson (2003, Fig. 1). At day zero, specific humidity
274 at 850 hPa peaks over the Indian Ocean, while negative values cover central and eastern Pacific
275 Ocean (Fig. 1c). Those structures (Figs. 1a-c) are similar to the MJO structure between phases
276 2 and 3 of the RMM index (Wheeler and Hendon 2004), where the impact of the active phase
277 of the MJO convection lies over the Central Indian Ocean. Over the Indian Ocean, the specific
278 humidity is in quadrature with the 850 hPa zonal wind (Figs. 1a and c) and in phase with the
279 MJO convection center. This intraseasonal moisture anomaly might be important for maintaining
280 the MJO convective activity, yet the initiation and the propagation of the MJO itself have been
281 hypothesized to be supported by the advection of background moisture, rather than intraseasonal
282 moisture, by the lower tropospheric easterly zonal wind associated with the previous MJO event
283 (Zhao et al. 2013; Straub 2013).

284 The phase speed of the MJO as represented by the regressed zonal wind anomaly at 850 hPa is
285 roughly 5.6 m s^{-1} (see reference line on Fig. 1a). The reference lines that mark the phase speed of
286 the contours, subjectively fit the peak contours between -10 and 9 days **in all Hovmoller except in**
287 **section c, where we choose to fit field between -15 to 5 days as some fields decays rapidly.** For some
288 figures, we draw another reference line for the phase speed that fits maximum contours only over
289 the Indian Ocean between 60°E and 110°E . For clarity, we refer to the phase speed of the reference
290 line that fit the maximum contours between -10 and 9 day as the phase speed and phase speed
291 represented by the reference line over the Indian Ocean as the Indian Ocean (IO) phase speed. The
292 phase speed of zonal wind at 200 hPa (Fig. 1b) is faster than the 850 hPa zonal wind. The regression
293 technique captures those signals that correlate with the index rather than the signal propagating
294 at a particular phase speed. This indicates that the maximum power of the 200 hPa filtered zonal
295 wind at a particular wavenumber does not align with the maximum power of the 850 hPa filtered
296 zonal wind at the same wavenumber. The vertical tilted or stacked baroclinic structure of the
297 MJO circulation changes over its lifetime, which must imply that associated circulation anomalies
298 move at moderately different speeds as the vertical structure evolves to lead to the different vertical
299 alignments between them. The abrupt acceleration of the MJO signal, represented by the upper and
300 lower layer zonal wind and specific humidity (Figs. 1a-c), near the dateline has been understood
301 as a result of the separation between the circulation and convection that were coupled over the
302 Indian Ocean (Salby and Hendon 1994, and many others), but also incursion of the extratropical
303 Rossby wave response to west Pacific convection back into the tropics via the westerly wind duct
304 of the Western Hemisphere (e.g., Sakaeda and Roundy 2015). **The IO phase speed of the 200**
305 **hPa zonal wind signal matches the IO phase speed of the 850 hPa moisture signal, yet the 200**
306 **hPa zonal wind over the Indian Ocean happen to be centered near day -10, whereas the 850 hPa**
307 **moisture signal over the Indian Ocean lies around day 0. This indicate the upper wind signal leads**
308 **the lower moisture signal over the IO. Upper level easterlies have been found to be favorable for**
309 **the development of MJO (Roundy 2014)**

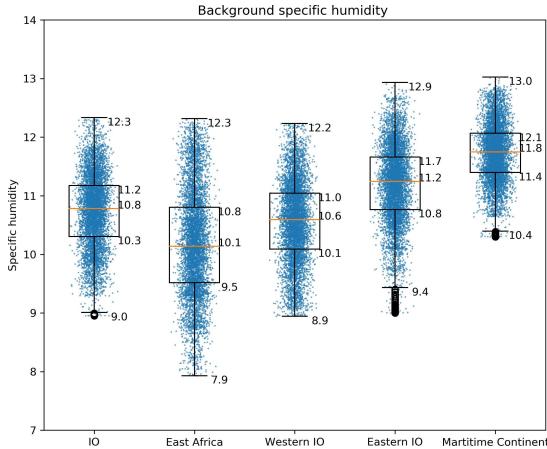
320 To study the variability of the MJO phase speed with the background moisture, we reproduce
321 the previous lagged regression Hovmöller diagrams, but at specific values of background moisture
322 using the varying regression coefficient discussed in Section 3. In order to implement varying
323 coefficient regression, we construct background moisture indices. Figure 2 shows box-plots of



310 FIG. 1. Shaded contours are lagged regression of 20 - 90 days eastward filtered: (a) zonal wind at 850 hPa,
 311 shaded at an interval of 0.2 m s^{-1} , (b) zonal wind at 200 hPa, shaded at an interval of 0.5 m s^{-1} , and (c) specific
 312 humidity at 850 hPa, shaded at an interval of 0.05 g kg^{-1} . Contours are lagged regression of the 20 - 90 days
 313 eastward filtered OLR, contoured at an interval of 2 W m^{-2} from -12 W m^{-2} . All those variables were regressed
 314 against PC1 (see text for more information about the PC1). Hatched shaded areas are statistically significantly
 315 different from zero above the 90% level based on resampling 10,000 samples utilizing a bootstrap statistical test.
 316 The solid black reference lines approximate the phase speed of the contour lines peak between -10 and 9 days.
 317 The white dashed reference lines approximate the phase speed over the Indian Ocean between 60°E and 110°E .
 318 The phase speeds of the black reference line c and white reference line (over the Indian Ocean) c_{io} are listed
 319 respectively in the first and second rows in the upper right corner.

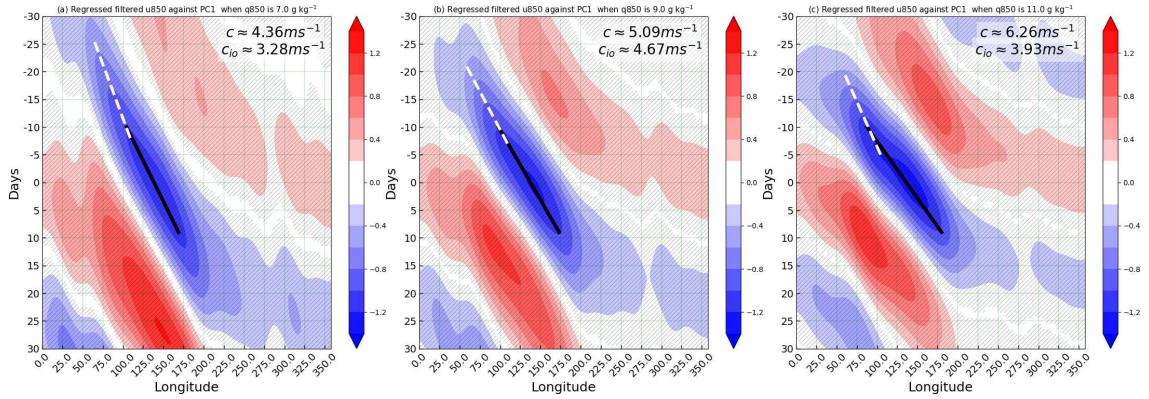
324 the background moisture over different regions proximate to the Indian Ocean. For the most part,
 325 the minimum, median, and maximum values of the background moisture increase from Eastern
 326 Africa to the Maritime continent while the total variance decreases eastward. This paper focuses
 327 on the MJO phase speed association with the background moisture over Eastern Africa proximate
 328 to the Indian Ocean ~~and the Indian Ocean~~. We also analyze the variability of the MJO phase speed
 329 with background moisture over the western Indian Ocean, Eastern Indian Ocean, and the Maritime
 330 Continent regions as half of MJO convective events were reported to initiate over the Eastern Indian
 331 Ocean and Western Pacific (Matthews 2008; Straub 2013).

341 Figure 3 presents varying coefficient lag-regressions of the filtered zonal wind at 850 hPa when
 342 the background moisture over Eastern Africa is 7 g kg^{-1} , 9 g kg^{-1} , and 11 g kg^{-1} . Those values
 343 are close to the minimum, median, and maximum values of the background moisture (see Fig.
 344 2), thus reflecting the phase speed of the MJO across the range of background moisture. The



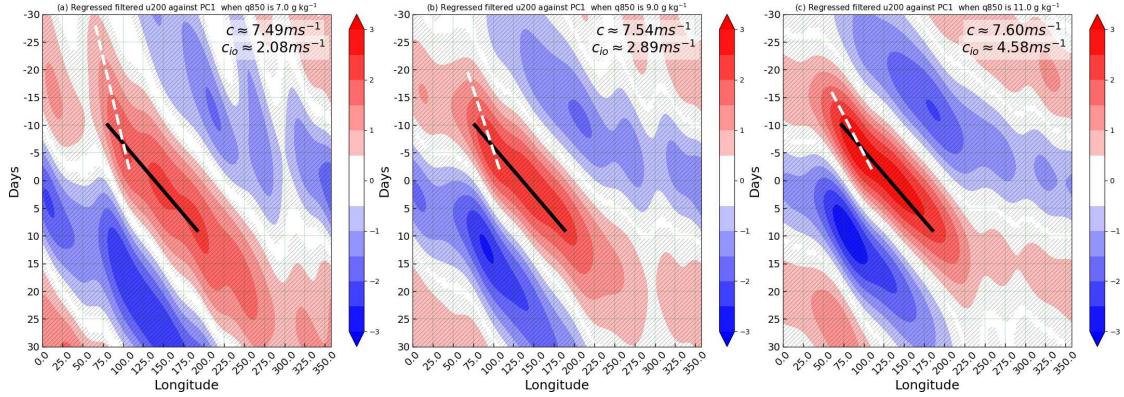
332 FIG. 2. Box-plots of the background (> 90 days) specific humidity over different locations, superimposed on
 333 scatter plots of the same variable that is spread horizontally using a random function to get an idea on the density
 334 of the background specific humidity at each value. The background specific humidity is averaged over the Indian
 335 Ocean basin (10°S - 10°N , 50°E - 90°E), East Africa (10°S - 10°N , 35°E - 55°E), Western Indian Ocean (10°S -
 336 10°N , 55°E - 72.5°E), Eastern Indian Ocean (10°S - 10°N , 72.5°E - 90°E), and Maritime-continent (10°S - 10°N ,
 337 90°E - 107.5°E). The lower, middle and upper sides of the box-plot represent the first (Q1), second (median),
 338 and third (Q3) quartiles, where the lower and upper fences represent the minimum and maximum values, and
 339 circles represent outliers. The outliers are values smaller than $Q1 - 1.5 \times \text{IQR}$ or larger than $Q3 + 1.5 \times \text{IQR}$, where
 340 IQR is inter-quartile range = $Q3 - Q1$.

345 phase speeds of the MJO, as represented by the filtered 850 hPa zonal wind, when the 850 hPa
 346 background moisture is 7, 9, and 11 g kg^{-1} are $4.3, 5$, and 6 m s^{-1} (Fig. 3 a and c), suggesting that
 347 the phase speed of the MJO increases with the **background** moisture content at 850 hPa. The phase
 348 speed of the MJO using traditional linear regression (Fig. 1a), which is 5.6 m s^{-1} , lies between the
 349 upper and lower limit of the MJO phase speed found at 7 and 11 g kg^{-1} (Fig. 3a and c), indicating
 350 that the traditional regression expresses the weighted mean phase speed across the population of
 351 background moisture states. We reproduced Fig. 3 using background moisture over the Western
 352 Indian Ocean, Eastern Indian Ocean, and Maritime Continent, and found that the phase speed of
 353 the MJO also increases with the background moisture (not shown), consistent with the results using
 354 background moisture over Eastern Africa. **The IO phase speed of the 850 hPa zonal wind signal**
 355 **increases when the background moisture increases from 9 to 11 g kg^{-1} , but decreases with further**
 356 **increases of the background moisture.**



374 FIG. 3. Lagged regression of 20 - 90 days eastward filtered zonal wind at 850 hPa on PC1 when the background
 375 moisture over Eastern Africa is (a) 7 g kg^{-1} , (b) 9 g kg^{-1} , (c) 11 g kg^{-1} . Shading is in an interval of 0.2 m
 376 s^{-1} . Hatching indicates that the field is statistically significant from zero above the 90% level using a bootstrap
 377 statistical test.

357 Figure 4 presents the lagged zonal wind over 200 hPa associated with the background moisture
 358 over Eastern Africa. The inclusion of the wind data is important because the upper tropospheric
 359 wind might influence the MJO phase speed by advection. Strong upper tropospheric westerly
 360 anomalies over the equatorial Indian Ocean tend to occur with lower tropospheric easterly anom-
 361 alies, which may increase the low-level moisture over the western Indian Ocean. The speed of the
 362 zonal wind at 200 hPa increases with the background moisture but at a slower rate than that of
 363 the zonal wind at 850 hPa. We produced the same figure using background moisture over the
 364 Western Indian Ocean, Eastern Indian Ocean, and Maritime Continent. We found that the increase
 365 of the phase speed with background moisture is largest when using background moisture over the
 366 Maritime Continent. Besides the observed increases in the phase speed of the filtered zonal wind
 367 with the background moisture, the amplitude of the filtered zonal wind intensifies, reflecting a
 368 stronger MJO signal in the variable (Fig. 4). The previous analyses were reproduced again using
 369 RMM index, and the increases of the phase speed of the dynamical fields associated with the MJO
 370 at different background moisture were observed. **Contrary to the slight increases of the phase speed**
 371 **of the 200 hPa signal centered around day 0 (black reference line), the IO phase speed of the 200**
 372 **hPa zonal wind signal increased by 43% when the background moisture raised from 7 to 9 g kg^{-1} ,**
 373 **and amplified by 53% when the background moisture jumped from 9 to 11 kg^{-1} .**

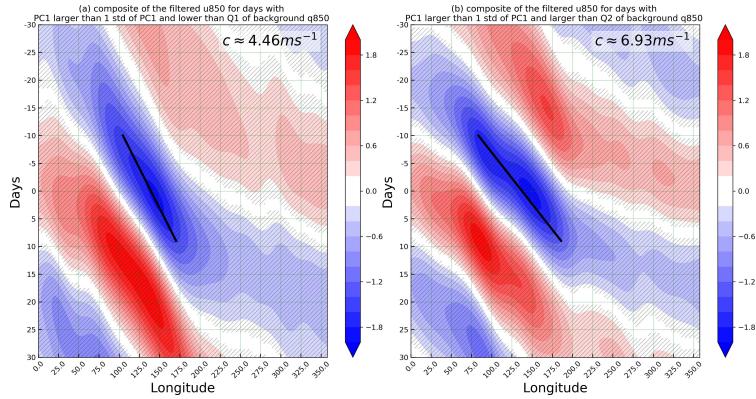


378 FIG. 4. The same Lagged regression in Fig. 3, except for the zonal wind at 200 hPa instead of zonal wind at
 379 850 hPa.

380 To verify the results produced by the method of varying regression slope coefficients, we present
 381 Hovmöller diagrams that show composites of the 850 hPa filtered zonal wind at low and high 850
 382 hPa background moisture as shown in Fig. 5. We composite around the days when time in PC1
 383 exceeds one standard deviation simultaneous with background moisture values in its lowest quartile
 384 (Q1), including the outliers. We repeat the process for background moisture values in their highest
 385 quartile (Q2), Figure 5b. Our choice of selecting days associated with 1STD of PC1 and Q1 or
 386 Q2 is arbitrary but is the most common in the literature. A drawback of the composite analysis
 387 compared to the varying regression method is that we cannot find a clear structure representing the
 388 signal at a specific value of the background moisture because a composite requires a population of
 389 events over which to average. Figure 5 shows that the phase speeds of the filtered 850 hPa zonal
 390 wind at low and high moisture are 4.8 and 6.6 m s^{-1} consistent with the regression results.

395 *b. The vertical structure of the MJO associated with different background moisture states.*

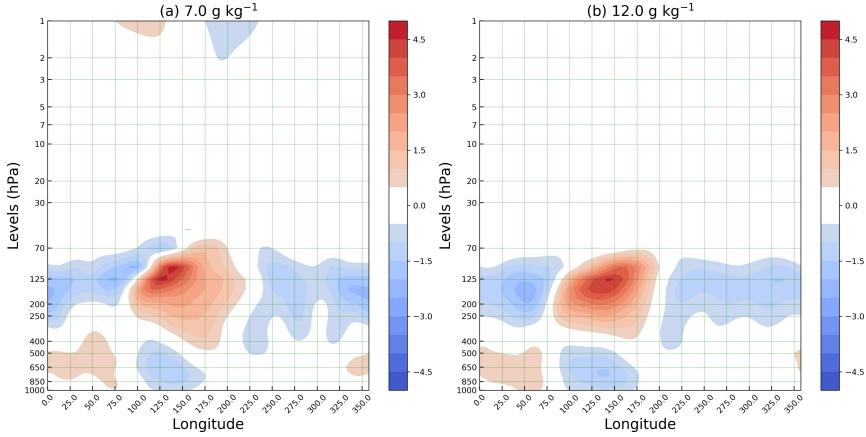
396 Figure 6 presents the zonal-vertical structure of the zonal wind associated with PC1 when the
 397 background moisture is 7 g kg^{-1} and 12 g kg^{-1} , following the method of varying regression slope
 398 coefficients. The vertical structure of the zonal wind is stacked, where a positive anomaly field lies
 399 above a negative anomaly field or vice versa. The stacked structure is usually observed over the
 400 Indian Ocean, in contrast to the tilted structure, where tilted positive or negative signed anomalies
 401 extend across the vertical column, which is usually observed over the Maritime continent and the



391 FIG. 5. Composites of the filtered zonal wind at 850 hPa for days with (a) PC1 larger than 1STD of PC1 and
 392 lower than Q1 of the 850 hPa background moisture, (b) PC1 larger than 1STD of PC1 and larger than Q2 of the
 393 850 hPa background moisture. Shading is in an interval of 0.2 m s^{-1} . Hatching indicates that the underlying
 394 shading is statistically significantly different from zero at the 90% level using t-test analysis.

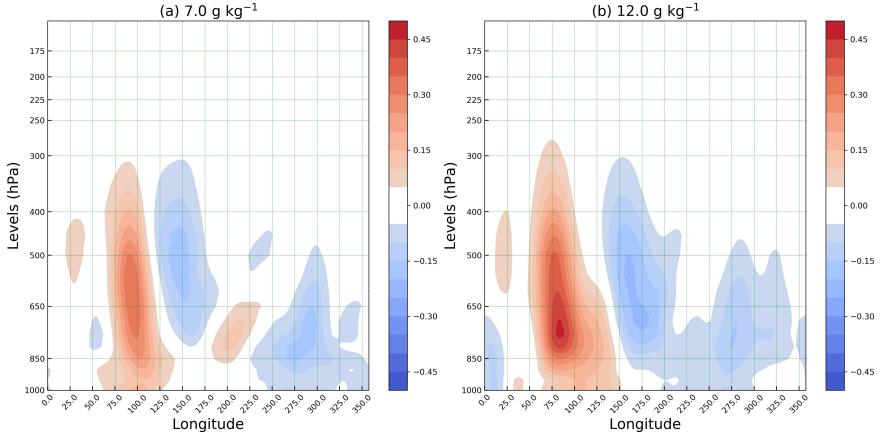
402 Pacific Ocean (Sperber 2003; Kiladis et al. 2005). At first glance, the two signed stacked structure
 403 of the zonal wind (Fig. 6) is consistent with the first baroclinic mode. Yet, the intensification of the
 404 zonal wind with height suggests that either barotropic mode or more baroclinic modes would be
 405 needed to account for either the intensified zonal wind above 500 hPa or the lessening of the zonal
 406 wind below 500 hPa structure (Rui and Wang 1990). On the other hand, the Kelvin wave part of
 407 the MJO could be understood as radiative wave, instead of a superposition between the baroclinic
 408 modes. Hence, the vertical structure of the MJO could be described in terms of radiative waves
 409 to the extent that Kelvin wave dynamics explain its structure (Roundy 2020). At 7 g kg^{-1} (Fig.
 410 6a), the westward tilt of the zonal wind in the troposphere and the eastward tilt in the stratosphere
 411 suggest structure similar to the radiative structure of the Kelvin wave, with upward-energy transfer
 412 in the stratosphere and downward-energy in the troposphere (Shaaban and Roundy 2021). The
 413 vertical structure of the filtered zonal wind when the background moisture is 7 g kg^{-1} (Fig. 6a) is
 414 less stacked than that at 12 g kg^{-1} (Fig. 6b). Moreover, the upper level westerlies at 12 g kg^{-1} (Fig.
 415 6b) are stronger than at 7 g kg^{-1} (Fig. 6a) over Eastern Africa and also over Maritime Continent
 416 (not shown), expressing stronger upper air outflow that might result from intensification of the
 417 convection.

421 Figure 7 shows regressed eastward 20 - 90 day filtered specific humidity associated with the
 422 7 g kg^{-1} (Fig. 7a) and 12 g kg^{-1} (Fig. 7b) background moisture over Eastern Africa. The



418 FIG. 6. Longitude-level maps of regressed eastward 20 - 90 days filtered zonal wind against PC1 when the 850
 419 hPa background moisture is (a) 7 g kg^{-1} , (b) 12 g kg^{-1} . Shading is contoured every 0.4 m s^{-1} . Hatched contours
 420 are statistically significant different from zero above the 90% level using the bootstrap test.

423 maximum amplitude of the regressed intraseasonal filtered specific humidity (Fig. 7a) is less than
 424 the background specific humidity 7 g kg^{-1} ; the same applied to Fig. 7b. The filtered specific
 425 humidity field shows a moist column over the Indian from surface to 300 hPa, consistent with
 426 the active convective phase of the MJO, and dry column over Western Pacific, consistent with
 427 the suppressed phase (Fig. 7). The intraseasonal specific humidity field associated with a high
 428 background moisture (12 g kg^{-1}) resembles wavenumber two, while it resembles wavenumber two
 429 or three at low background moisture (7 g kg^{-1}). The active phase over the Indian Ocean at 12 g
 430 kg^{-1} is wetter than that at 7 g kg^{-1} , also the suppressed phase over Western Pacific at 12 g kg^{-1}
 431 is drier than that at 7 g kg^{-1} . The active phase at 12 g kg^{-1} in the lower layer shows an eastward
 432 bulging structure that might be associated with shallow convection that precedes the MJO deep
 433 convection signal (Benedict and Randall 2007, and others), but since linear regression implies
 434 symmetry across opposite signs in the predictor, this result also applies to the dry phase at the same
 435 location.



436 FIG. 7. Longitude-level maps of regressed eastward 20 - 90 days filtered specific humidity against PC1 when
 437 the 850 hPa background moisture over Eastern Africa is (a) 7 g kg^{-1} , (b) 12 g kg^{-1} . Shading is contoured every
 438 0.4 m s^{-1} . Hatched contours are statistically significant from zero above the 90% level using bootstrap statistical
 439 test.

440 *c. The relationship between the phase speed of the MJO and the intensity of the tendency of the*
 441 *moisture, dry, static energy, moist static energy.*

442 Several authors have classified the MJO events produced by community global climate models
 443 (GCMs) into either propagating or non-propagating patterns based on the phase difference between
 444 the scalar field (e.g., moisture) used to represent MJO and the time tendency of that field. From
 445 a kinematics perspective, a scalar field is considered non-propagating (stationary) if it is in-phase
 446 with its tendency; for example, a low-pressure system tends to move to the region of the negative
 447 pressure tendency. On the other hand, the low-pressure system stalls if it is in phase with the
 448 pressure tendency field since the center of the low collocates with the region with the smallest
 449 negative pressure tendency (see section 2.1.4, Bluestein 1992). A quadrature phasing could be
 450 associated with either an eastward or westward propagation of the field under study. Although
 451 phasing status between the field and its tendency could be used to differentiate between the
 452 stationary and propagating MJOs, ~~tendency phasing~~ alone cannot determine phase speed because
 453 , for example, simple sinusoidal wave in quadrature with its tendency could have a continuum of
 454 phase speed, also, amplitude of the wave can vary, and amplitude also depends on tendency.

455 Alternatively, the speed of a trough or ridge pattern has been theorized, from a kinematic
 456 perspective, to be associated with the intensity of the tendency of the field under consideration ,

457 rather than the phasing between the field and tendency of the field, and the Laplacian of the field
458 (see Petterssen formula for the speed of the scalar field patterns, section 2.1.4, Bluestein 1992).
459 ~~This could be an alternative avenue to relate the phase speed with the field variable under study~~
460 ~~by using the amplitude of the field and its tendency rather than the phasing between them.~~ One
461 caveat of this approach is that the intensity of the tendency could vary with the field's amplitude
462 at the same phase speed. We calculate the tendency of the moisture, dry static energy, and moist
463 static energy fields, and if the amplitude approaches zero, the result blows up. Then, we investigate
464 whether the acceleration of the MJO phase speed, as observed from the Hovmoller diagram of the
465 moisture, is associated with the intensification of the tendency of the moisture field. We repeat the
466 same analysis with dry and moist static energy.

467 The vertical average of the latent heat (an expression of the specific humidity in energy units, J
468 kg^{-1}) $\langle Lq \rangle'$ expressed in $J m^{-2}$ and its tendency $\langle \partial_t Lq \rangle'$ in $W m^{-2}$ are computed, where q is
469 the specific humidity in kg (of dry air) kg^{-1} (of water vapor) and $L = 2.5 \times 10^6 m^2 s^{-2}$ is the latent
470 energy of condensation or evaporation at $0^\circ C$. The bracket refers to the mass-weighted vertical
471 average, defined as $\langle \rangle = \frac{1}{g} \int \langle \rangle dp$ in $kg m^{-2}$. We choose layers between 1000 and 150 hPa (25
472 vertical levels) to calculate the vertical average of the moisture and the tendency, as this could be
473 used later to calculate the moisture and energy budget terms. The prime refers to the intraseasonal
474 scale defined in section 3. The vertical average of the dry static energy $\langle S \rangle'$ and its tendency $\langle \partial_t S \rangle'$
475 are also calculated. The dry static energy S is defined as $S = c_p T + gz$, where $c_p = 1004 K m^2 s^{-2}$ is
476 the heat capacity at constant pressure and $g = 9.8 m s^{-2}$ is gravity. We used the moist static energy
477 besides the moisture and dry static energy. The moist static energy $h = Lq + S$ is the sum of the
478 latent heat and dry static energy.

479 Figure 8 depicts the vertical average of the MJO moisture (contoured) and MJO moisture tendency
480 (shaded) when the background moisture is low ($8 g kg^{-1}$) and relatively high ($12 g kg^{-1}$) over Eastern
481 Africa. At day 0, for both slow and fast MJO, the positive tendency is to the east of the moisture
482 field, indicating eastward propagation. At low background moisture (Fig. 8a), the regressed fields
483 of the vertical average of the intraseasonal moisture tendency and moisture are slower and longer-
484 lived than that at high background moisture (Fig. 8b), as expected. The intraseasonal moisture field
485 retains the same phase shift with its tendency irrespective of the background moisture. This result
486 has been confirmed by investigating the phase shift at other background moisture values between

487 the 8 and 12 g kg⁻¹. The magnitude of the tendency increases with the background speed, which is
 488 is consistent with Hu and Li (2022) who found that the tendency intensifies with fast MJO signals.
 489 The moisture tendency, at high background moisture (Fig. 8b), is prone to field discontinuity or
 490 reduction in the magnitude when passing over the Maritime Continent, which is not the case during
 491 the low background moisture. The discontinuity of the MJO depicted at (Fig. 8b) might represents
 492 a short hiatus over the Maritime Continent.

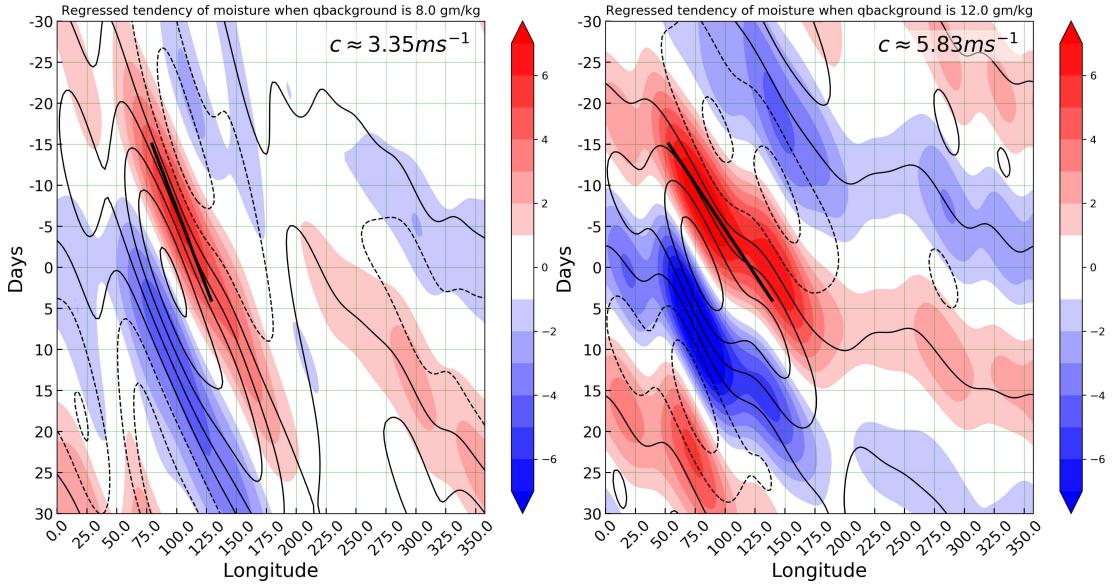
493 As depicted in Fig. 9, the magnitude of the DSE tendency is much smaller than moisture
 494 tendency; that is why it is sometimes neglected when compared to moisture tendency in the moist
 495 static energy analysis (Inoue 2016). The DSE tendency is small in the tropics since the temperature
 496 tendency is small and potential energy is smaller than sensible heat. The small of the horizontal
 497 gradient and the tendency of temperature leads to *weak temperature gradient* approximation (Sobel
 498 et al. 2001). In agreement with the analysis of the moisture field, the DSE and its tendency preserve
 499 the same phase shift irrespective of the background condition, as depicted in Fig. 9. The intensity
 500 of the DSE tendency among the slow and fast MJO events are comparable (Fig. 9), in contrast
 501 with the MSE (Fig. 8). Analysis of the phase shift between MSE and its tendency (not shown)
 502 also agrees with the previous results of the DSE and moisture.

503 Using the moisture budget equation, we investigate the terms (e.g., advection, forcing) that
 504 contribute to the charging or discharging of the intraseasonal moisture (i.e., increases or decreases
 505 of the tendency of q) at moist and dry background conditions, which could give us more insight on
 506 the characteristics of the tendency of the intraseasonal moisture at different phase speeds. Charging
 507 or discharging of moisture depends on the horizontal and vertical advection (first and second terms
 508 on the RHS of the equation), evaporation (third term on the RHS), and precipitation (last term on
 509 the RHS), as shown below.

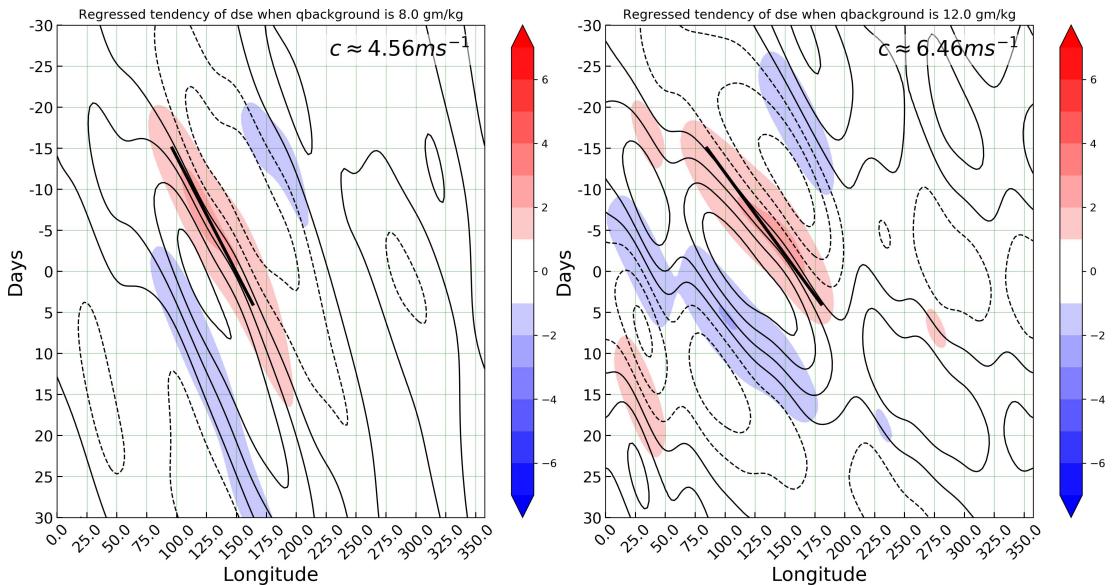
$$\left\langle \frac{\partial Lq}{\partial t} \right\rangle' = -\langle V \cdot \nabla (L\bar{q}) \rangle' - \left\langle \omega \frac{\partial (Lq)}{\partial p} \right\rangle' + LE' - LP' \quad (1)$$

516 V and ω are the horizontal and vertical velocities. E and P are the evaporation and precipitation
 517 amounts. All terms are in W m⁻², which could be easily verified after noting that the mass-weighted
 518 vertical average has a unit of kg m⁻².

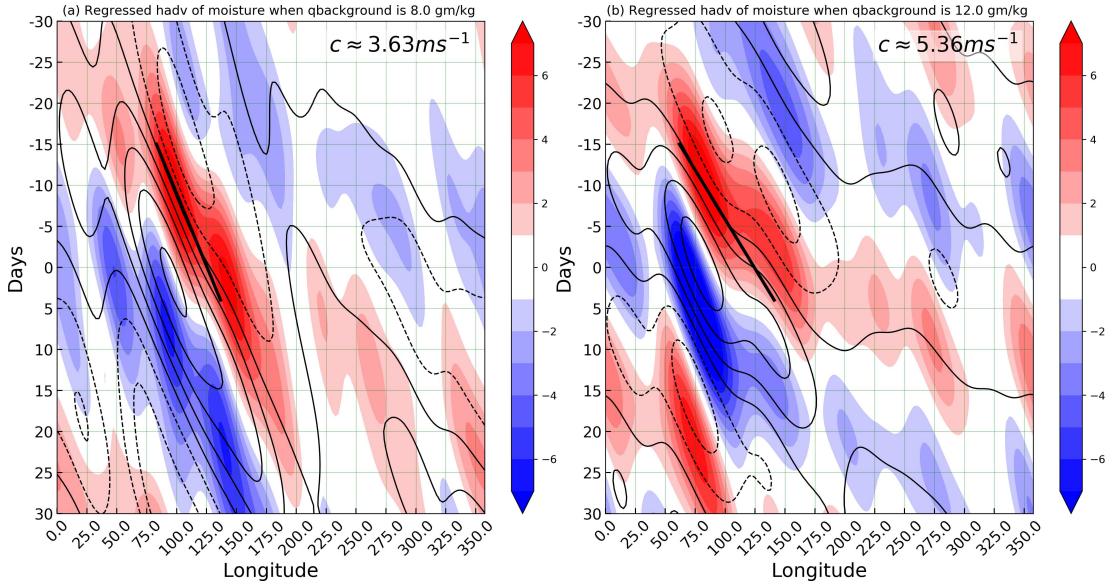
519 The horizontal advection of the moisture field, depicted in Fig. 10, is in phase with the moisture
 520 tendency; in other words, the horizontal advection charges the intraseasonal moisture field through



503 FIG. 8. Longitude-lag of regressed tendency of latent heat (shaded) and latent heat anomalies (contoured)
 504 averaged between -10° and 10° regressed against background specific humidity index over East Africa at 850
 505 hPa at (a) 8 and (b) 12 gm kg^{-1} . Shading is contoured every 0.1 g kg^{-1} .



506 FIG. 9. Longitude-lag of regressed tendency of intraseasonal DSE (shaded) and intraseasonal DSE (contoured)
 507 averaged between -10° and 10° regressed against background specific humidity index over East Africa at 850
 508 hPa at (a) 8 and (b) 12 gm kg^{-1} . Shading is contoured every 0.1 g kg^{-1} .

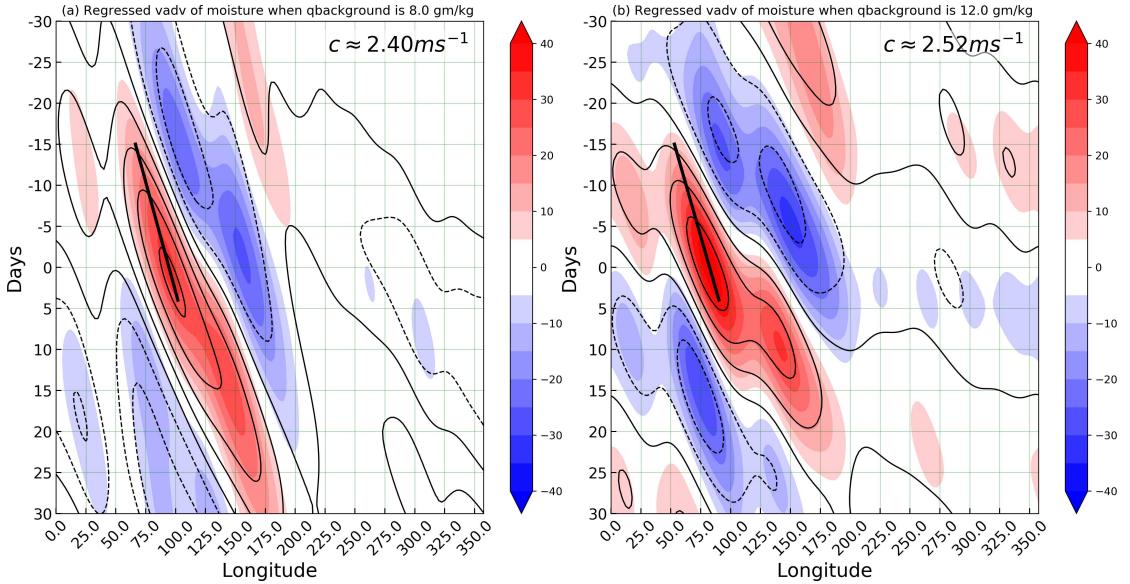


535 FIG. 10. Longitude-lag of regressed horizontal advection of intraseasonal
 536 moisture (shaded) and intraseasonal
 537 moisture (contoured) averaged between -10° and 10° regressed against background specific humidity index over
 East Africa at 850 hPa at (a) 8 and (b) 12 gm kg⁻¹. Shading is contoured every 0.1 g kg⁻¹.

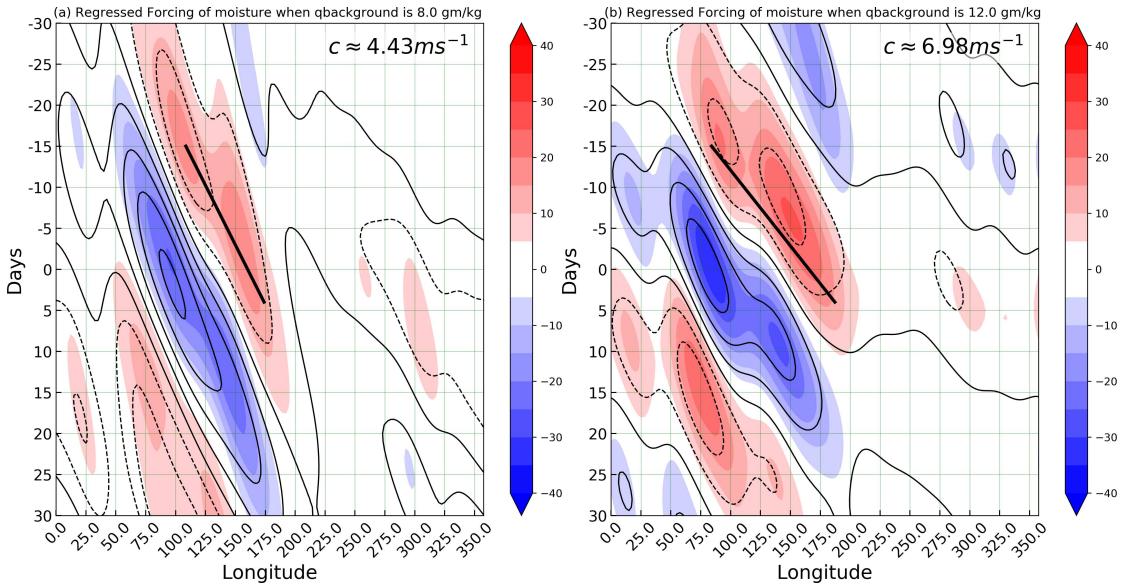
521 the whole atmosphere. The readers may check that by either comparing the phasing between
 522 the tendency field with the advection field, which is in-phase, or by investigating the quadrature
 523 relationship between the moisture field and the advection as in Fig. 10. The phase speed of the
 524 horizontal advection of moisture increases with the background moisture (Fig. 10), which agrees
 525 with the behavior of the tendency field of the moisture. The phase speed of the advection of
 526 both moisture and DSE increases with the background moisture, which is in agreement with the
 527 tendency field. **A clear discontinuity in the magnitude of the MJO horizontal (Fig. 10) and vertical**
 528 **advection (Fig. 11) fields presents over the Maritime Continent, during low and high background**
 529 **moisture states, which may indicate that MJOs crossing the Maritime Continent may have different**
 530 **dynamics from those over the Indian Ocean.**

531 The horizontal advection of the intraseasonal DSE (not shown) is as small as the tendency field.
 532 In contrast to the moisture, the phase shift between the horizontal advection of the DSE field and
 533 its tendency is not even throughout the whole Hovmoller diagram, making it difficult to understand
 534 the overall role of the advection in charging or discharging the DSE field.

534 Figures 11 and 12 depict the vertical average of the vertical advection of the moisture and
 535 the vertical average of the forcing. Vertical advection and forcing dominate the moisture budget



538 FIG. 11. Longitude-lag of regressed vertical advection of intraseasonal moisture (shaded) and intraseasonal
 539 moisture (contoured) averaged between -10° and 10° regressed against background specific humidity index over
 540 East Africa at 850 hPa at (a) 8 and (b) 12 gm kg^{-1} . Shading is contoured every 0.1 g kg^{-1} .



541 FIG. 12. Longitude-lag of regressed forcing (shaded) of intraseasonal moisture and intraseasonal moisture
 542 (contoured) averaged between -10° and 10° regressed against background specific humidity index over East
 543 Africa at 850 hPa at (a) 8 and (b) 12 gm kg^{-1} . Shading is contoured every 0.1 g kg^{-1} .

equation. The in-phase relationship between the vertical advection of the MJO moisture field and the MJO moisture field, depicted in Fig. 11, indicates that the vertical advection of moisture destabilizes moisture (i.e., increases moisture amplitude by vertically advecting moist air) instead of charging it (increasing moisture tendency). On the other hand, the forcing is out of-phase with the MJO moisture field, as depicted in Fig. 12, suggesting that the forcing stabilizes the moisture (decreases moisture amplitude by the excessive precipitation) instead of discharging it (i.e., decreasing moisture tendency). The vertical average of the vertical advection of the DSE charges the DSE, yet the forcing term discharges the DSE (not shown). The vertical advection and forcing cancel each other, though they have the largest amplitude in the DSE budget equation, as in the moisture budget. The structure of the MSE vertical advection (sum of DSE and moisture vertical advection) or MSE forcing (sum of the DSE and moisture forcing) differs from their counterpart in DSE and moisture. For example, while the vertical advection of DSE charges DSE, vertical advection of the moisture destabilizes the atmosphere, and the vertical advection of the MSE stabilizes the atmosphere, consistent with Inoue and Back (2015). The phase speed of the vertical integral of the vertical advection and forcing fields (Fig. 11 and 12) increases with background moisture. The field at dry background conditions is more continuous than that at 12 g kg⁻¹, which shows a rapid discontinuity at the Maritime Continent.

d. Advection by the Background Zonal Wind as an Alternative Explanation of Phase Speed Association with Humidity

To find a pathway that might connect the background moisture and the variability of the phase speed of the MJO over the Indian Ocean, we present the relationship between the background moisture over Eastern Africa and the upper level background zonal wind, which could alter the phase speed of the MJO by advection. Figure 13 shows the regressed slope (contours) background zonal wind against the background-specific humidity over Eastern Africa. The regressed slope background zonal wind shows weak lower-level easterlies and upper-level westerlies over the Indian ocean. This pattern agrees with the upper-level circulation during El Niño and positive Indian Ocean dipole, reflecting the weakening of the Walker circulation during Fall and spring associated with wet years over the western Indian Ocean and Eastern Africa (Shaaban and Roundy

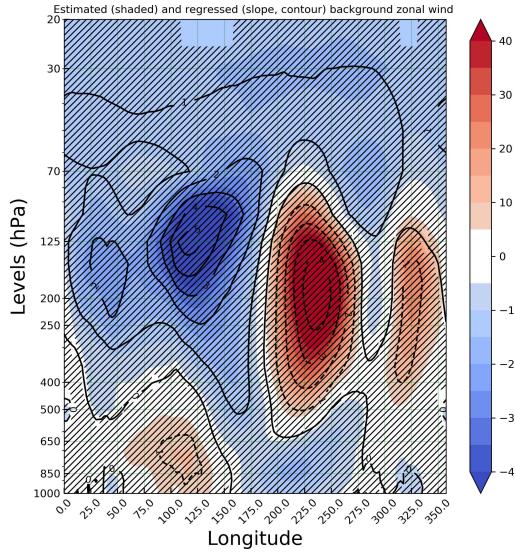
574 2017). Nevertheless, the appearance of background upper-level westerlies over the Indian ocean is
575 not common. To understand how such upper-level background zonal westerlies could appear in the
576 regression slope term, we present the estimated background zonal wind (shaded, Figure 13), which
577 is the sum of the intercept and the regressed slope coefficients values. The estimated background
578 zonal wind depicts upper-level easterlies, consistent with the observed climatological background
579 wind. The estimated background wind is easterlies since the intercept (not shown) depicts strong
580 easterlies, which is way larger in magnitude than the regression slope coefficients.

581 Composite analysis could also be used to understand the previous association between the
582 background moisture and zonal wind. Figure 14 depicts composites for the background zonal
583 wind when 850 hPa background specific humidity is larger than its first quartile (Q1, hereafter
584 wet events) as in (Fig.14a), and smaller than its second quartile (Q2, hereafter dry events) as in
585 (Fig. 14b). Wet conditions over East Africa (Fig. 14a) are associated with weaker upper easterlies
586 when compared with those associated with dry events (Fig. 14b). Weaker background easterlies
587 during wet events over East Africa are a proxy for the build-up of upper-level background westerlies
588 over the Indian Ocean associated with the convection near East Africa, which could be shown by
589 subtracting background zonal wind of wet events from those of dry events (Fig. 14c).

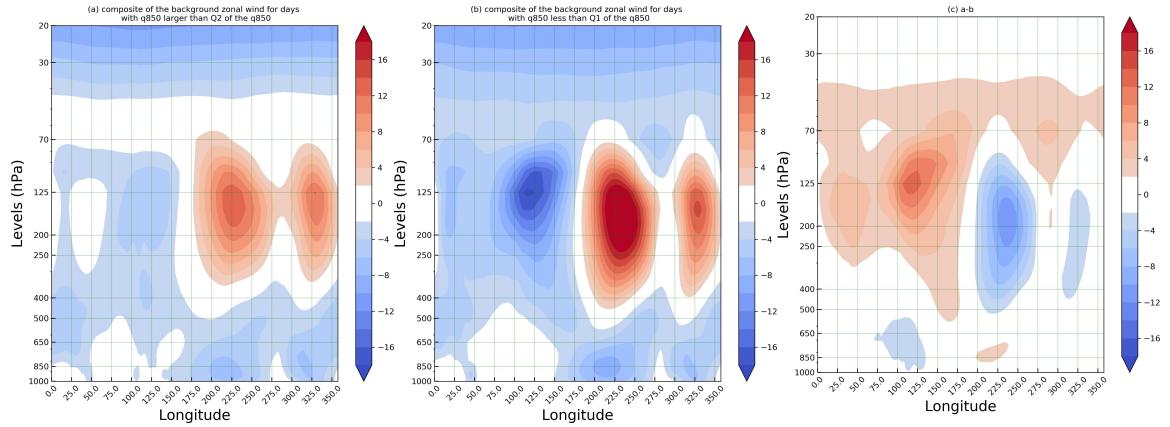
590 Figure 13 was reproduced again but using background moisture over the western Indian Ocean,
591 Eastern Indian Ocean, and Maritime Continent (not shown). We anticipated that the circulation
592 pattern associated with the background moisture over the Maritime Continent would resemble a
593 pattern opposite to that shown in Fig. 13, yet, surprisingly, we got circulations that also match El
594 Niño and positive Indian Ocean dipole. This result suggests that the anomalous subsidence over
595 the Maritime Continent raises the background moisture in the lower levels.

601 5. Discussion and conclusion

602 Although the region of the strongest rainfall variance of the MJO collocates with the region of
603 maximum tropical precipitation and moisture, the relationship between the background moisture
604 and propagation characteristics of the MJO has not been thoroughly investigated. This study uses a
605 modulation regression technique to address the association of the MJO phase speed with low-level
606 background moisture over Eastern Africa and the Indian Ocean. The lagged regressions of the



596 FIG. 13. Longitude-level of background (shaded) and background anomaly (contoured) of the zonal wind
 597 averaged between -10° and 10° regressed against background specific humidity index over East Africa at 850
 598 hPa. Shading is contoured every 0.1 g kg^{-1} .



599 FIG. 14. Longitude-level composites of background zonal wind averaged between -10° and 10° (a) wet, (b)
 600 dry, (c) wet - dry at 850 hPa over East Africa.

607 MJO, as represented by the upper and lower level zonal wind and moisture, show an increase of
 608 the MJO phase speed with increases of the low-level background moisture over Eastern Africa and
 609 the Indian Ocean (Fig. 3 and 4). There remains substantial debate about mechanisms that might
 610 influence the propagation and propagation speed of the MJO. Some authors have suggested that
 611 convection likely reduces the phase speeds of tropical modes. For example, shallower convection
 612 has been theorized to be associated with higher baroclinic modes that decrease the phase speed of

613 the tropical mode. Also, a reduction in the effective static stability felt by waves in the convective
614 environment could decelerate the wave speed (Zhang 2005; Kiladis et al. 2009). Our results
615 suggest that, westward advection ~~of the upper tropospheric circulation signal~~ of the MJO by the
616 upper tropospheric easterly background wind would slow the MJO, and abnormally strong tropical
617 easterlies would cause abnormally slow propagation (Roundy 2022). Figure 13 shows that when
618 lower tropospheric moisture is high over the tropical Indian Ocean, upper tropospheric background
619 wind tends to be less easterly than average, which would yield faster eastward movement by this
620 argument. Our results do not necessarily suggest that convection does not slow the MJO, but if it
621 does, that outcome must be overwhelmed by other mechanisms acting in the opposite direction.

622 The intraseasonal moisture anomaly associated with fast MJOs resembles wavenumber one (Fig.
623 7a), while slower MJOs project onto higher wavenumbers (Fig. 7b). The moist phases of the fast
624 MJO events include a bulging structure to the east, which is absent in the slow MJO. The bulging
625 structure might be associated with a progressive pattern of shallow and congestus convection,
626 which is theorized to moisten the atmosphere before the initiation of the deep convection (Benedict
627 and Randall 2007). Hence, the relative absence of the shallow convection might reflect rather
628 weaker and slower MJOs. In comparison with the composite vertical structure of the MJO, the
629 bulging structure of the fast MJO suggests that baroclinic modes beyond the first baroclinic mode
630 would be required to account for the bulging structure, consistent with Haertel et al. (2008), who
631 suggested the baroclinic structure of the MJO could be represented mainly using the first two
632 baroclinic modes. Yet, at the same time, adding more higher baroclinic modes as in the normal
633 mode theory or adding more plane waves as in the radiative wave structure (Shaaban and Roundy
634 2021), would suggest a slower propagation of the MJO. **Yet, the observed fast MJO indicates that**
635 **the effect of the background wind must overcome the deceleration associated with the dynamics**
636 **of the moist waves. Under the moisture mode umbrella, the strong active and inactive phase of the**
637 **fast MJO during moist background state could be attributed to the intensified moisture tendency**
638 **associated with the strong advection of the background moisture by the MJO flow.**

639 The background moisture fluctuates with the fluctuation of the Walker circulation as in Fig 13.
640 Weakening of the Walker circulation is associated with wet conditions over Eastern Africa. On
641 the other hand, reversal of the Walker circulation is associated with reversal in SST total field
642 or anomalies. The SST is a key variable in parameterizing the lower moisture field. This might

643 indicate that the association between background zonal wind and moisture are due to impact of the
644 SST variability on background wind and moisture.

645 Sobel et al. (2014) found a moisture mode moving eastward under an equatorward moisture
646 gradient and a weak horizontal temperature gradient. This moisture mode has been suggested as a
647 foundation for MJO dynamics (e.g. Adames and Kim 2016; Chen and Wang 2019). Regressions
648 set with high background moisture show higher amplitude intraseasonal moisture anomalies than
649 regressions set with lower background moisture (Fig. 7), consistent with predictions of a moisture
650 mode theory. We found that the acceleration of the MJO as observed in the moisture field is
651 associated with intensification of the tendency of the moisture (Fig. 8), nevertheless intensification
652 of the tendency could be associated with intensification of the amplitude of the signal at the
653 same phase speed. On the other hand, the accelerated DSE field is not associated with clear
654 intensification of the DSE tendency (Fig. 9). It is yet unclear whether the phase speed signal we
655 observe in association with background moisture is consistent with leading moisture mode theories,
656 which are focused on horizontal gradients of moisture more than the total background moisture. A
657 subsequent paper will apply the above techniques to assess the associations of MJO phase speed
658 with zonal and meridional moisture gradient configurations.

659 Aside from the moisture mode perspective of the MJO, Roundy (2020) found that the upper
660 structure of the MJO resembles Kelvin ridge to the east and Kelvin trough to the west. Moreover,
661 Roundy (2022) found that the phase speed are subject to advection by upper zonal flow. The
662 background upper-level zonal wind, associated with high background moisture over Eastern Africa
663 is less easterly than the seasonal average background wind when the lower tropospheric of the
664 region is also anomalously moist, which would result in less westward advection, thereby yielding
665 higher than average eastward phase speed, which is consistent with Roundy (2022). The magnitude
666 of upper-level background zonal wind anomaly is comparable to the average phase speed of the
667 MJO, strongly suggesting a role for the advection of the MJO by the background upper tropospheric
668 flow. That is, the MJO may be faster in these moist environments because moist environments
669 tend to be associated with upper tropospheric westerly wind anomaly, which would result in faster
670 eastward propagation. After subtracting out the effect of advection by the upper tropospheric
671 background wind, Roundy (2022) showed that the slowest MJO events are those near the center of
672 its spectral peak, where average intensity of OLR anomalies is strongest. Yet the slowing effects of

moist processes are evidently substantially weaker than these advection effects, especially for the slowest events that experience the greatest westward advection.

Advection by the background wind would impact the movement of MJO circulation signals at every pressure level. However, variability in background wind is a factor of 10 larger in the upper troposphere than the lower troposphere, so the advection of the MJO-associated ~~lower~~upper tropospheric circulation in the upper troposphere must yield a far greater impact on the variability of the phase speed of MJO wind anomalies assessed at the same level. The effect of 1 m s^{-1} wind amplitude in the lower troposphere on MJO phase speed must be of the same order, while $5-10 \text{ m s}^{-1}$ variations in the upper troposphere must be that much larger. What we do not demonstrate clearly is how the upper tropospheric wind signals lead to changes in the phase speed of the convection. One possible mechanism for future analysis is that the advancing upper tropospheric divergence signal associated with the MJO reduces total subsidence, thereby reducing convective inhibition, thereby increasing convection, which then drives the lower tropospheric circulation in line with the upper tropospheric wind (Powell and Houze Jr. 2015).

We found that the phase speed of the 850 hPa zonal wind and moisture signals increases gradually with the background moisture wind, which might be consistent with the moisture mode. The IO phase speed of the 200 hPa zonal wind (identified by the white reference line) shows association with the background moisture stronger than the 200 hPa zonal wind signal (black reference line). This is because weakening or strengthening of the IO Walker circulation cooccur with weakening or strengthening of the Pacific ocean Walker arm. The 200 hPa zonal wind signal has a wider zonal extension and happens to be influenced by the opposite circulation of Walker in the Indian Ocean and the Pacific. So while strong upper easterlies over the Indian Ocean advects MJO westward, strong upper westerlies over the Pacific Ocean advects the MJO eastward.

This study demonstrates that the role of the background zonal wind is not confined to the initiation of the MJO in the lower troposphere but it confirms that the upper tropospheric zonal wind modulates MJO phase speed by advection ~~whether the MJO is goverened by moist wave dynamics or moisture mode~~. This study supports other studies that emphasize the role of the background state of the model in correctly simulating MJO events (e.g. Ling et al. 2017). Although background moisture and upper background zonal wind are related, they have separate role in developing comprehensive moist-wave theory of MJO. Under the umbrella of the moist-

703 wave dynamics, background moisture may strengthen the convective MJO by advecting moist air,
704 hence decelerating the MJO, while the weakening of the upper background zonal wind associated
705 with the strengthened background moisture accelerates the MJO. On the other hand, under the
706 perspective of the moisture mode, a stronger tendency of the intraseasonal moisture field observed
707 during the periods of high moist background might accelerate MJO, acting to further increase the
708 MJO speed accelerated by the background zonal wind. That is, a dispersion equation at resting
709 atmosphere consistent with observations should explain part of the variability of the MJO phase
710 speed via simple dynamics of the moisture mode or moist waves. Then, by incorporating the role
711 of the advection by the upper zonal wind, which may overwhelm the intrinsic phase speed of the
712 MJO at resting atmosphere, a comprehensive diagnostic of the variability of the phase speed of the
713 MJO is achieved. This is similar to the overwhelming role of the background wind in modulating
714 the phase speed of the midlatitude Rossby wave.

715 ~~More analysis is needed to estimate the evidently smaller role of subseasonal moist processes in~~
716 ~~modulating the phase speed of the MJO. Could a bulb of moisture be sustained by tropospheric~~
717 ~~level of support of moist static energy irrespective of the effect of the upper level zonal wind?~~
718 ~~Alternatively, if a moist coupled upper tropospheric Kelvin wave is a good model of the MJO,~~
719 ~~the results presented here would suggest that the MJO might move faster across the Indian Ocean~~
720 ~~during moist periods because of advection by the anomalous westerly background wind that tends~~
721 ~~to occur in the basin at the same time.~~

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727 *Data availability statement.* Work done in this manuscript is based on ERA interim data produced
728 at European Centre for Medium-Range Weather Forecasts and available to download at <https://climatedataguide.ucar.edu/climate-data/era-interim>. Outgoing longwave radiation
729 is available at NOAA physical science division <https://ps1.noaa.gov/data/gridded/data.olrcdr.interp.html>

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