

# 1 A toy model for two-dimensional spin-fluctuation- induced unconventional superconductivity

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 11

## 12 ABSTRACT

13 Superconductivity had been one of the most enigmatic phenomena in condensed matter physics, puzzling the best theorists for 45 years,  
 14 since the original discovery by Kamerlingh-Onnes in 1911 till the final solution by Bardeen, Cooper, and Schrieffer (BCS) in 1957. The orig-  
 15 inal BCS proposal assumed the highest-symmetry form for the superconducting order parameter  $\Delta$ , namely, a constant, and a uniform  
 16 pairing interaction due to attractive mediation of ionic vibration. While it was rather soon realized that generalizations onto  $k$ -dependent  
 17 order parameters and anisotropic pairing interaction was straightforward, only thirty years later, upon the discovery of high-temperature  
 18 superconductivity in cuprates, high-order angular dependence of  $\Delta$  and repulsive interaction, mediated by spin fluctuations or Coulomb  
 19 repulsion brought such “unconventional” into the spotlight. In 2008 yet another such system was discovered, and eventually the idea of  
 20 repulsion-mediated unconventional superconductivity was generally accepted. Apart from the two specific systems mentioned above, a large  
 21 number of various specific implementations of this idea have been proposed, and it is becoming increasingly clear that it is worth studying  
 22 mathematically how unconventional superconductivity emerges, and with what properties, for a simple, but sufficiently general theoretical  
 23 model. In our project, we study systematically unconventional superconductivity in an isotropic two-dimensional model system of electrons,  
 24 subjected to repulsive interactions of a simple, but physically motivated form: a delta function peaked at a particular momentum (from 0 to  
 25 twice the Fermi momentum), or Gaussian of varying widths.

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## 27 1. INTRODUCTION

28 Even though theorists were taking random forays into uncon-  
 29 ventional superconductivity (“unconventional” here is defined as  
 30 superconductivity that is not due to phonons, and/or with a  
 31 momentum-dependent order parameter,<sup>1</sup> the real advent of this  
 32 field was triggered by the discovery of the high-temperature super-  
 33 conductivity in cuprates.<sup>2</sup> It took a decade to appreciate that the  
 34 pairing symmetry there is  $d$ -wave, and that the likely pairing inter-  
 35 action is due to spin-fluctuation exchange.<sup>3</sup> This concept has  
 36 gained currency afterwards, especially when it was recognized that  
 37 the newly-discovered Fe-based superconductors, even while qualita-  
 38 tively different and of different pairing symmetry, can also be  
 39 described within the same paradigm.

40 The idea is tantalizingly simple. As we recall in the next  
 41 section, while charge fluctuations, such as phonons, always induce  
 42 interelectron attraction, and Coulomb interaction is always repulsive,  
 43 spin fluctuations induce repulsion for singlet pairs, and attraction in  
 44 triplet pairs (we are not discussing even more exotic odd-frequency

45 superconductivity, where this rule is reversed, even though this  
 46 concept has also been brought up within the same framework.<sup>4</sup> If,  
 47 as it is usually the case, superconductivity develops upon suppres-  
 48 sion of an antiferromagnetic order, spin-fluctuation spectrum is  
 49 peaked, in the momentum space, at the wave vector correspond-  
 50 ing to this order. If the Fermi surface geometry is such that this vector  
 51 spans parts of the Fermi surface that, in a given pairing symmetry,  
 52 have opposite signs, spin-fluctuation exchange will favor this partic-  
 53 ular pairing symmetry. In case of high- $T_c$  cuprates it happens to be  
 54 the  $x^2 - y^2$   $d$ -wave symmetry, in Fe-based material a sign-changing  
 55  $s$ -wave,<sup>5</sup> etc. Similar geometrical arguments were historically applied  
 56 for triplet pairing, such as  $p$ -wave<sup>6</sup> or  $f$ -wave.<sup>7</sup>

57 Apart from some heavy-fermion superconductors, most  
 58 unconventional-superconductivity candidates are 2D, which greatly  
 59 simplifies the problem. Keeping in mind that real materials usually  
 60 have complex Fermi surfaces (high- $T_c$  cuprates are an exception)  
 61 and spin fluctuations often have a complicated spectrum, it is still  
 62 useful to gather general insight into the interplay between the

63 Fermi surface geometry and the shape of the spin fluctuation spectrum using simple models.

64 In this paper, we are using a minimal 2D model, consisting of  
65 a circular Fermi surface of a unit radius  $k_F = 1$  and isotropic spin-  
66 fluctuation induced pairing interaction,  $V_{\mathbf{k},\mathbf{k}'} = V(|\mathbf{k} - \mathbf{k}'|)$ , and  
67 considering both singlet states up to  $l = 4$  (i.e.,  $s$ ,  $d$ , and  $g$ ) and  
68 triplet up to  $l = 5$  (i.e.,  $p$ ,  $f$ , and  $h$ ). The high symmetry of the  
69 model affords a great simplification of the mathematics involved.  
70 without much loss of the essential physics.

## 72 2. GENERAL THEORY

### 73 2.1. Anisotropic Bardeen-Cooper-Schrieffer theory

74 The Bardeen-Cooper-Schrieffer (BCS) theory introduced the  
75 concept of a uniform order parameter  $\Delta$  and a constant, attractive  
76 pairing interaction  $g = V_{\mathbf{k},\mathbf{k}'}$ . The standard BCS equation then  
77 reads:

$$\Delta = g \sum_{\mathbf{k}} \frac{\Delta}{2E_{\mathbf{k}}} \tanh\left(\frac{E_{\mathbf{k}}}{2T}\right) \approx g \sum_{\mathbf{k}} \frac{\Delta}{2\varepsilon_{\mathbf{k}}} \tanh\left(\frac{\varepsilon_{\mathbf{k}}}{2T}\right), \quad (1)$$

78 where  $E_{\mathbf{k}} = \sqrt{\Delta^2 + \varepsilon_{\mathbf{k}}^2}$  is the excitation energy in the superconducting state,  $\varepsilon_{\mathbf{k}}$  is the normal-state one-electron energy, with the  
79 Fermi energy  $E_F$  set to zero, and  $g > 0$  is the attractive constant  
80 interaction; the second equality holds in the linear regime  
81  $T_c - T \ll T_c$ ,  $\Delta \ll T_c$ . Furthermore, the interaction is presumed to be  
82 non-zero only for  $\varepsilon_{\mathbf{k}} < T_D$ , a cut-off frequency. In the assumed  
83 weak-coupling regime (not to be confused with the weak-coupling  
84 limit of the Eliashberg theory), the dimensionless coupling constant  
85  $\lambda = gN \ll 1$  (where  $N$  is the density of states at the Fermi level).  
86 Going from integration over the momenta to integration over energies in Eq. (1), one obtains the linearized equation on  $T_c$ :

$$\Delta = \lambda \int_0^{T_D} \frac{\Delta}{2\varepsilon} \tanh\left(\frac{\varepsilon}{2T}\right) d\varepsilon. \quad (2)$$

89 The (small) order parameter can be cancelled out and the  
90 remaining equation is easily solved in the  $T_c \ll T_D$  limit to give

$$T_c = 1.13 T_D \exp(-1/\lambda),$$

92 where 1.13 comes from the Euler  $\gamma$  as  $2e^\gamma/\pi$ .

93 A straightforward generalization of the BCS theory allows for  
94 the momentum dependence of both  $V(\mathbf{k}, \mathbf{k}')$  and  $\Delta(\mathbf{k})$ . The gap  
95 equation then becomes:

$$\begin{aligned} \Delta_{\mathbf{k}} &= \sum_{\mathbf{k}'} V_{\mathbf{k},\mathbf{k}'} N(\mathbf{k}') \Delta_{\mathbf{k}'} \log\left(\frac{1.13 T_D}{T_c}\right) \\ &= \sum_{\mathbf{k}'} \lambda_{\mathbf{k},\mathbf{k}'} \Delta_{\mathbf{k}'} \log\left(\frac{1.13 T_D}{T_c}\right), \end{aligned} \quad (3)$$

96 where  $N(\mathbf{k}') = \frac{1}{v_F(\mathbf{k}')}$  is the local density of states at the Fermi  
97 surface, with  $v_F(\mathbf{k}')$  being the Fermi velocity.

In the proximity of weak-coupling limit on an anisotropic  
98 Fermi surface, the order parameter equation can be expressed as an  
99 eigenvalue problem:  
100

$$\sum_{\mathbf{k}'} \lambda_{\mathbf{k},\mathbf{k}'} \Delta_{\mathbf{k}'} = \frac{1}{\log(1.13 T_D/T_c)} \Delta_{\mathbf{k}}. \quad (4)$$

The largest eigenvalue  $\lambda_{\max}$  of the matrix  $\lambda_{\mathbf{k},\mathbf{k}'}$  thus gives the largest  
101 critical temperature  $T_c$  at which a solution of the Eq. (4) is possible,  
102 and the corresponding eigenvector  $\Delta_{\mathbf{k}}$  gives us the corresponding  
103 distribution of the order parameter over the Fermi surface near  $T_c$   
104 (but not at zero temperature). Then  
105

$$T_c = 1.13 T_D \exp(-1/\lambda_{\max}) \quad (5)$$

in this formulation,  $\lambda_{\max}$  replaces the typical coupling constant  $\lambda$  in  
106 the conventional BCS theory. Note that, in principle, the order  
107 parameter  $\Delta$  need not be real, but may have a complex phase.  
108 However, it must satisfy (see the next section) the requirement that  
109  $\Delta_{\mathbf{k}} = \Delta_{-\mathbf{k}}$  (we are not considering non-centrosymmetric crystal lat-  
110 tices here), so any eigenvector that does not respect this condition,  
111 even if it yields the largest eigenvalue, should be discarded.  
112

## 2.2. Generalization onto triplet pairing

While the BCS theory assumes singlet pair with the opposite  
114 spins, a similar theory can be written for triplet pairs, where each  
115 pair has spin  $S = 1$ .<sup>8</sup> Since the pair is now a spin-1 object, its state  
116 has to be described by a spinor matrix, which, in turn, can be rep-  
117 resented by a real-space axial vector. Furthermore, while in the  
118 singlet case the pair wave function satisfy the Pauli principle by  
119 virtue of its spin part, so that its spatial part  $\Delta_{\mathbf{k}} = \Delta_{-\mathbf{k}}$  is inversion-  
120 symmetric, the opposite is true for the triplet case, so the vector  
121 order parameter is antisymmetric:  $\mathbf{d}_{\mathbf{k}} = -\mathbf{d}_{-\mathbf{k}}$ .  
122

One can now write BCS-like equations on this vector order  
123 parameter:  
124

$$\begin{aligned} \mathbf{d}_{\mathbf{k}} &= \sum_{\mathbf{k}'} V_{\mathbf{k},\mathbf{k}'} N(\mathbf{k}') \mathbf{d}_{\mathbf{k}'} \log\left(\frac{1.13 T_D}{T_c}\right) \\ &= \sum_{\mathbf{k}'} \lambda_{\mathbf{k},\mathbf{k}'} \mathbf{d}_{\mathbf{k}'} \log\left(\frac{1.13 T_D}{T_c}\right). \end{aligned} \quad (6)$$

Possible symmetries of the vector  $\mathbf{d}$  are enumerated, for the three  
126 most common crystal symmetries, cubic, tetragonal and hexagonal, in  
127 the review Ref. 8. For the purpose of our minimal model, they can be  
128 greatly simplified, since, first, we only need to consider 2D representa-  
129 tion, and, second, neglecting spin-orbit coupling essentially renders all  
130 triplet unitary states with the same angular momentum degenerate. For  
131 instance, for a tetragonal or hexagonal system Sigrist and Ueda<sup>8</sup> list  
132 four unitary states,  $\mathbf{d}_{\mathbf{k}} = \text{const} \cdot (k_x \hat{\mathbf{x}} \pm k_y \hat{\mathbf{y}})$ , or  $\text{const} \cdot (k_x \hat{\mathbf{y}} \pm k_y \hat{\mathbf{x}})$ ,  
133 which are all degenerate. Thus, it is enough to consider only  
134  $\mathbf{d}_{\mathbf{k}} = \text{const} \cdot (k_x \hat{\mathbf{x}} + k_y \hat{\mathbf{y}}) = \text{const} \cdot \mathbf{k}/k$ . Correspondingly, triplet states  
135 with higher angular momenta than  $p$  ( $l=1$ ) can be, without a loss of  
136 generality, written as  $\mathbf{d}_{\mathbf{k}} = \Delta^T(\mathbf{k}) \mathbf{k}$  where, according to our model,  
137  $k=1$ , and  $\Delta^T$  is a scalar inversion-symmetric function.  
138

139 Substituting this form into Eq. (6), we get

$$\Delta_{\mathbf{k}}^T \mathbf{k} = \sum_{\mathbf{k}'} \lambda_{\mathbf{k}, \mathbf{k}'} \Delta_{\mathbf{k}'}^T \mathbf{k}' \log \left( \frac{1.13 T_D}{T_c} \right), \quad (7)$$

140

$$\Delta_{\mathbf{k}}^T = \sum_{\mathbf{k}'} \lambda_{\mathbf{k}, \mathbf{k}'} \Delta_{\mathbf{k}'}^T \mathbf{k} \cdot \mathbf{k}' \log \left( \frac{1.13 T_D}{T_c} \right), \quad (8)$$

141 which has the same form as for the singlet pairing, but replacing  
142 the  $\lambda_{\mathbf{k}, \mathbf{k}'}$  matrix with  $\lambda_{\mathbf{k}, \mathbf{k}'}(\mathbf{k} \cdot \mathbf{k}')$ . Importantly, the interaction  
143 matrix  $\lambda$  in the singlet case is, for the same spin fluctuation spec-  
144 trum, three times larger, due to spin-rotational invariance.<sup>8</sup> In  
145 order to keep the same notations for both cases, we now replace  
146 Eq. (3) with the following:

$$\Delta_{\mathbf{k}}^S = 3 \sum_{\mathbf{k}'} \lambda_{\mathbf{k}, \mathbf{k}'} \Delta_{\mathbf{k}'}^S \log \left( \frac{1.13 T_D}{T_c} \right). \quad (9)$$

147

### 148 3. SIMPLIFIED MODEL OF 149 SPIN-FLUCTUATION-INDUCED INTERACTION

150 When one includes all the aforementioned generalizations of  
151 the BCS theory, the phase diagram of the resulting superconducting  
152 state becomes rather complex. Some qualitative understanding can  
153 be gained from a simple toy model of a uniform 2D electron gas  
154 with an isotropic spin-fluctuation induced interaction.

155 Thus, we take the spin-fluctuation pairing interaction to be  
156  $V(\mathbf{k}, \mathbf{k}') = Vf(|\mathbf{k} - \mathbf{k}'|)$ , which is presumed to have a peak at a  
157 momentum  $Q$ . We will consider two models for  $V$ : first, a Dirac- $\delta$   
158 function, and, second, a Gaussian with a finite width  $\kappa$ . The  
159 Gaussian model is more realistic, but the  $\delta$ -function model allows  
160 for an analytical solution and serves as a limiting test case when  
161  $\kappa \rightarrow 0$ . The forms of the interaction are, respectively,

$$f = \delta(|\mathbf{k} - \mathbf{k}'| - Q),$$

$$f = \frac{1}{\kappa \sqrt{\pi}} \exp \left[ -\frac{(|\mathbf{k} - \mathbf{k}'| - Q)^2}{\kappa^2} \right].$$

162 Note that for  $Q = |\mathbf{k} - \mathbf{k}'|$ :

$$Q = \sqrt{\mathbf{k}^2 + \mathbf{k}'^2 - 2\mathbf{k}\mathbf{k}' \cos(\tilde{\varphi})} = \sqrt{2 - 2\cos(\tilde{\varphi})},$$

163 where  $\tilde{\varphi}$  is the angle between  $\mathbf{k}$  and  $\mathbf{k}'$ , and  $|\mathbf{k}|, |\mathbf{k}'|$  are normalized  
164 to 1. This implies that the parameter  $Q$  has values in the interval  
165  $[0, 2]$ , with  $Q = 0$ , when  $\mathbf{k}$  and  $\mathbf{k}'$  overlap, and  $Q = 2$  when they  
166 are opposite. We do not consider cases where the peak in the spin  
167 fluctuation spectrum is outside of the Fermi surface ( $Q > 2$ ).

#### 170 3.1. Angle representation

171 As we have  $|\mathbf{k}|^2 = 1$ , it is convenient to rewrite the BCS equa-  
172 tion in the angle space. The order parameter now depends on the

angle variable  $\varphi, \varphi'$ :

$$\lambda \Delta^S(\varphi) = -\frac{3N}{2\pi} \int_0^{2\pi} V(\varphi, \varphi') \Delta^S(\varphi') d\varphi', \quad (10)$$

$$\lambda \Delta^T(\varphi) = \frac{N}{2\pi} \int_0^{2\pi} V(\varphi, \varphi') \Delta^T(\varphi') \cos(\varphi - \varphi') d\varphi', \quad (11)$$

173 where  $\cos(\varphi - \varphi') = \mathbf{k} \cdot \mathbf{k}'$ . It is convenient to expand the solution  
174 for  $\Delta(\varphi)$  in circular harmonics  $y_l = \exp(il\varphi)$ , where  $\varphi$  is the  
175 angular coordinate on the Fermi circle. The inversion constraint  
176  $\Delta_{\mathbf{k}} = \Delta_{-\mathbf{k}}$  for both singlet and triplet pairing is satisfied by  $l = 2n$   
177 so that:

$$\exp[2in(\varphi + k\pi)] = \exp[i(2n\varphi + 2nk\pi)] = \exp(2in\varphi), \quad (12)$$

178 where  $k$  is an integer and  $\varphi + k\pi$  represent the inversion of the  
179 angle  $\varphi$ . After  $\Delta_{\mathbf{k}}$  is expanded in  $y_l$ , the problem is reduced to cal-  
180 culating  $\lambda$  by direct integration and selecting the maximal value  
181 among all even  $l = 2n$ . Note that in the order parameter Eq. (11)  
182 for triplet states, there is another dependence on  $\varphi$  from  
183  $\cos(\varphi - \varphi')$ , leading to  $\Delta_{\mathbf{k}}^T(\mathbf{k} \cdot \mathbf{k}')$  behaving as  $l = 2n + 1$ , an odd  
184 orbital number.  
185

## 186 4. SOLUTIONS

### 187 4.1. Dirac- $\delta$ distribution

188 Introducing the angle  $\alpha = 2 \sin^{-1}(Q/2)$  or  $Q = 2\sin(\alpha/2)$ , we  
189 then have  
190

$$|\mathbf{k} - \mathbf{k}'| - Q = |\sqrt{2 - 2\cos(\varphi - \varphi')}| - 2\sin(\alpha/2) = |2\sin(\tilde{\varphi}/2)| - 2\sin(\alpha/2),$$

191 where  $\tilde{\varphi} = \varphi' - \varphi$ . In the simplest case,  $f(|\mathbf{k} - \mathbf{k}'|) = \delta(|\mathbf{k} - \mathbf{k}'| - Q)$   
192 can be converted into angle variables as  $f[|2\sin(\tilde{\varphi}/2)| - 2\sin(\alpha/2)]$ . A  
193 useful formula is

$$\delta(F(x) - a) = \sum_i \frac{\delta(x - x_i)}{|dF/dx|_{x=x_i}},$$

194 in this equation  $i$  labels all solutions of the equation  $F(x) - a = 0$  (in  
195 our case there are two  $\tilde{\varphi} = \pm \alpha$ ). Differentiating  $f(\varphi\varphi')$  with respect to  
196  $\varphi'$  gives  $\cos(\tilde{\varphi}/2)_{\tilde{\varphi}=\pm\alpha}$ . The pairing interaction is then

$$V(\varphi, \varphi') = V \left( \frac{\delta(\tilde{\varphi} - \alpha)}{\cos(\alpha/2)} + \frac{\delta(\tilde{\varphi} + \alpha)}{\cos(-\alpha/2)} \right). \quad (13)$$

197 Singlet state. With the solution for  $\Delta_{\mathbf{k}}^S$  from Eq. (10) the order parameter  
198

198 reads

$$\lambda_n^S \exp(2in\varphi) = -\frac{3N}{2\pi} \int_0^{2\pi} V(\varphi, \varphi') \exp(2in\varphi') d\varphi',$$

200 since, the integral on the right-hand side (RHS) is evaluated  
201 with respect to  $\varphi'$ , we can switch the variable  $\tilde{\varphi}$  by dividing both  
202 sides with  $\exp(2in\varphi)$ :

$$\lambda_n^S = -\frac{3N}{2\pi} \int_0^{2\pi} V(\varphi, \varphi') \exp(2in\tilde{\varphi}) d(\tilde{\varphi}), \quad (14)$$

203 the integral can be solved analytically with the pairing interaction  
204 modified by Dirac- $\delta$  distribution Eq. (13):

$$\lambda_n^S = -\frac{3NV}{\pi\sqrt{1-Q^2/4}} T_{2n}(1-Q^2/2), \quad (15)$$

205 where we expressed the solution for  $\lambda_n^S$  with Chebyshev polynomials  
206 of the first kind  $T_n(\cos\theta) = \cos(n\theta)$ . Another way to rewrite  
207 this expression more compactly is to introduce an auxiliary variable  
208  $\tilde{Q} = \cos(\alpha/2) = \sqrt{1-Q^2/4}$ . Then

$$\lambda_n^S = -\frac{3NV}{\pi\tilde{Q}} T_{2n}(2\tilde{Q}^2 - 1). \quad (16)$$

209 *Triplet State.* Following analogous steps, we derive a corresponding  
210 order parameter equation with Eq. (11) for triplet states:

$$\lambda_n^T = \frac{N}{2\pi} \int_0^{2\pi} V(\varphi, \varphi') \exp(2in\tilde{\varphi}) \cos(\tilde{\varphi}) d(\tilde{\varphi}), \quad (17)$$

211 in which the pairing strength constant  $\lambda_n^T$  can be derived analyti-  
212 cally in a manner similar to that of the singlet case:

$$\lambda_n^T = \frac{NV(2\tilde{Q}^2 - 1)}{\pi\tilde{Q}} T_{2n}(2\tilde{Q}^2 - 1). \quad (18)$$

213 We then create a diagram to determine, within the range of  $\alpha$  from  
214 0 to  $\pi$ , which state yields the maximum  $\lambda_{\max}$  and find the corre-  
215 sponding orbital number:  $l = 2n$  for the singlet state (*s, d, g*) and  
216  $l = 2n + 1$  for the triplet state (*p, f, h*), with  $n$  in the range [0, 2],  
217 respectively.

## 218 4.2. Gaussian distribution

219 Following analogous steps as with the Dirac- $\delta$  distribution, the  
220 pairing interaction  $V(|\mathbf{k} - \mathbf{k}'|)$  for the Gaussian function can be  
221 expressed in terms of the angle variable  $\tilde{\varphi}$ :

$$V(\tilde{\varphi}) = \frac{V}{\kappa\sqrt{\pi}} \exp\left\{-\frac{[2|\sin(\tilde{\varphi}/2)| - 2\sin(\alpha/2)]^2}{\kappa^2}\right\}. \quad (19)$$

*Singlet State.* The pairing strength constant equation given the Gaussian model for pairing interaction in the variable  $\tilde{\varphi}$  is as follows:

$$\lambda_n^S = -\frac{3NV}{2\kappa\pi^{3/2}} \int_0^{2\pi} \exp(2in\tilde{\varphi}) \times \exp\left\{-\frac{[2|\sin(\tilde{\varphi}/2)| - 2\sin(\alpha/2)]^2}{\kappa^2}\right\} d\tilde{\varphi}, \quad (20)$$

note that the integrand  $F(\tilde{\varphi})$  under the integral in the RHS of Eq. (20) is an even function, meaning that  $F(\tilde{\varphi}) = F(\tilde{\varphi} + \pi)$ . That leads to

$$\int_0^{2\pi} F(\tilde{\varphi}) d\tilde{\varphi} = \int_0^\pi F(\tilde{\varphi}) d\tilde{\varphi} + \int_\pi^{2\pi} F(\tilde{\varphi}) d\tilde{\varphi} = 2 \int_0^\pi F(\tilde{\varphi}) d\tilde{\varphi},$$

which simplifies Eq. (20) to

$$\lambda_n^S = -\frac{3NV}{\kappa\pi^{3/2}} \int_0^\pi \cos(2n\tilde{\varphi}) \exp\left\{-\frac{[2|\sin(\tilde{\varphi}/2)| - 2\sin(\alpha/2)]^2}{\kappa^2}\right\} d\tilde{\varphi},$$

it is more favorable to solve Eq. (20) numerically due to its complexity. For large values of  $\kappa$ , the equation can be solved straightforwardly by Simpson's rule. As  $\kappa$  approaches small values, the expression under the integral in Eq. (20) varies more and more rapidly, making Simpson's rule impractical. A useful numerical method for small  $\kappa$  is Gauss-Hermite quadrature. Introducing

$$x = \frac{2|\sin(\tilde{\varphi}/2)| - 2\sin(\alpha/2)}{\kappa}$$

Equation (20) is then expressed in terms of the variable  $x$  as follows:

$$\lambda_n^S \approx -\frac{3NV}{\pi^{3/2}} \int_{-\infty}^{\infty} \exp(-x^2) \frac{\cos\{4n\arcsin[x\kappa/2 + \sin(\alpha/2)]\}}{\sqrt{1 - [x\kappa/2 + \sin(\alpha/2)]^2}} dx, \quad (21)$$

rapidly converging the regime of small  $\kappa$ , where Simpson's rule fails. Applying Gauss-Hermite quadrature, we have

$$\lambda_n^S \approx \frac{-3NV}{\pi^{3/2}} \sum_{i=1}^n w_i \frac{\cos\{4n\arcsin[x_i\kappa/2 + \sin(\alpha/2)]\}}{\sqrt{1 - [x_i\kappa/2 + \sin(\alpha/2)]^2}}.$$

*Triplet State.* Analogously to the singlet case, the pairing strength constant for triplet state equation considering the Gaussian model

243 for pairing interaction in the variable  $\tilde{\varphi}$  is as follows:

$$\lambda_n^T = \frac{NV}{2\kappa\pi^{3/2}} \int_0^{2\pi} \exp(2in\tilde{\varphi}) \cos(\tilde{\varphi}) \times \exp\left\{-\frac{[2|\sin(\tilde{\varphi}/2)| - 2\sin(\alpha/2)]^2}{\kappa^2}\right\} d\tilde{\varphi}, \quad (22)$$

244 with integrand being an even function, Eq. (22) can be transformed  
245 into

$$\lambda_n^T = \frac{NV}{\kappa\pi^{3/2}} \int_0^\pi \cos(2n\tilde{\varphi}) \cos(\tilde{\varphi}) \exp\left\{-\frac{[2\sin(\tilde{\varphi}/2) - 2\sin(\alpha/2)]^2}{\kappa^2}\right\} d\tilde{\varphi}.$$

246 Implementing a similar procedure as in the singlet case, we can  
247 analyze the solution for large and small values of  $\kappa$ :

- 248 - Values in the upper range of  $\kappa$ : Eq. (22) can be solved  
249 numerically using Simpson's rule.
- 250 - Values in the lower range of  $\kappa$ : The linearized order parameter  
251 reads

$$\lambda_n^T \approx \frac{NV}{\pi^{3/2}} \int_{-\infty}^{\infty} \cos\{2\arcsin[x\kappa/2 + \sin(\alpha/2)]\} \times \frac{\cos\{4n\arcsin[x\kappa/2 + \sin(\alpha/2)]\}}{\sqrt{1 - [x\kappa/2 + \sin(\alpha/2)]^2}} \exp(-x^2) dx. \quad (23)$$

253 Gauss-Hermite quadrature is an appropriate method for  
254 obtaining a valid approximation:

$$\lambda_n^T \approx \frac{NV}{\pi^{3/2}} \sum_{i=1}^n w_i \frac{\cos\{4n\arcsin[x_i\kappa/2 + \sin(\alpha/2)]\}}{\sqrt{1 - [x_i\kappa/2 + \sin(\alpha/2)]^2}} \times \cos\{2\arcsin[x_i\kappa/2 + \sin(\alpha/2)]\}.$$

255

## 256 5. RESULTS

### 257 5.1. Dirac- $\delta$ distribution

258 The eigenvalue interaction parameter  $\lambda_{\max}$  is intrinsically positive,  
259 aligned with physical expectations of interaction strengths  
260 within the system; hence, the diagram excludes values of negative  
261 eigenvalues. The red line, representing the singlet pairing interaction  
262 (blue line), except for a small range of  $0 < |Q| < 0.45$ . Within this  
263 interval,  $p$ -waves states show a relative increase in triplet interaction  
264 strength. In the dominant domain of singlet states, there are mostly  
265  $g$ -wave states and  $d$ -wave states.

266 The Gaussian distribution closely approximates the Dirac- $\delta$   
267 model as  $\kappa$  approaches zero, as illustrated in the Fig. 2 above,  
268 where we compare phase transition of the Gaussian model with  
269 width  $\kappa = 0.001$  to that of the Dirac- $\delta$  model. The result confirmed  
270 the model's hypothesis.

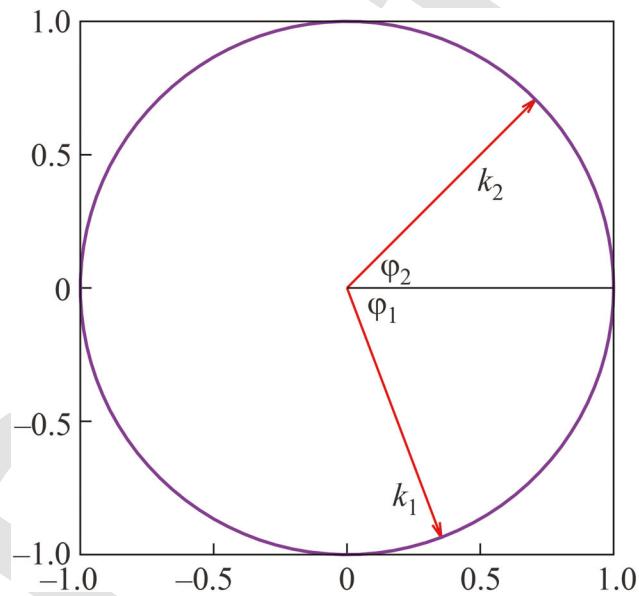


FIG. 1. Model circular Fermi surface of radius  $k_F = 1$ .

### 272 5.2. Gaussian distribution

273 The Gaussian model represents a more realistic approximation  
274 compared to the idealized Dirac- $\delta$  model. By varying the width  
275 parameter away from zero, we can develop a comprehensive phase  
276 diagram that distinguishes between singlet and triplet states.

277 As discussed, employing Simpson's rule becomes more appropriate  
278 for solving Eqs. (20) and (22) over a broader range of  $\kappa$ , with  
279 a particular focus on values starting from  $\kappa = 0.02$ . As illustrated  
280 in Fig. 3, the physical significance of phase dominance is preserved

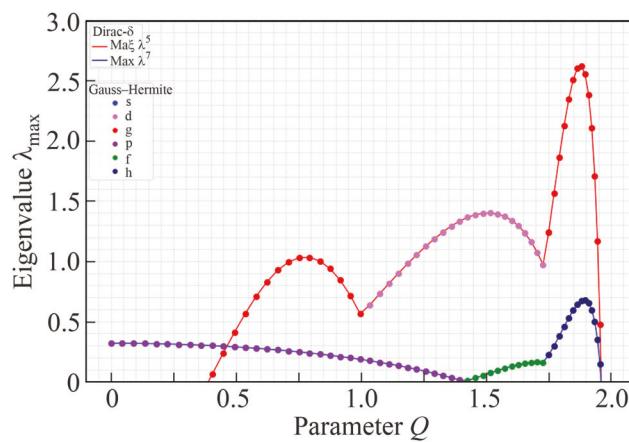
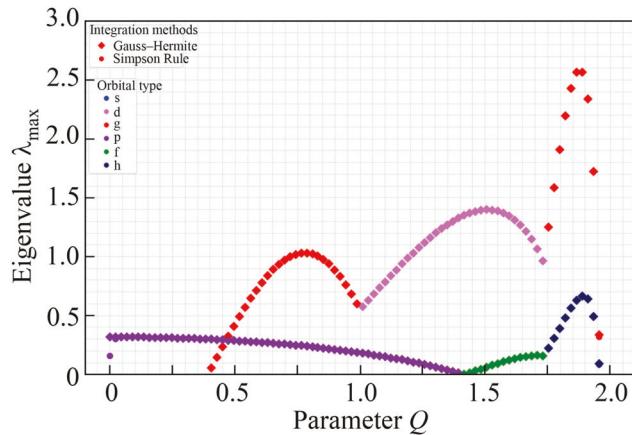
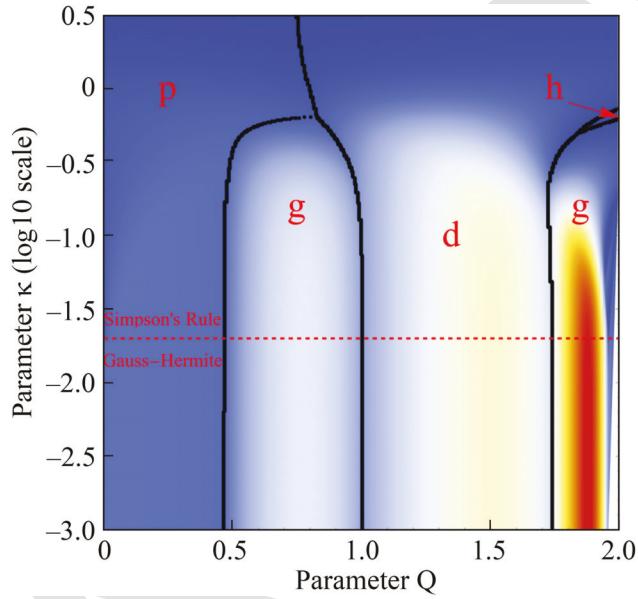


FIG. 2. Phase transitions of singlet and triplet states modeled by Dirac- $\delta$  function and Gaussian functions with  $\kappa = 0.001$ .



**FIG. 3.** Phase transitions of singlet and triplet states modeled by gaussian function with  $\kappa = 0.02$  computed by Gauss–Hermite quadrature vs Simpson’s rule.

281 in both cases when  $\kappa = 0.02$ . This method enables the construction  
 282 of a detailed phase diagram that examines the interaction parameter  $\lambda$ , with a focus on identifying the values of  $n$  that optimize  $\lambda$ .  
 283 This, in turn, provides deeper insights into the system's behavior  
 284 across different parameter regimes.



**FIG. 4.** Phase diagram as a function of the spin-fluctuation wave vector  $Q$  and the fluctuation spectrum width  $\kappa$ . The color corresponds to the absolute values of the largest eigenvalue  $\lambda_{\max}$  of the interaction matrix, and the diagram is cut at  $\lambda_{\max} > 0.01$ . The symmetry of the corresponding superconducting state is marked for the corresponding stability regions.

**TABLE I.** Symmetry of gap functions of states.

|     | $S$ | $L$ | $\Delta(\mathbf{k})$ or $d(\mathbf{k})$ |
|-----|-----|-----|---|
| $S$ | 0   | $s$ | 1                                       |
| $T$ | 1   | $p$ | $\cos \varphi$                          |
| $S$ | 0   | $d$ | $\cos 2\varphi$                         |
| $T$ | 1   | $f$ | $\cos 3\varphi$                         |
| $S$ | 0   | $g$ | $\cos 4\varphi$                         |
| $T$ | 1   | $h$ | $\cos 5\varphi$                         |

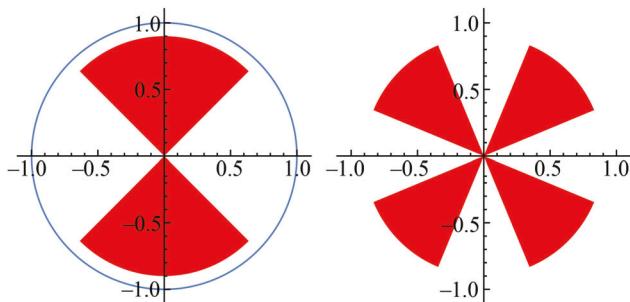
The phase diagram presented, Fig. 4, demonstrates the instability of the dominant state as it varies with parameters  $\kappa$  and  $Q$  in the ranges  $[10^{-3}, 5]$  and  $[0, 2]$ , respectively. Singlet-wave states are not observed at low  $Q$  in the ranges  $[0, 0.45]$ ; only triplet-wave states present, with the prevalence of  $p$ -wave states. Nevertheless, due to the factor of 3 associated with the rotational invariance of singlet-wave pairing, singlet-wave states generally dominate the interaction strength ( $g$ -wave and  $d$ -wave). For  $\kappa$  approaching from 0, simulating Dirac- $\delta$  distribution, and  $Q$  approaches its maximum value of 2, there is an absence of pairing interactions for any orbitals, reflecting the physical interpretation the unpopularity of the spin-fluctuation pairing for antiferromagnetic pairs of electrons. A thorough analysis of the system's behavior can be obtained through diagrams of orbital gap functions of each wave state.

**Table I** shows the symmetry of gap functions for states depicted in Fig. 4, categorized by orbital symmetry ( $L$ ) and the commonly used  $s$ -,  $p$ -,  $d$ -wave symmetries in a two-dimensional surface. The basis functions for the scalar singlet ( $S=0$ ) order parameter  $\Delta$ , and for the vector triplet ( $S=1$ ) order parameter  $\mathbf{d}$  are listed.

Note that the gap functions for spin-triplet states with the same angular momentum can have degenerate states. For example, the  $p$ -wave symmetry on an isotropic 2D Fermi surface has five degenerate (without spin-orbit coupling) representations:

$$\begin{aligned} k_x \hat{x} &\pm k_y \hat{y}, & 309 \\ k_y \hat{x} &\pm k_x \hat{y}, & 310 \end{aligned}$$

$k_x\hat{z} + ik_y\hat{z}$  (Anderson–Brinkmann–Morelstaatea),  
 311  
 all of which can be expressed by  $k_x\hat{x} + k_y\hat{y}$ , the isotropic Balian–  
 312  
 Werthamer state. Hence, the gap functions listed in Table I effec-  
 313  
 tively represent all these degenerate states.  
 314



**FIG. 5.** Pairing (red) and pair-breaking (white) regions for scattering from the leftmost point on the Fermi surface. Left:  $d$ -wave; right:  $g$ -wave.

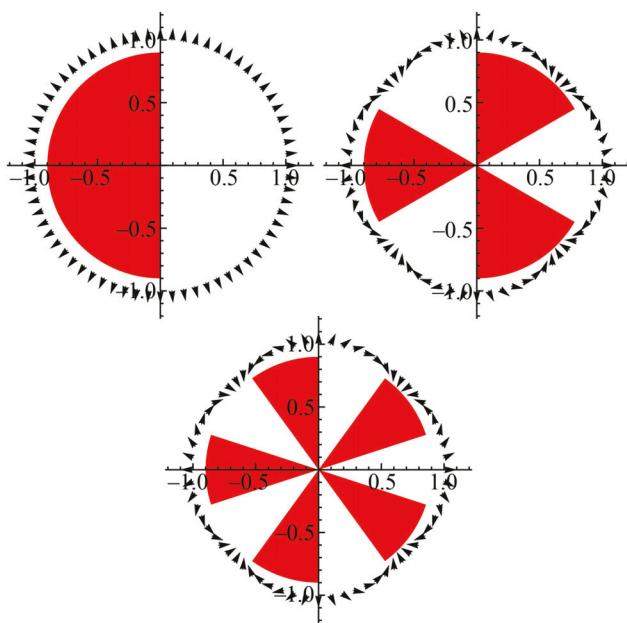


FIG. 6. Pairing (red) and pair-breaking (white) regions for scattering from the leftmost point on the Fermi surface. Left:  $p$ -wave; right:  $f$ -wave; bottom:  $h$ -wave. The direction of the  $\mathbf{d}$  is indicated by arrows.

315 Figures 5 and 6 provide visual clues to the structure of the  
 316 phase diagram (Fig. 4). First of all, at small  $Q$  (i.e., for approxi-  
 317 mately ferromagnetic spin fluctuations), singlet states cannot form;  
 318 only triplet-wave states can take advantage of such spin fluctua-  
 319 tions. For the  $p$ -wave states fluctuations with small, but finite  $Q$  are  
 320 also pairing, therefore it is not very sensitive to the width of the  
 321 fluctuation spectrum. Indeed we find  $p$ -wave to be stable at  $Q$  up to  
 322 nearly 0.5, and its range of stability increase with  $\kappa$ .  
 323

324 At  $\varphi \sim \pi/4$  ( $Q = 1 \sin \pi/8 \sim 0.76$ ) the state that is most favored  
 325 is  $g$ , albeit its advantage gradually deteriorates when  $\kappa$  becomes  
 326 comparable with  $Q$ . Not that factor of three in Eq. (9) additionally  
 327 favors singlet states. Indeed we see that the critical temperature for  
 328 the  $g$  state is maximized at  $Q \approx 0.76$ .

329 As  $Q$  increases further towards  $\sqrt{2} \approx 1.4$  the situation repli-  
 330 cates that in the high- $T_c$  cuprates, since this wave vector corre-  
 331 sponds to the nearest neighbor antiferromagnetic coupling on a  
 332 square lattice. Of course, the  $d$ -state, which corresponds specifically  
 333 to the  $d_{x^2-y^2}$  on the square  $\text{CuO}_2$  lattices, fits this  $Q$  perfectly, and  
 334 we see another singlet ( $d$ ) maximum at this vector. It is stronger  
 335 than that for the  $g$ -wave, because the pairing region is broader.  
 336 This fact is also responsible for enlargement of the  $d$ -stability  
 337 region at larger  $\kappa$ .

338 Finally, the  $g$ -state is again becoming well paired for  
 339  $Q \sim 2 \sin 3\pi/8 \approx 1.85$ , albeit at slightly larger  $Q \sim 2\sqrt{7\pi/16} \approx 1.96$   
 340 the  $h$ -states become competitive — but still lose by a factor of  
 341 three, except in a thin sliver of the phase diagram at  $\kappa \approx 0.632$ .

342 As  $Q$  approaches its maximum value of 2, the calculated criti-  
 343 cal temperature drop precipitously. This can be rationalized as

follows: spin fluctuations with  $Q = 2$  are attractive for triplet 343  
 344 pairing, but, since  $\mathbf{k} \cdot \mathbf{k} = -1$  for the two opposite points, it is pair- 344  
 345 breaking. For the singlet pairing it is repulsive, but then the sign of 345  
 346 the order parameter must be the same for those point, by symme- 346  
 347 try, so it is again pair-breaking. Larger widths slightly alleviate this 347  
 348 frustration, so that pairing with, consequently,  $g$ ,  $h$ , and  $d$  symmetry 348  
 349 becomes possible—but very weak. 349

## 6. CONCLUSIONS

350 Spin-fluctuation pairing interactions with varying momen- 351  
 351 tum-space structures can lead to a rich variety of unconventional 352  
 352 pairing states, many of which exhibit unique symmetries and pari- 353  
 353 ties that are unattainable through individual interactions alone. 354  
 354 Even the extremely simple toy model of an isotropic 2D Fermi 355  
 355 surface with isotropic spin fluctuations can lead, as a function of 356  
 356 the position and width of the spin fluctuation maximum, to a sur- 357  
 357 prisingly rich phase diagram with singlet and triplet pairing states 358  
 358 with angular momenta  $L = 1, 2, 3, 4$  or 5. While some of our find- 359  
 359 ings may be model specific, such as a rather tiny stability region of 360  
 360 the  $h$ -wave state, most of them are quite generic: dominance of the 361  
 361  $p$ -wave paring close to ferromagnetism,  $d$ -pairing in the regime 362  
 362 similar to nearest-neighbor spin correlations in cuprates, and 363  
 363 strong stability of  $g$ -wave for other spin fluctuation wave vector. 364  
 364 Another generic finding is the increased stability of  $p$ - and  $d$ -waves 365  
 365 at the expense of the  $g$ -wave at larger-width fluctuation spectra. 366

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