

1 **Active spiralling of microtubules driven by kinesin motors**

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13

14 **Abstract**

15 Cytoskeletal filaments propelled by surface-bound motor proteins can be viewed as active polymers, a
16 class of active matter. When constraints are imposed on their movements, the propelled cytoskeletal
17 filaments show dynamic patterns distinct from equilibrium conformations. Pinned at their leading ends,
18 propelled microtubules or actin filaments form rotating spirals, whose shape is determined by the
19 interplay between motor forces and the mechanics of the cytoskeletal filaments. We simulated the
20 spiral formations of microtubules propelled by kinesin motors in an overdamped dynamics framework,
21 which in addition to the mechanics of the spiralling microtubule explicitly includes the mechanics of
22 kinesin motors. The simulation revealed that spiral formation was initiated by localized buckling of
23 microtubules near the pinned ends, and the conditions for occurrence of spiral formation were
24 summarized in a phase diagram. The radius of the formed spirals scaled with the surface motor density
25 with an exponent of approximately -1/4, distinct from previous theoretical and simulation studies based
26 on implicit modelling of motor proteins. This result can be understood as a consequence of the
27 contributions of kinesin motors to the total elastic deformation energy, highlighting the importance of
28 mechanics of motor proteins in the behaviour of the active polymers. These findings can be useful in
29 accurate modelling of active polymers and in designing active polymer-based applications such as
30 molecular shuttles driven by motor proteins.

31 **Key words:** active polymer, cytoskeletal filaments, motor proteins, computer simulation

32

33 **1. INTRODUCTION**

34 Cytoskeletal filaments and their networks are active matters with remarkable mechanical
35 properties, such as adaptation and remodelling, distinct from those of synthetic polymers ¹⁻³. Such

36 properties are mediated by active elements, such as motor proteins, as well as passive ones, such as
37 binding proteins. A minimal reconstituted system to investigate emerging phenomena arising from
38 interactions between cytoskeletal filaments and motor proteins is an in vitro motility assay ^{4,5}, where
39 the filaments are driven by motor proteins attached to a surface. Because of the activity of motor
40 proteins, the cytoskeletal filaments are driven out of equilibrium and show distinct behaviours from
41 those in thermal equilibrium. Cytoskeletal filaments driven by motor proteins can therefore be viewed
42 as a type of active polymer, a class of active matter ^{6,7}. Non-equilibrium phenomena exhibited by active
43 matter include collective motions⁸⁻¹³, transports¹⁴, motor-induced conformations ¹⁵ and others. In vitro
44 motility assays have also been developed into engineered systems, such as molecular shuttles ¹⁶⁻²¹, with
45 applications in biosensing ²²⁻³¹, computation ³² and robotics ^{33,34}.

46 Cytoskeletal filaments driven by surface bound motors show complex dynamical patterns,
47 reminiscent of biological movements, when constraints are imposed on their movements. Microtubules
48 (MTs) and actin filaments clamped at their leading ends and driven by surface-bound motors show
49 flagellar-like beatings on the surface ³⁵⁻⁴⁰, which may serve as a minimal model to investigate the
50 mechanism of flagellar beatings. Pinned at their leading ends, MTs or actin filaments form rotating
51 spirals ⁴¹, which are spatial-temporal patterns far from equilibrium. Bourdieu et al. ⁴¹ derived a scaling
52 relation for the spiral radius (R) against the motor density (σ): $R \propto \sigma^{-1/3}$ by balancing the bending
53 moment of filaments with the torque produced by motors. Wang et al. performed Brownian dynamics
54 simulations and obtained the same scaling relation ⁴². The spiral formation is not only of scientific
55 interest in spatio-temporal dynamic patters ^{7,35}, but also can be a limiting factor for efficient active
56 transports in biosensors because sharp bending in the spirals can lead to the breaking of MTs and actin
57 filaments ^{43,44}.

58 Simulation studies investigating active polymers often use effective tangential force models ⁷
59 where surface bound motors are implicitly included as effective tangential force along filaments and
60 the mechanical properties of the motor proteins are overlooked. While effective tangential force models
61 successfully reproduced the formation of active spiralling ^{36,38,42}, it is unclear if the mechanical
62 properties of the motors have an effect ^{40,45}. Elastic linkers binding to the filaments are known to affect
63 the conformations of cytoskeletal filaments. For example, in living cells and in reconstituted systems,
64 elastic linkers cause sinusoidal conformations of MTs, which are distinct from Euler buckling ⁴⁶⁻⁴⁹.
65 Here, we use a simulation model of MTs propelled by kinesin-1 (hereafter, referred to kinesin) motors,
66 processive dimeric motors, which explicitly includes mechanical properties of the kinesin motor
67 proteins ^{50,51} and predict significantly different behaviours.

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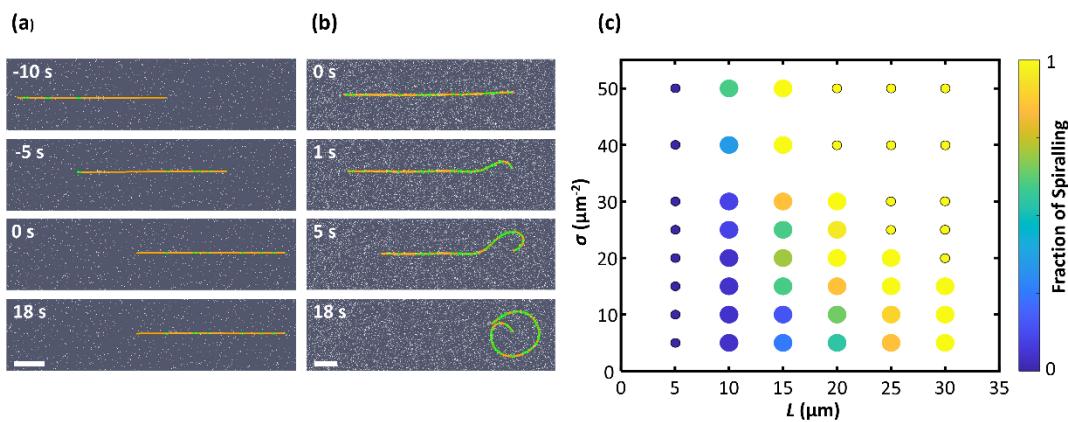
69 **2. RESULTS AND DISCUSSION**

70 **2.1. Motility of pinned microtubules**

71 In our simulations, MTs with lengths ranging from 5 to 30 μm are modelled as bead-rod
72 polymers consisting of 10 to 60 segments with a rigidity equal to those of MTs (22.0 $\text{pN} \mu\text{m}^2$) ⁵². The
73 MT movement was simulated by solving overdamped equations of motion under the constraint of fixed
74 segment length. Thermal fluctuations of MTs were not included unless otherwise mentioned in order to
75 focus on the effects of the kinesin motors. The kinesin motors were modelled as active linear springs
76 (spring constant of 100 $\text{pN}/\mu\text{m}$) ⁵³, with attachment points to the surface randomly distributed on the
77 surface. If a MT segment comes within a 20 nm radius of the attachment point, the motor is assumed to
78 bind to the MT and move towards the MT plus end with a force-dependent velocity (see Methods for
79 details). While kinesin motors translocate on MTs in average around 1 μm before spontaneous

80 dissociation,⁵⁴ to circumvent the complexity stemming from the spontaneous dissociation discussed in
81 2.4, we assumed that kinesin motors translocate on MTs without dissociation unless a force larger than
82 7 pN⁵⁵ is exerted and focused on effects of mechanics of motor proteins on MT spiralling.

83 MTs were initially placed in straight conformations and allowed to move without pinning over a
84 distance greater than their own length to reach a steady state. Subsequently, pinning was imposed at the
85 leading ends of the MTs such that the leading ends were fixed in their positions and free to rotate as
86 kinesin motors bound to the MTs continued moving towards the free (plus) end of the MT. Because the
87 tails of the kinesin motors were adhered on the substrate, the kinesin motors push the MT towards the
88 pinned end. This results in a compressive force that increases cumulatively towards the pinned end and
89 leads to two distinct microtubule behaviours (Figure 1). Figure 1a shows the MT conformation at 10 s
90 and 5 s before pinning, and moments after pinning. In this case, the MT remains nearly in the
91 conformation it was at the moment of pinning. Hereafter, we refer to this kind of motion as “stuck”.
92 Figure 1b shows the movement of a MT undergoing a spiral formation. Immediately after pinning, the
93 MT was observed to buckle in the plane of the surface, followed by pivoting around the pinned end at 5
94 s. Finally, the MT wound up around the pinned end forming a rotating section of a spiral starting at the
95 pinning point followed by a roughly circular section at steady state.



96
97 **Fig. 1:** Behaviours of kinesin motor-driven microtubules pinned at their leading ends. (a, b) Sequential

98 images of a stuck (a) and spiral formation (b) of a microtubule (orange) driven by bound kinesin
99 motors (green dots). The white dots represent randomly distributed kinesin motors. Pinning was
100 imposed on the microtubules' leading ends (the right sides) at time of 0 s. The length of the microtubule
101 is 10 μm ; the surface motor density is $10 \mu\text{m}^{-2}$ for (a). See supplementary video 1. The length of the
102 microtubule is 15 μm ; the surface motor density is $40 \mu\text{m}^{-2}$ for (b). See supplementary video 2. Scale
103 bars, 2 μm for both (a) and (b). (c) Phase diagram of modes of microtubule movements. The size of
104 each circle represents the number of simulation runs for each condition: large and small circles
105 represent 20 and 5 simulation runs, respectively. The colour of the marks indicates the fraction of spiral
106 that occurred: yellow indicates that all the microtubules formed spirals; dark blue indicates that no
107 microtubules formed spirals.

108

109 The occurrence of the two types of movements depends on the length of MTs and the surface
110 motor density as summarized in a phase diagram (Fig. 1c). Since outcomes were variable even for the
111 same kinesin motor density and MT length due to the stochastic distribution of the motors on the
112 surface, we performed multiple independent simulation runs for every point in the phase diagram and
113 showed the fraction of spiralling that occurred. At low motor densities, short MTs occasionally
114 detached from substrates. In such cases, we discarded the data and ran additional simulations to
115 maintain the desired number of simulated MT movements remaining on surfaces for each condition.
116 Spiralling tended to occur at high motor density and with long MTs while stuck behaviour tended to
117 occur at low motor density and with short MTs.

118

119 **2.2. Spiral formation and localized buckling**

120 To investigate the formation of active spirals, we made detailed observations of MT movements.

121 Fig. 2a shows the time-evolution of a conformation of a pinned MT driven by kinesin motors. At the

122 early stage of the time-evolution, localized buckling occurred near the MT pinned end. The amplitude

123 of the localized buckling gradually increased while the horizontal length of the buckled regions stayed

124 roughly the same, and the MT conformation eventually assumed a spiral shape. After reaching a steady

125 state, the curvature of the MT along its contour was large near the pinned end and almost constant at

126 the outer rim (Fig. 2b). The radius of the outer rim was measured (referred to as the spiral radius, R) by

127 computing the radius of curvature of the plateau seen in the plot of the time averaged curvature as a

128 function of the distance from its free end (s) at steady state (Fig. 2b). The spiral radius was independent

129 of the MT length and decreased with the increase in the surface motor density as $R \propto \sigma^{-0.26 \pm 0.01}$

130 (Uncertainties of exponents in this study represent the standard deviations of the regressions) (Fig. 2c).

131 This scaling relation differs from the theoretical prediction of $R \propto \sigma^{-1/3}$ obtained by Bourdieu et al.

132 ⁴¹ and simulation studies using effective tangential force models ^{38,42}.

133 Since the localized buckling of MTs initiated the spiral formation, we closely investigated the

134 localized buckling and measured the length of the localized buckling, l . For this, we looked at the

135 curvature of MT along its contour at the moment that localized buckling occurred (Fig. 2d). The

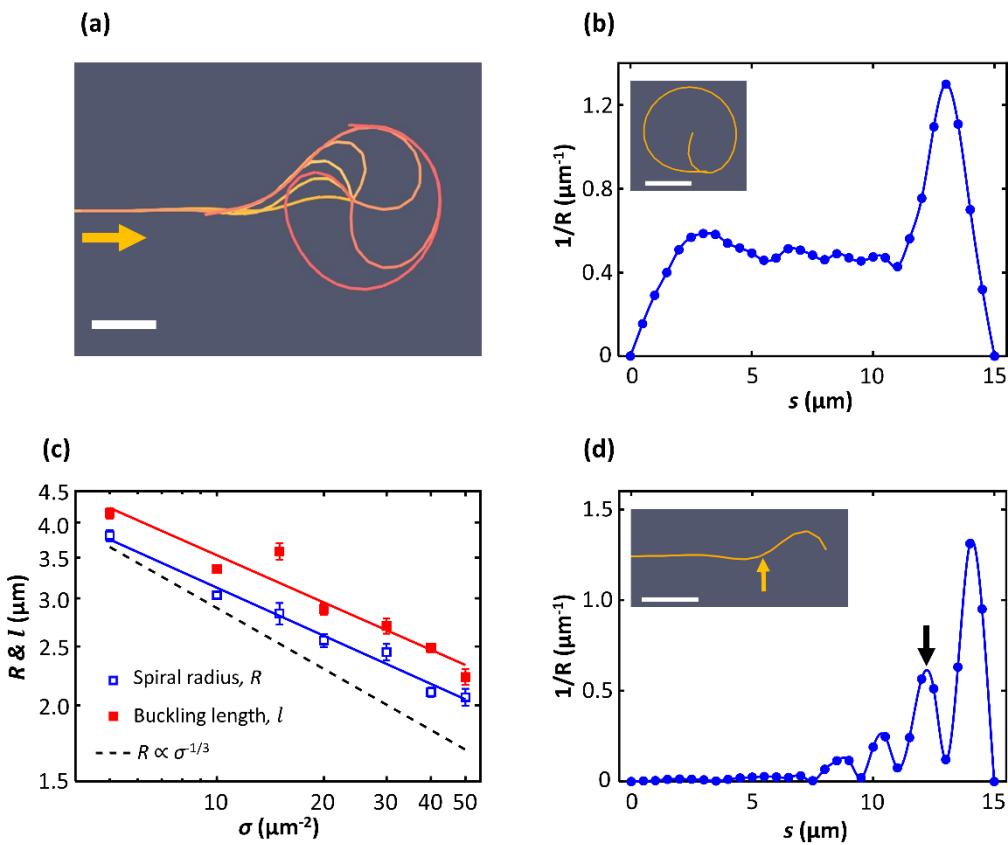
136 curvature as a function of the contour possessed at least two peaks, where the first and second peaks

137 from the pinned end correspond to the crest and trough of the buckled MT, respectively. The distance

138 between the second peak from the right side of a spline-interpolated curve and the pinned end of MT

139 was measured as the localized buckling length. Measurements revealed that the localized buckling

140 length followed a similar scaling relation with the spiral radius (Fig. 2c).



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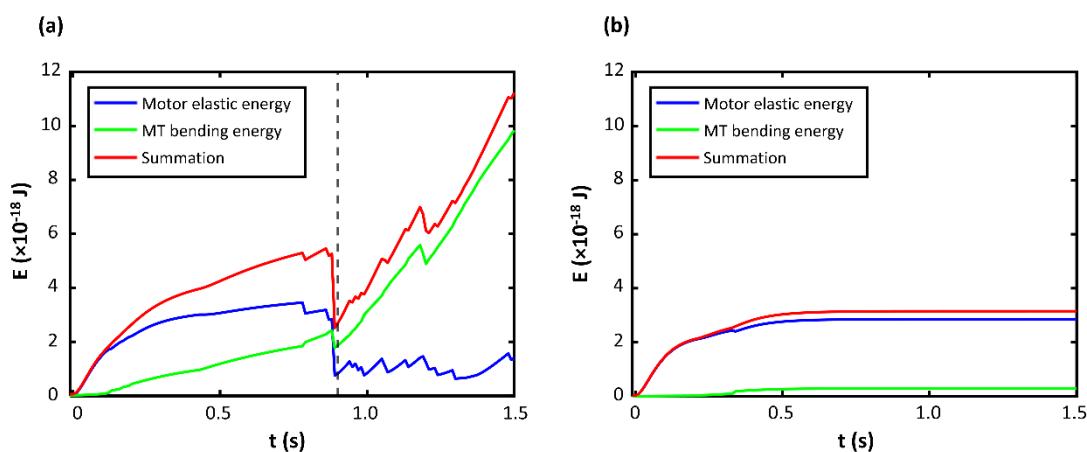
142 **Fig. 2:** Spiral formation and localized buckling. (a) A superimposed sequential image of a microtubule
 143 forming a spiral. The arrow points the direction of microtubule movement, and the colour change
 144 indicates time increase from orange to red. The length of the microtubule is 15 μm . The surface motor
 145 density is 20 μm^{-2} . (b) Curvature of a microtubule as a function of the distance from its free end (s)
 146 after forming a rotating spiral at a steady state. Inset, the snapshot of the microtubule conformation
 147 when the curvature is measured. (c) The spiral radius (R) and localized buckling length (l) vs. the
 148 surface motor density (σ). Open squares indicate the spiral radius; solid squares indicate the localized
 149 buckling length, (mean \pm S.D., $n = 5$). The solid lines are regressions of the data: $R \propto \sigma^{-0.26 \pm 0.01}$,
 150 $l \propto \sigma^{-0.26 \pm 0.03}$. The dotted line represents the scaling relation of $R \propto \sigma^{-1/3}$ for comparison. (d)
 151 Curvature of a microtubule as a function of the distance from its free end (s) at the onset of the
 152 localized buckling. The localized buckling length is the length from the pinned end to the point shown

153 by the arrow. Inset, the snapshot of the microtubule conformation when the curvature is measured.

154 Scale bars, 2 μ m.

155

156 To investigate the cause of the localized buckling, we looked at bending energy of MTs and
157 elastic energies of kinesin motors (Fig. 3a). Until the onset of the localized buckling, bound kinesin
158 motors elongated as they moved towards the free end of the MT, which caused the elastic energies of
159 kinesin motors to increase. Meanwhile, the elastic energy of kinesin motors dominated the total elastic
160 energy of the system, which is the sum of the bending energy of the MT and the elastic energies of the
161 kinesin motors, and the MT maintained an almost straight conformation. At the onset of the localized
162 buckling, the bending energy of the MT started to increase. Simultaneously, the elastic energies of
163 kinesin motors decreased. The total elastic energy or the rate of its increase was decreased by the
164 decrease in elastic energies of kinesin motors even though the bending energy of the MT increased. In
165 the case of stuck microtubules, the elastic energies of kinesin motors dominated the total energy
166 throughout the process and the bending energy of the MT remains low (Fig. 3b). These observations
167 revealed that the elasticity of kinesin motors played a key role in the localized buckling of MTs.



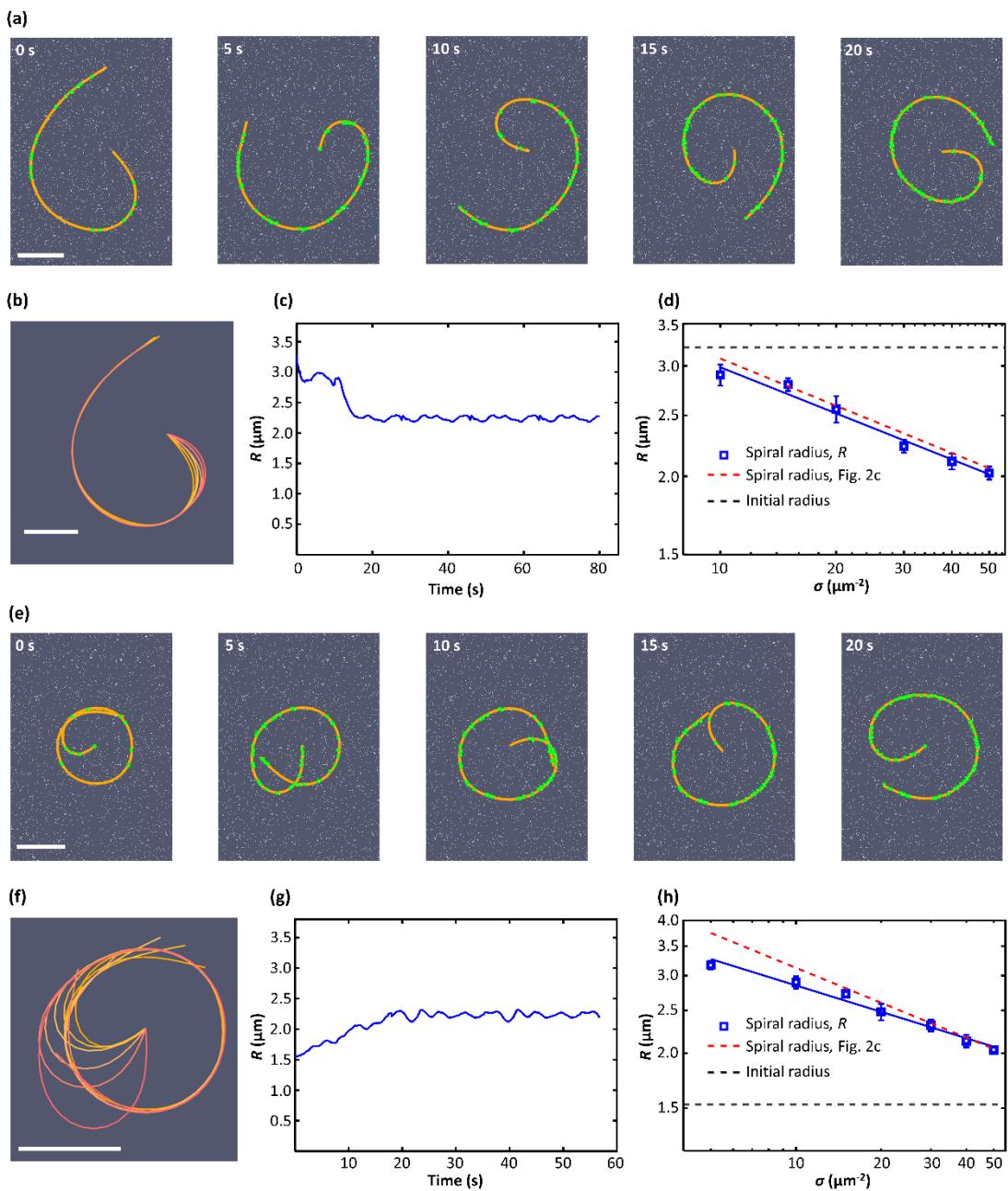
168
169 **Fig. 3:** The bending energy of microtubule and the elastic energy of kinesins binding to microtubules as
170 function of time after pinning. The bending energy of the microtubule is shown in green; the elastic

171 energy of kinesins binding to microtubules is shown in blue; the sum of the two is shown in red. (a)
172 The time evolution of the three energies for a representative microtubule in the case of spiral formation.
173 The broken black vertical line marks the time when localized buckling occurred. (b) The time evolution
174 of the three energies for a representative stuck microtubule.

175

176 Interestingly, the radius of the forming spiral is not determined by the buckling process. This is
177 demonstrated by placing MTs into spiral conformations and observing their behaviour as they are
178 propelled by the motors. If the spiral had a larger initial radius than that of a steady state spiral, the MT
179 spiral contracted as the MT moved (Fig. 4a) and reached the steady state spiral radius for the given
180 motor density after around 20 s (Fig. 4a). The radius of the MT spiral during contraction was calculated
181 from the curvature profiles of each moment by taking an average of the segment curvature values at the
182 outer rim. The outer rim region of the MT was determined by visual inspection. A closer look of the
183 transition revealed that a part of the MT segment near the pinned end bulged out (Fig. 4b) and formed a
184 new smaller spiral. Figure 4c shows how the radius of the spiral contracted and formed a new steady
185 state spiral. The scaling relation of the new steady state spiral radius as a function of the surface motor
186 density (Fig. 4d) was comparable to the scaling relation that was obtained when starting from a straight
187 MT conformation (Fig. 2c). Furthermore, starting from spiral conformations, MTs can remain in spiral
188 conformations even in the “stuck” phase in Fig. 1c (Supplementary Information Fig. S5), indicating
189 that the occurrence of localized buckling is the limiting process for the spiral formation. If the spiral
190 had a smaller initial radius than that of a steady state spiral, the MT spirals expanded (Fig. 4e) and
191 reached the steady state spiral radius on the motor densities after around 20 s (Fig. 4c). The radius of
192 the MT spiral during expansion and at steady state was calculated using the same method as that of

193 contracting spiral. Expansion occurred only around the region of the semicircular arc associated with
194 the pinned end (Fig. 4f). Figure 4g shows how the radius of the spiral expanded and formed a new
195 steady state spiral as time changed. We then obtained the new steady state spiral radius as a function of
196 the surface motor density (Fig. 4h). The scaling relation was again comparable to the scaling relation
197 that was obtained by when starting from a straight MT conformation (Fig. 2c). The steady state spiral
198 radius at low motor density showed deviations from that of MTs that started from straight conformation
199 (Fig. 4h). Presumably, these deviations resulted from pronounced fluctuations of MT conformations at
200 low motor density even in spiralling at their steady state (Supplementary Information, Fig. S6). Overall,
201 these observations showed that the occurrence of localized buckling is the limiting process for the
202 spiral formation and that the spiral radius has its stable steady state value regardless of the MT initial
203 conformation once a spiral is initiated.



204

205 **Fig. 4:** (a) Sequential images of a contraction of a microtubule spiral with a larger initial radius than
 206 that at the steady state shown in Fig. 2c. The microtubule is represented in orange; kinesin motors are
 207 represented with white dots; bound kinesin motors are highlighted with green dots. The length of the
 208 microtubule is 15 μm . The motor density is 30 μm^{-2} . See supplementary video 3. (b) Superimposed
 209 microtubule conformations within the first 1.0 s. The colour changes from yellow to red represents the

210 change in time. (c) The time evolution of the spiral radius during the contraction process. (d) The spiral
211 radius (R) vs. the surface motor density (σ), (mean \pm S.D., $n = 5$). The solid line is a regression of the
212 data: $R \propto \sigma^{-0.24 \pm 0.02}$. The initial spiral radius is indicated with the black broken line. The regression
213 of the spiral radius at the steady state in Fig. 2c is shown with the red broken line for comparison. (e)
214 Sequential images of the expansion of a microtubule spiral with a smaller initial radius than that at the
215 steady state shown in Fig. 2c. The microtubule is represented in orange; kinesin motors are represented
216 with white dots; bound kinesin motors are highlighted with green dots. The length of the microtubule is
217 15 μm . The surface motor density is 30 μm^{-2} . See supplementary video 4. (f) Superimposed
218 microtubule conformations within the first 1.0 s. The colour changes from yellow to red represent the
219 change in time. (g) The time evolution of the spiral radius during the expansion process. (h) The spiral
220 radius (R) vs. the surface motor density (σ), (mean \pm S.D., $n = 5$). The solid line is a regression of the
221 data: $R \propto \sigma^{-0.20 \pm 0.01}$. The initial spiral radius is indicated with the black broken line. The regression
222 of the spiral radius at the steady state in Fig. 2c is show with the red broken line for comparison. Scale
223 bars, 2 μm .

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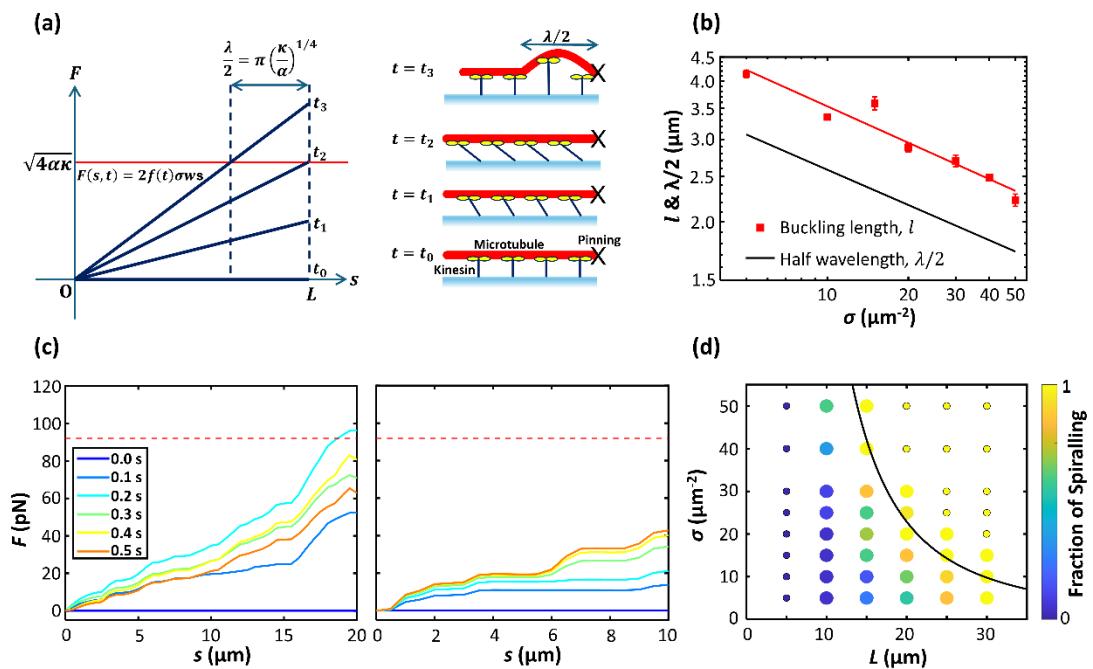
225 **2.3. Mechanism of localized buckling and phase diagram**

226 The phase diagram of microtubule behaviour as function of motor density and microtubule
227 length (Fig. 1c) can be explained with the theory of elasticity based on the observations that localized
228 buckling initiated the spiral formation and that the elasticity of kinesin motors played a key role. The
229 effect of linker elasticity on the deformation of a semiflexible polymer subjected to compressive force
230 at both ends has been studied previously ^{46,47}. A detailed account of the analytical theory is given in
231 Supplementary Information “Effects of elastic links on microtubule conformation”. Semiflexible

232 polymers with bending modulus κ embedded in an elastic medium with effective elastic modulus α
233 and subjected to a force F at both ends remain straight up to $F_c = \sqrt{4\alpha\kappa}$, and undergo buckling at
234 F_c with a wavelength of $2\pi \left(\frac{\kappa}{\alpha}\right)^{1/4}$, provided the polymer is longer than the half wavelength^{46,56}. The
235 predicted conformations agreed with sinusoidal MT conformations in living cells and in reconstituted
236 systems⁴⁶⁻⁴⁹. These theoretical results do not apply in a straightforward manner to the localized
237 buckling of MTs, because the force exerted on MT segments by the kinesin motors is not constant
238 along MTs.

239 For the localized buckling of MTs, the forces of the kinesin motors combine to increase the
240 force acting on the MT segments towards the pinned end (Fig. 5a). The force profile can be
241 approximated as $2f(t)\sigma ws$, where $f(t)$ is the magnitude of force generated by a single kinesin
242 motor; σ is the motor density; w is the effective reach of kinesin motors; and s is the distance
243 from the MT free end. $f(t)$ is an increasing function of time and becomes levelled-off with the
244 magnitude of the stall force of kinesin motors (f_{stall}) because, as the kinesin head moves along the MT
245 towards the free end, the force exerted by the kinesin increases until it stalls (Fig. 5a). As the maximal
246 force at the tip increases, the compressive force will reach the critical force $F_c = \sqrt{4\alpha\kappa}$ at time t_2 and
247 then exceed it, but the length of the region where $F > F_c$ is shorter than the half wavelength of
248 $\pi \left(\frac{\kappa}{\alpha}\right)^{1/4}$, so that localized buckling does not occur. Only at a later time t_3 will the length of the region
249 where $F > F_c$ exceed the half wavelength, and the MT will undergo buckling near the pinned end with
250 the localized buckling length of $\pi \left(\frac{\kappa}{\alpha}\right)^{1/4}$. The maximum value of $f(t)$ is limited by the stall force of
251 the kinesin motors. When the increase of the slope of the force profile is terminated before the length of
252 the region where $F > F_c$ exceeds the half wavelength, the MT remains in a straight conformation, that
253 is, the MT is stuck.

254 The predicted length of localized buckling is an approximation, because the boundary
 255 conditions in the theory are different from those in the simulation. In the theory, both ends of a
 256 localized buckling region are fixed in their locations and free to rotate. In contrast, in the simulation,
 257 the pinned end is fixed in location and free to rotate; the other end of a localized buckling region is
 258 neither fixed in location nor free to rotate.



259
 260 **Fig. 5:** (a) A schematic of the mechanism of localized buckling. The left side shows the compressive
 261 force profile at four times (t_0 to t_3). The right side shows the corresponding schematic drawings of the
 262 microtubule and kinesins at time from t_0 to t_3 . (b) The comparison between localized buckling length
 263 obtained from the simulations (red solid squares; the red solid line represents the regression line:
 264 $l \propto \sigma^{-0.26 \pm 0.03}$) and the half wavelength calculated from the elastic theory (black solid line), (mean \pm
 265 S.D., $n = 5$). (c) Compression force as a function of the distance from the free end for a 20 μm long
 266 buckling MT (left), and 10 μm long stuck MT (right), with equal surface motor density of 20 μm^{-2} at
 267 different times after pinning. The localized buckling occurred at 0.2 s. The red broken lines show the

268 calculated critical buckling force. (d) Phase diagram of modes of microtubule movements with the
269 theoretical boundary curve (black curve).

270

271 The above scenario, (Fig. 5a), of the occurrence of localized buckling based on the elastic
272 foundation theory predicts that the length of the localized buckling is comparable with $\pi \left(\frac{\kappa}{\alpha} \right)^{1/4}$. Since
273 $\alpha = 2k\sigma w$ ^{47,57} where k is the spring constant of kinesin motors, the length of localized buckling
274 scales with $\sigma^{-1/4}$, which is in agreement with our simulation result (Fig. 5b). The prediction is also
275 consistent with our observation that the localized buckling length is independent of the length of the
276 MTs.

277 The scenario illustrated in Fig. 5a can be directly compared with the results of our computer
278 simulations. For the case of buckling MTs, when the compression force exerted by kinesin motors on
279 MT segments reaches the critical value near the pinned ends, the compression force would decrease
280 due to the localized buckling of MTs causing a release of tension within individual kinesin motors. The
281 simulation results qualitatively agree with the scenario (Fig. 5c left). In many cases, the force profiles
282 appeared to start to decrease before the length of the region where $F > F_c$ exceeds the half wavelength.
283 This is presumably caused by undulated conformations of MTs which promote buckling. For the cases
284 of stuck MTs, the force increased toward MT's pinned ends. The slope of the force profile initially
285 increased with time and then saturated (Fig. 5c right).

286 Another prediction from the scenario shown in Fig. 5a relates to the boundary of the two phases
287 in the phase diagram. For the localized buckling and hence spiralling to occur, the force profile must
288 have regions of $F > F_c$ longer than the half wavelength. This means that the force profile $F(s, t)$ in
289 Figure 5a reaches $F_c = \sqrt{4\alpha\kappa}$ at $s = L - \pi \left(\frac{\kappa}{\alpha} \right)^{1/4}$. Because the maximum of $f(t)$ is f_{stall} , the

290 boundary curve relating motor density and MT length is thus defined by the condition:

291

$$\sqrt{4\alpha\kappa} = 2f_{stall}\sigma w \left[L - \pi \left(\frac{\kappa}{\alpha} \right)^{1/4} \right]. \quad (1)$$

292 The value of w was determined to be 24 nm by analysing simulation results (Supplementary

293 Information, Estimation of effective reach, w). The boundary curve qualitatively reproduced the phase

294 boundary in Figure 5d, but spiralling already can occur in the simulations at lower lengths and motor

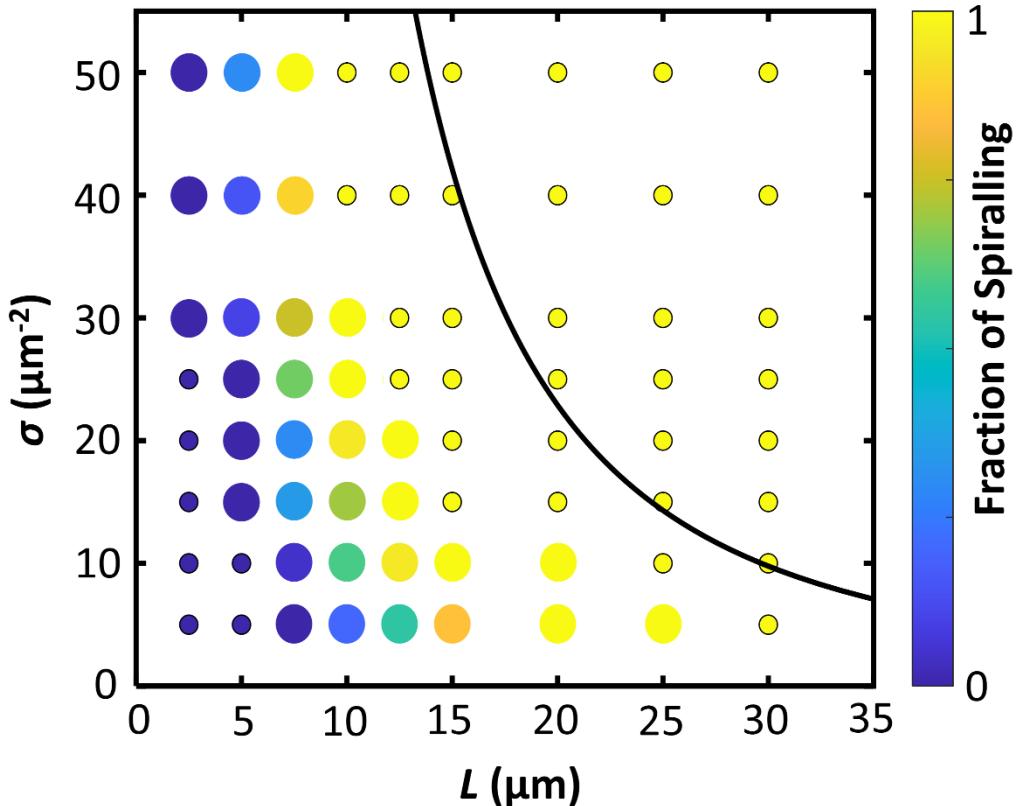
295 densities. We attribute this to not fully straight MT conformations at the moment of pinning, which

296 promote buckling. This is supported by simulations including thermal fluctuations. The addition of

297 thermal fluctuations to the simulation of the motion of the MT segments further promotes buckling by

298 pre-bending the MTs (Fig. 6). However, while the boundary between buckling and getting stuck shifts,

299 the shape of the boundary curve remains similar.



300

301 **Fig. 6:** Phase diagram of microtubule movements with thermal fluctuations. The size of each circle

302 represents the number of simulation runs for each condition: large and small circles represent 20 and 5

303 simulation runs, respectively. The colour of the marks indicates the occurrence of outcome. The curve
304 indicates the theoretical boundary curve.

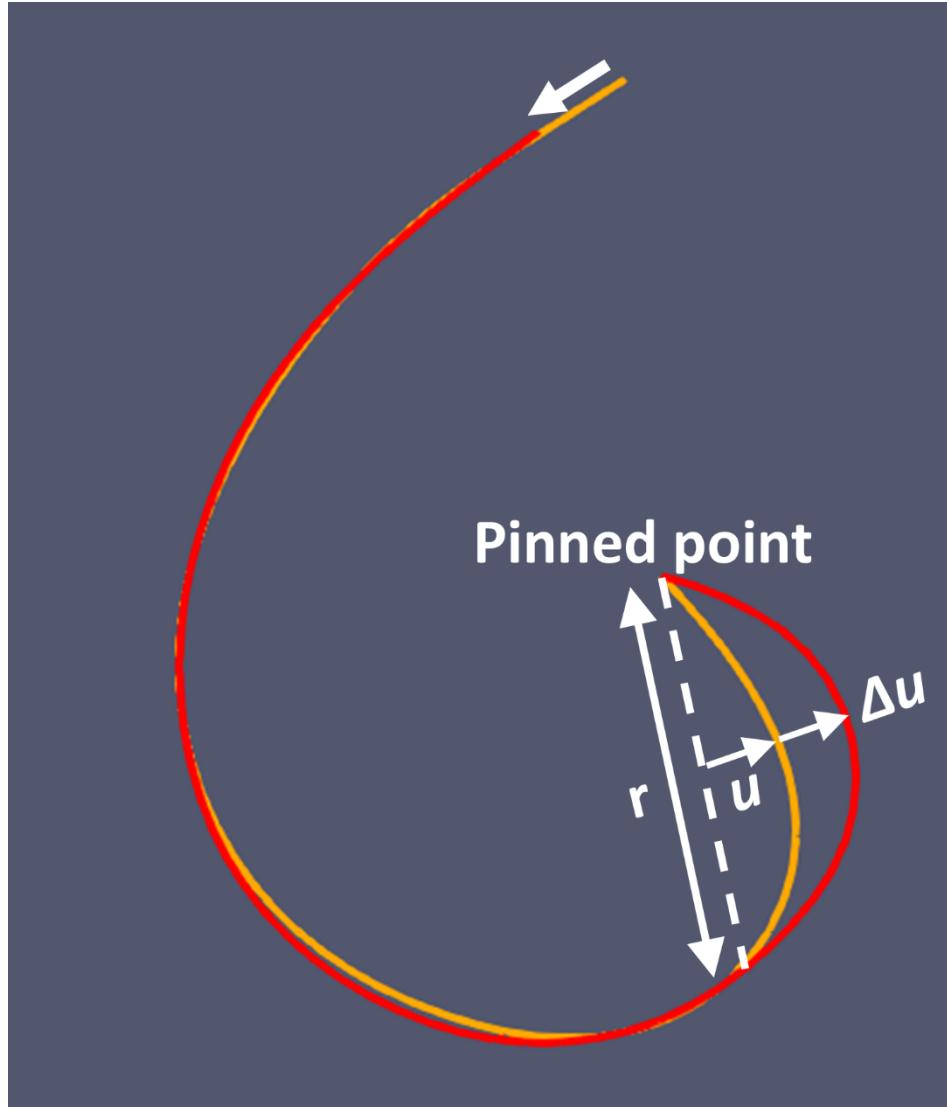
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306 **2.4. Scaling argument of the spiral radius**

307 A mechanistic explanation is required why the spiral radius is proportional to $(\kappa/\alpha)^{1/4}$ and
308 how the steady state spiral radius is achieved when MTs movements started from either expanded or
309 compacted spirals (Fig. 4). To account for the contraction or expansion of spirals shown in Figure 4, the
310 scenario (Fig. 5a) cannot be used because it considers infinitesimal deformations from initial straight
311 conformations. Therefore, we make a scaling argument as follows (Fig. 7). The scaling argument is
312 based on our observations that the changes in MT conformations were initiated in regions of MTs near
313 their pinned ends (Fig 4b and f) and that the length of these regions of the MTs, denoted by r , evolved
314 into the steady-state spiral radius after expansion or contraction. Because the other part of MT
315 conformations remained almost the same, we assumed that the dominant changes in MT bending and
316 kinesin elastic energy occurred in the regions near the pinned ends. When the region of a MT makes a
317 lateral displacement of Δu from an initial curved conformation described with u (Fig. 7), this causes
318 the changes in the bending of the MT and elongations of kinesin motors binding on the region of the
319 MT. The MT curvature changes from u/r^2 to $(u + \Delta u)/r^2$, so that the change in the bending
320 energy of the MT scales as $\sim \kappa \Delta u \cdot r^{-3}$. In addition, as the change in the elongations of kinesin motors
321 is $\sim \Delta u$ and the number of stretched kinesin motors is proportional to r , the change in the summation
322 of the elastic energy of binding kinesin motors scale as $(1/2)\alpha(\Delta u)^2 r$. Thus, the increase of the
323 energy of the system consisting of the MT and the kinesin motors, ΔE , is $\sim \kappa \Delta u \cdot r^{-3} +$
324 $(1/2)\alpha(\Delta u)^2 r$. Since we assumed an overdamped system, the change in the MT curvature must occur

325 at the value of r that gives the minimum ΔE . This leads to $r \propto (\kappa/\alpha)^{1/4}$. This scaling relation agrees
326 with the simulation result in Fig. 2c, Fig. 4d and h.

327



328

329 **Fig. 7:** A schematic representation of the scaling argument. The orange curve shows an initial
330 microtubule conformation. The red one shows a microtubule conformation after a displacement of Δu .
331 The length of the bulging regions is denoted by r .

332

333 Freely jointed chain models, which are nonlinear spring models, have been used in modelling
334 kinesin motors^{58,59}. While the freely jointed chain models can be more accurate than the linear spring

335 model for highly stretched motors, we used the linear spring model for simplicity. However, since our
336 scaling arguments rely on infinitesimal displacements of MTs, corresponding to infinitesimal
337 elongations of kinesin motors, the arguments remain valid even when nonlinear spring models of
338 kinesin motors are considered. This is because localized buckling and bulging occur before kinesin
339 motors are significantly stretched where nonlinear elastic effects become pronounced. Hence, any
340 potential influence of nonlinearity of motor mechanics on the formation and size of the spirals would
341 be marginal. In addition, the stretch of simulated kinesin motors bound to the outer rims of the MT
342 spirals at steady state was approximately 24 nm (Supplementary Information, Fig. S7), which is about
343 one-third of the contour length of a full-length kinesin-1 molecule. Hence, modelling kinesin motors as
344 linear springs is reasonable for the purposes of this study.

345 A scaling relation between the spiral radius and motor density has been derived by Bourdieu et
346 al.⁴¹: $R \propto \sigma^{-1/3}$. Their scaling argument was based on the balance between the torque generated by
347 binding motors and the bending moment of the filament and did not take into account the elasticity of
348 motor proteins. The scaling exponent of -1/3 by Bourdieu et al. showed agreement with the
349 experimental data for an actomyosin motility assay⁴¹. We surmise that the agreement between their
350 theoretical and experimental scaling exponents stems from the low duty ratio of the motor proteins
351 used in the experiment. Bourdieu et al. used non-processive myosin II motors, which bind actin
352 filaments for only about 5% of their chemomechanical cycle. The low processivity of myosin II motors
353 can lead to unbinding of stretched binding motors and to bindings of unstretched or less stretched ones
354 during formation of spirals, providing another pathway to release the elastic energy of motors. As a
355 result, the elastic energy of myosin II motors cannot build up over time, thus having little contribution
356 compared with the bending energy of actin filaments. This would be the reason why the scaling relation

357 ignoring the elastic contributions of motor proteins agreed with the experimental data for the
358 actomyosin motility assay. Our study is complementary with the study by Bourdieu et al.⁴¹ in that we
359 investigated an active polymer system driven by motors with high duty ratio. While we are not aware
360 of an experiment obtaining a scaling exponent between the spiral radius and motor density other than
361 the actin/myosin systems, our simulation study predict that the exponent of -1/4 can be experimentally
362 observed in kinesin/MT systems. In experiments, due to the finite run length of kinesin motors, an
363 intermediate value between -1/3 and -1/4 may be observed.

364

365

366 **3. CONCLUSIONS**

367 Using computer simulations of MTs propelled by kinesin motors, which explicitly include the
368 mechanical properties of kinesin motors, we investigated active spiralling of pinned MTs. We found
369 either stuck MTs or spiralling MTs, depending on the MT length and surface motor density, and
370 summarized the findings in a phase diagram. In addition, we found that the spiral radius followed a
371 scaling relation against the motor density distinct from that of previous studies. These findings were
372 elucidated by taking into account the mechanical property of kinesin motors, highlighting the
373 importance of explicitly including the mechanics of motor proteins in computer simulations.

374 Our results also show that, while semiflexible polymers driven by surface bound motors and
375 active polymers consisting of active particles, such as Janus particles, qualitatively behave in similar
376 manners, their behaviours could be different in the details. The difference may affect the overall
377 performance of applications integrated with active polymers.

378 Another insight obtained from this study is that as spirals with small radius can cause breakage of

379 MTs⁴⁴, the use of a low motor density would be beneficial to prevent breakages of molecular shuttles.

380 Finally, this study may provide an insight into elementary processes of active interactions between
381 cytoskeletal filaments and motor proteins in living cells.

382

383 4. SIMULATION METHODS

384 The simulation method was based on our previous work^{50,51}. In the following, we briefly
385 summarize the simulation method. We assumed the MTs to be infinitely thin and inextensible
386 semiflexible bead-rod polymers with a flexural rigidity of 22.0 pN μm^2 ⁵². The length of MTs was set
387 to be from 5 to 30 μm and each MT consisted of 10 to 60 rigid segments depending on the length of
388 MTs. MT movement was simulated by solving overdamped equations of motion under the constraint of
389 fixed segment length. Thermal fluctuations were not included unless otherwise mentioned.

390 In this method, a single time step consisted of the following two steps.

391 In the first step, the beads representing an MT were moved without considering any constraint,
392 using the following expression:

$$393 r'_i(t + \Delta t) = r_i(t) + \frac{\Delta t}{\zeta} F_{bending,i} + \frac{\Delta t}{\zeta} F_{kinesin,i} \quad (2)$$

394 where r_i is the position vector of the i -th bead consisting of a MT, ζ is the viscous drag coefficient,
395 $F_{bending,i}$ is the restoring force of MT bending, $F_{kinesin,i}$ is a force exerted by bound kinesin. Δt was
396 set at 0.5×10^{-6} s to ensure numerical stability. The viscous drag coefficient used was the average of the
397 parallel and perpendicular drag coefficients⁶⁰:

$$398 \zeta = \frac{3\pi\eta d}{\ln\left(\frac{d}{2r}\right)} \quad (3)$$

399 where η is the viscosity of water (0.001 Pa·s), d is the length of the MT segment (0.25-0.50 μm), and
400 r was the radius of MT (12.5 nm). We chose this length of the MT segmentation such that taking

401 shorter MT segmentation leads to negligible change of results (Fig. S4).

402 In case of simulating movements of MTs subjected to thermal fluctuations (Fig. 6), the equation

403 (3) was replaced by:

404
$$r'_i(t + \Delta t) = r_i(t) + \frac{\Delta t}{\zeta} F_{bending,i} + \frac{\Delta t}{\zeta} F_{kinesin,i} + \sqrt{2D \cdot \Delta t} \xi_i, \quad (4)$$

405 where D is the diffusion coefficient of the bead calculated as $D = k_B T / \zeta$.

406 The restoring force of MT bending was calculated from the following bending potential⁶⁰:

407
$$U = \frac{1}{2} \frac{\kappa}{d^3} \sum_{i=2}^{n-1} (r_{i+1} - 2r_i + r_{i-1})^2 \quad (5)$$

408 where κ is the flexural rigidity.

409 Kinesin motors were randomly distributed over the allowed surface by specifying the positions

410 of the kinesin tails (Fig. 1b). If an MT segment came close to a kinesin motor tail within a capture

411 radius (20 nm)⁵⁷, the kinesin motor was assumed to be bound to the MT segment, and the position of

412 the motor head was specified on the MT segment. Once bound, the head of the bound kinesin motor

413 moved toward the MT plus end with a force-dependent velocity expressed as

414
$$v(F_{\parallel}) = v_0 \left(1 - \frac{F_{\parallel}}{F_{stall}}\right) \quad (6)$$

415 where v_0 is the translational velocity without applied force, F_{\parallel} is the component of the pulling force

416 along the MT, and F_{stall} is the stall force of the kinesin motors. v_0 was set at 0.8 $\mu\text{m/s}$, and F_{stall}

417 was set at 5 pN. The bound kinesin acted as a linear spring between the motor head and tail with the

418 spring constant of 100 pN/ μm ⁵³ and with an equilibrium length of zero and exerted a pulling force on

419 the MT segment. The pulling force was divided into two forces which acted on the two beads located at

420 either end of the MT segment where the kinesin motor was bound, under the condition that the total

421 force and torque on the segment remained the same. A kinesin motor bound to an MT detached when

422 tension reached 7 pN⁵⁵. The choice of the spring constant and the detachment force leads to the

423 maximum stretch of 70 nm, which is close to the contour length of kinesin motors⁶¹. By following the

424 approach taken by Gibbons et al.,⁶² we neglected the spontaneous dissociation of the bound kinesin
425 from the MT.

426 In dealing with equation (2), we used an implicit-explicit method, where the restoring force of
427 MT bending was implicitly calculated while other terms were explicitly calculated.

428 In the second step, the unconstrained movements were corrected by considering the constraints
429 due to the segment length and the guiding tracks. To keep the segment length constant, the coordinates
430 of the beads representing the MT $\{r_i\}$ as shown were subject to the following holonomic constraints:

431 $g_{segment,k} = (r_{k+1} - r_k)^2 - d^2 = 0 \quad (k = 1, \dots, n - 1) \quad (8)$

432 In addition, to keep the MT movement above the substrate, the position of the beads representing the
433 MT were subjected to the following holonomic constraints:

434 $g_{track,i} = z_i = 0, \text{ if } z_i < 0 \quad (9)$

435 The correction was carried out with the following expression:

436 $r_i(t + \Delta t) = r'_i(t + \Delta t) + \Delta r_i(t + \Delta t) \quad (10)$

437 where $\Delta r_i(t + \Delta t)$ is the correction term

438
$$\Delta r_i(t + \Delta t) = \frac{\Delta t}{\zeta} \sum_{k=1}^{n-1} \lambda_{segment,k} \frac{\partial g_{segment,k}}{\partial r_i} + \frac{\Delta t}{\zeta} \lambda_{track,i} \frac{\partial g_{track,i}}{\partial r_i} \quad (11)$$

439 and $\lambda_{segment,k}$ and $\lambda_{track,i}$ are Lagrangian multipliers, which were determined in order
440 for the coordinate at $t + \Delta t$ to satisfy the constraints given by equations (8) and (9), respectively. For
441 this, we went through the calculations for the constraints one by one, cyclically, adjusting the
442 coordinates until the constraints were satisfied with a tolerance of $10^{-6} \mu\text{m}$.

443 To impose the pinning at MT leading end, the position of the bead located at the MT leading end
444 was fixed allowing free rotations around it.

446 Simulation results were visualized with ParaView (<https://www.paraview.org/>).

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583

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592

593 Competing Interests

594 The authors declare no competing interests.

595

596 Data availability

597 The datasets generated during and/or analysed during the current study are available from the
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599