

# Electric fields imbue enzyme reactivity by aligning active site fragment orbitals

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It is broadly recognized that intramolecular electric fields, produced by the protein scaffold and acting on the active site, facilitate enzymatic catalysis. This field effect can be described by several theoretical models, each of which is intuitive to varying degrees. In this contribution, we show that a fundamental effect of electric fields is to generate electrostatic potentials that facilitate the energetic alignment of reactant frontier orbitals. We apply this model to demystify the impact of electric fields on high-valent iron–oxo heme proteins: catalases, peroxidases, and peroxygenases/monooxygenases. Specifically, we show that this model easily accounts for the observed field-induced changes to the spin distribution within peroxidase active sites and explains the transition between epoxidation and hydroxylation pathways seen in Cytochrome P450 active site models. Thus, for the intuitive interpretation of the chemical effect of the field, the strategy involves analyzing the response of the orbitals of active site fragments, and their energetic alignment. We note that the energy difference between fragment orbitals involved in charge redistribution acts as a measure for the chemical hardness/softness of the reactive complex. This measure, and its sensitivity to electric fields, offers a single parameter model from which to quantitatively assess the effects of electric fields on reactivity and selectivity. Thus, the model provides an additional perspective to describe electrostatic preorganization and offer ways for its manipulation.

Electric fields | Enzyme reactivity | Frontier orbitals | Quantum mechanics | Heme proteins

## 1. Introduction

Electric fields can profoundly affect chemical reactions, a fact that, while long utilized by nature in the creation of selective and efficient enzymes, was only recognized by scientists a little over fifty years ago (1). This revelation ignited the imagination of researchers, envisioning a future where tailored electric fields could be used to synthesize new molecules and materials. Indeed, advancements in synthetic methods together with theoretical developments have propelled efforts to gain the insights and tools needed to manipulate electric fields to control the chemical behavior of surfaces, biomolecules, inorganic complexes, and microporous solids, to name but a few examples (2–10). While the effects of electric fields have been discerned theoretically and experimentally, an overarching conceptual framework providing the predictive power to tailor electric fields is only now emerging (11–14). Continued growth of this framework will necessarily draw from all the common tools in the chemist's toolkit. Toward this end, it is conceptually useful to distinguish three models to account for the field induced effects on chemical reactivity.

The foundation for the first of these models is provided by electron pushing formalisms and exemplified by the research by Aragonés et al. (2). They demonstrated that an appropriately oriented external electric field (OEEF) can enhance charge transfer and lower reaction barriers. In their study of a Diels–Alder reaction, model reactants were attached to an STM break junction, which was then supplied with an OEEF. The findings indicated that the reaction was facilitated by an alignment of the electric field that promoted electron flow from the dienophile to the diene. This hypothesis was further supported by broader research concluding that catalysis could generally be enhanced by orienting an electric field in the direction of electron reorganization during the reaction (9).

The second model is an essential facet in Warshel's theory of electrostatic preorganization (15, 16). Warshel posited that the positioning of charged groups of the protein's extended structure is strategic to create local electric fields (LEFs) preorganized to reduce the energy of the transition state (TS), or in other words—align with the change of the electric dipole moment upon TS crossing. Because the field is created by the charged amino acids of the protein backbone, the entropic

## Significance Statement

This work presents a physical model that explains the effects of electric fields on heme–Fe reactivity, attributing these effects to shifts in the energy levels of reactant fragment orbitals due to changes in electrostatic potential induced by the fields. This perspective offers a way to analyze and predict how electrostatic preorganization can alter an enzyme's chemistry, using the energy difference between molecular fragments to understand the corresponding charge transfer and its effect on reactivity and selectivity. The findings open a path to field engineering via sequence mutagenesis, potentially leading to new reactivity. This study enhances our understanding of enzyme reactivity, and provides a foundation for future research in enzyme engineering.

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M.E.E. conceived the study and wrote the manuscript. M.E.E. and T.R.W. performed the calculations and analysis and interpretation of results. T.E.J. and A.N.A. contributed to the interpretation of the results and the writing of the manuscript. T.R.W. created final figures and performed final document editing.

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penalty for the environmental reorganization during the reaction is avoided, and the barrier to the reaction is lowered.

As an accompanying consequence, this same TS stabilizing field may, as in the Diels-Alder example above, promote the catalytic reaction's charge redistribution—further stabilizing the TS. Warshel and others (see Table 2 of Reference 16) have quantified these combined effects employing the empirical valence bond, EVB, method (17).

The third model, commonly employed by the solid-state and electrochemistry communities, is firmly rooted in frontier orbital theory. This theory underscores the significance of the relative energies of the one-electron orbitals (or bands) in the reactants and the catalyst. A pertinent example is the work of Wasileski et al. (18), who explored how the strength of the electric field affects the bonding of adsorbates to metal surfaces. They observed a correlation between the energy difference  $E_f - E_a$  ( $E_f$  is the metal Fermi energy and  $E_a$  refers to the adsorbate valence energy levels) and various properties like the adsorbate's binding/adsorption energy. An applied electric field can adjust this energy difference, thereby modulating properties.

In the context of molecular systems, Model 3 dovetails with the principles of frontier orbital theory. The theory posits that reactivity is influenced by how well the energies of reactant frontier orbitals match energetically and spatially. Moreover, the energies of these one-electron orbitals can be modified, not directly by a field itself but by altering the electrostatic potential of the reactants.

First order perturbation theory is useful in making this concept more concrete. The first order correction to the energy of the  $i^{\text{th}}$  molecular orbital,  $\phi_i$ , due to an electrostatic potential,  $V_e(r)$ , is,

$$E_i^{(1)} = \langle \phi_i | V_e(r) | \phi_i \rangle \quad [1]$$

This correction depends only on the potential. The electric field enters the correction to the extent that it alters the potential. In the case of a uniform  $z$  oriented EEF of strength  $F_z$ , an electron will see an electrostatic potential that increases with  $z$ . The electrostatic potential experienced by an electron in such a field is given as  $V_e(z) = F_z q_e z$ , where  $q_e$  is the electron charge and  $z$  is a coordinate relative to some arbitrary origin. Choice of a different origin gives different values for  $V_e(z)$ , but the difference between the potential at two points will be unaffected.

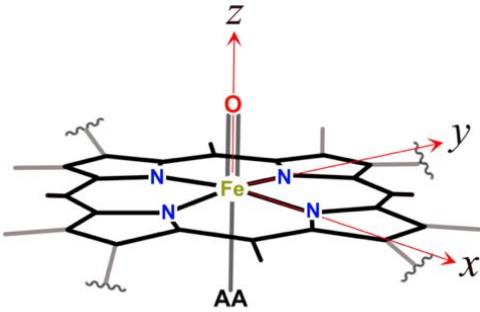
Using this form for the potential in equation 1, yields,

$$E_i^{(1)} = -F_z \langle \phi_i | z | \phi_i \rangle = -F_z \int z \rho_i dV \quad [2]$$

where  $\rho_i = \langle \phi_i | \phi_i \rangle$  is the electron density of the  $i^{\text{th}}$  MO and the integral is taken over all space.

We will demonstrate that this third model can be used to clearly rationalize computational findings simulating the influences of OEEFs on enzyme active sites, findings that have previously been challenging to interpret. This insight serves to extend our understanding of the mechanisms underlying the catalytic activity of natural enzymes, potentially aiding in the strategic use of electric fields to enhance or suppress chemical reactions.

Our problems of interest concern high-valent iron–oxo heme proteins: e.g., catalases, peroxidases, and peroxygenases/monooxygenases (19–22). Though there are hundreds



**Fig. 1.** Compound I: the active moiety common to catalases, peroxidases, and peroxygenases/monooxygenases. The variants of these enzymes differ in their extended structure and in the axial amino acid (AA) residue: His, Cys, or Tyr.

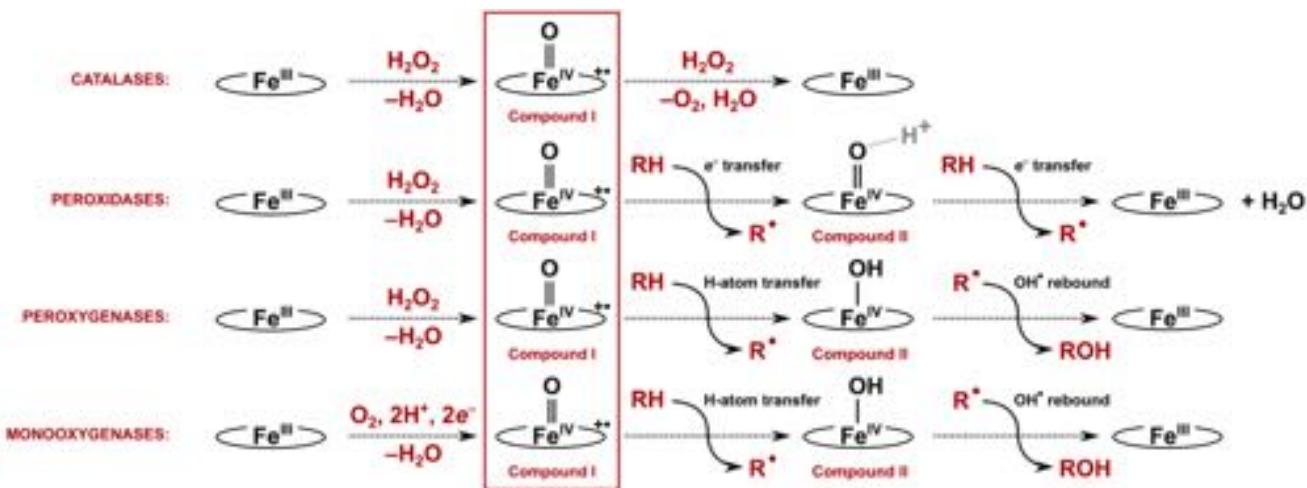
of enzymes in these three classes, they share an active moiety referred to as Compound I (Cpd I; Figure 1), which varies only in the axial ligand coordinating the heme's iron center, with tyrosine, histidine, and cysteine in the catalases, peroxidases, and peroxygenases/monooxygenases, respectively.

These enzymes generally function by oxidizing or introducing oxygen to different organic compounds, utilizing either molecular oxygen or hydrogen peroxide. In their standard state, these enzymes exist in a ferric ( $\text{Fe}^{\text{III}}$ ) form with an attached water molecule, as illustrated in Scheme 1. This inactive state can be triggered through two primary routes: the first involves  $\text{Fe}^{\text{III}}$  interacting with hydrogen peroxide to produce the  $(\text{Por}^{\cdot+})\text{Fe}^{\text{IV}}=\text{O}$  Cpd I intermediate and water; the second pathway begins with  $\text{Fe}^{\text{III}}$  being reduced to  $\text{Fe}^{\text{II}}$ , which then binds to molecular oxygen and undergoes a series of electron and proton transfers, ultimately yielding the same Cpd I intermediate and water (23).

Cpd I is typically a triradical species with two unpaired electrons occupying orthogonal  $\pi^*$  antibonding orbitals of the Fe–O functionality on the heme oxygen atom and the remaining unpaired electron on the porphyrin ring or an adjacent amino acid residue (vide infra). Two nearly degenerate multiplet states have been observed, a doublet resulting from antiferromagnetic coupling between the oxygen and porphyrin radicals and a ferromagnetically coupled quartet (21).

Given the ubiquity of Cpd I across the different enzyme classes and its ability to activate strong C–H substrate bonds, attention has focused on its chemistry, which in large part is mediated by the axial ligand (24). However, equally important for reactivity control are the electric fields exerted on Cpd I by the enzyme's extended structure (excluding the heme and the axial ligand) (23). Bím and Alexandrova examined electric fields experienced by the Fe center in Cpd I in approximately 200 individual iron–oxo heme proteins and found that for all these proteins, the component of the electric field normal to the heme plane,  $F_z$ , was dominant. Furthermore, for His-ligated enzymes a strong negative (pointing from the axial oxygen ligand to the iron)  $F_z$  was observed most often while for Cys-ligated enzymes this field was exclusively positive (pointing from the iron to the axial oxygen ligand), with an average value of 28.5 MV/cm ( $\sim 0.005$  au). In Tyr-ligated catalases, the fields were uniformly near zero.

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Scheme 1. Typical reactions of different classes of high-valent iron-oxo heme proteins. Adapted from Reference 23.

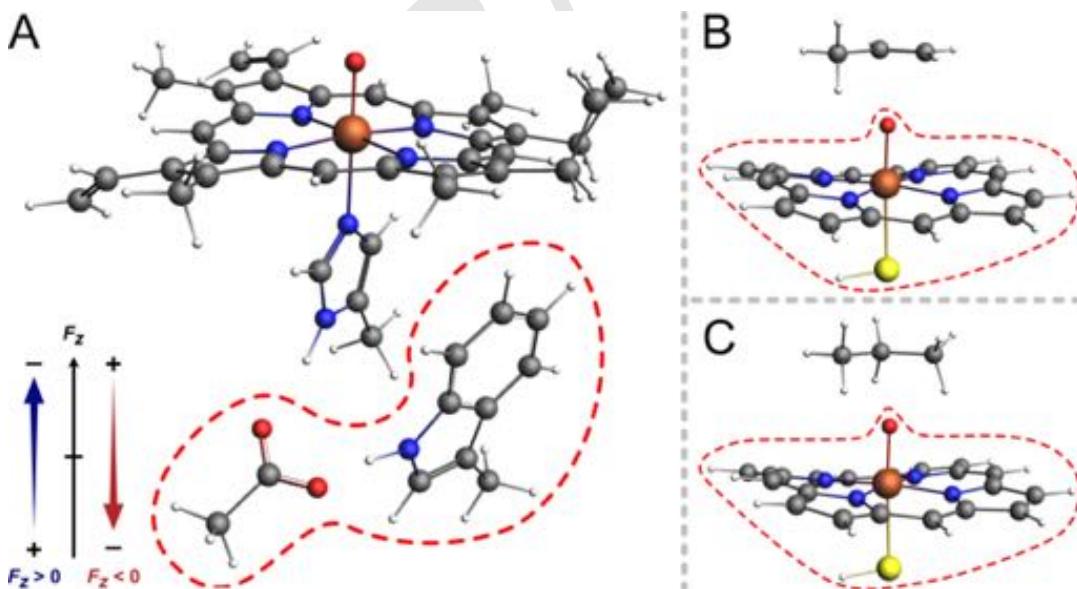
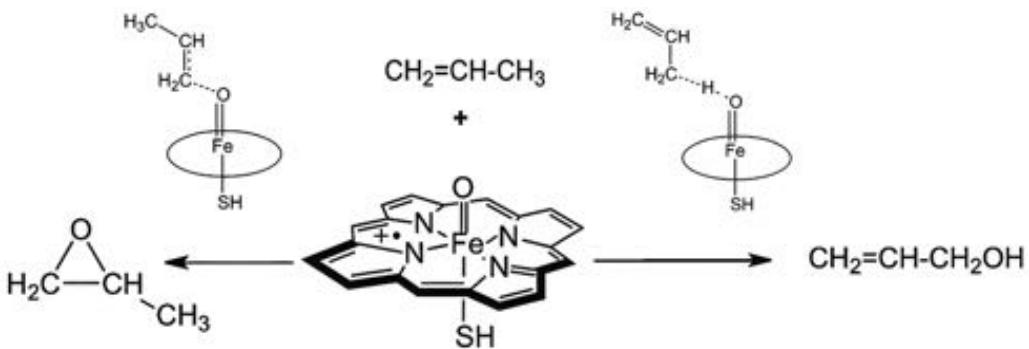


Fig. 2. The fragments decompositions of the three QM systems considered in this study. For each model, one of the two fragments is shown encircled by a dashed red line. A) Active site model of His-ligated heme enzyme with nearby residue analogues (red-dashed line); acetate representing Asp, and indole representing Trp, denoted as Asp\* and Trp\* respectively. B) Active site model of Cys-ligated heme enzyme with propene as the substrate. C) Active site model of Cys-ligated heme enzyme with propane as the substrate. In B and C, the heme-fragment is indicated in the red-dashed line. The field direction is aligned with the Fe-O internuclear axis with the positive direction pointing from Fe to O. Atomic coordinates for these clusters are reported in the SI.



**Scheme 2.** Epoxidation (left) and hydroxylation (right) pathways during propene functionalization by compound I. The oxo functionality of Cpd I acts as an electrophile to activate the  $\pi$ -bond of propene, leading epoxidation or as a nucleophile to activate the C–H bond, leading to hydroxylation. Adapted from Reference 5

The electric fields within these enzymes may vary substantially across the active site, influenced by the substrate, local fluctuations in protein structure during the reaction, and potentially by thermal motion. Despite this variability, the observed correlations between chemical properties and the magnitude and direction of the fields at the Fe site suggest that fully understanding enzyme function necessitates a comprehensive grasp of electric field effects. To advance this understanding, researchers have developed simplified models to isolate specific aspects of these effects.

In the study of high-valent iron–oxo heme proteins, one such simplified model employs first-principles calculations on active site analogues (Figure 1) subject to an OEEF to provide a first approximation to the effects of electric field from the remainder of the enzyme's extended structure (23, 25, 26). These calculations resulted in two confounding observations.

The first of these concerns the effect of an OEEF on the unpaired electrons of His-ligated Cpd I. In the presence of a OEEF pointing from O to Fe the unpaired electron on the porphyrin will be displaced to neighboring amino acid residues. How a such a field can cause such dramatic changes in the electronic structure remains unexplained (23).

The second observation concerns Cys-ligated heme proteins, the oxygen in some of which has the remarkable ability to participate as an electrophile in epoxidation and a nucleophile in hydroxylation (27). Shaik et al. (26), using small molecule models of Cys-ligated Cpd I revealed that the selectivity between epoxidation and hydroxylation (Scheme 2) could be switched by altering the direction and magnitude of  $F_z$ . With positive values favoring epoxidation and negative values favoring hydroxylation. The authors initially noted that they could provide no straightforward explanation for these results but speculated that the effects originated from field induced state mixing.

Here we use DFT methods (see Materials and methods for more detail) to demonstrate that Model 3, which correlates orbital energy with electrostatic potential, not only clarifies these perplexing observations but also indicates a complex interaction among all three models to enhance enzyme functionality.

## 2. His-ligated peroxidases

The His-ligated peroxidases, for example, cytochrome c peroxidase (CcP), Leishmania major peroxidase (LmP), and

ascorbate peroxidase (APX), are virtually homologous about the heme iron. In addition to their His-ligation, they share adjacent Trp and Asp residues, which together form a hydrogen bonded network that contributes to the enzyme's properties (28). The active site of these enzymes has been modeled with an analogue system shown in Figure 2A, where the His-axial ligand is replaced with imidazole while acetate and indole replace the side chains of the neighboring Asp and Trp residues (23, 25).

It should be noted that though the active site analogues across this series of peroxidases are virtually invariant, the LEF at their iron sites change. For example, the static fields computed from crystal structure data give APX  $F_z = 4$  MV/cm, while for LmP and CcP  $F_z = -16$  and  $-27$  MV/cm, respectively (the field direction convention is shown in Figure 2A) (23). This variation is the result of their differing extended structures and lends support to the hypothesis that the active site LEF, and hence extended structure, plays an important role in mediating enzyme properties.

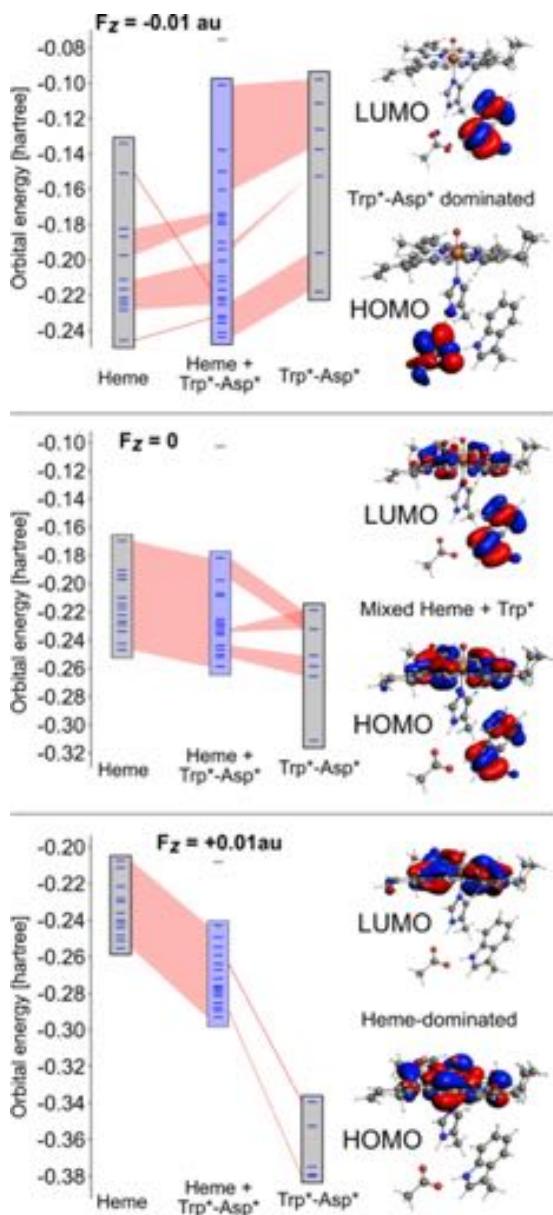
To capture the variations in electronic structure due to the differing fields, a  $z$  directed OEEF was included in the active site model of Figure 2A (23, 25). As has been mentioned, for a positive  $F_z$  one of the radicals of this triradical species was located on the porphyrin ring, but for a negative  $F_z$  this radical is displaced to the adjacent Trp and Asp residues. The ultimate factor differentiating between these two radicals awaits clarification (23).

We performed three DFT fragment calculations on the His-ligated active site model of Figure 2A with an electric field of  $-0.01$ ,  $0$ , and  $+0.01$  au ( $-51.4$ ,  $0$ , and  $51.4$  MV/cm) applied along the  $z$ -direction. These calculations employed two fragments, as designated in Figure 2A: a His-coordinated heme, referred to as the heme-fragment, and the analogues for the Trp and Asp residues, referenced as the Asp\*-Trp\*-fragment. Partial correlation diagrams representing the principal interactions between fragment orbitals (FOs) to produce the frontier region active site cluster MOs are shown in Figure 3. More detailed correlation diagrams are provided as Figures S1, S2, and S3 in the SI.\*

The obvious effect of the OEEF is to change the relative energies of the fragment orbitals as per Equation 2. Compared to the zero field, the negative field shifts the Asp\*-Trp\*

\*We used both the doublet and the quartet configurations for the full Asp\*-Trp\*-heme complex with no substantive difference to the correlation diagrams. The results shown here are for the quartet configuration.

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**Fig. 3.** Partial correlation diagrams illustrating key interactions between the Asp\*-Trp\*-fragment and His-ligated heme-fragment frontier orbital manifolds under a static external electric field of  $-0.01$ ,  $0$ , and  $+0.01$  au (from top to bottom), and the corresponding HOMO and LUMO. In the diagrams, only occupied fragment orbitals are shown, while the LUMO is also shown for the full complex. The heme-fragment's occupied frontier orbitals create a  $\pi$ -complex involving S, Fe, and O atoms. The Asp\*-Trp\*-fragment's frontier orbitals consist of the  $\pi$  orbitals from the acetate and indole complex. Observe the notable shifts in orbital energy levels between the heme and Asp\*-Trp\* fragments under the influence of the electric field, leading to changes in the active site's frontier orbital character. At a zero field (center image), these fragment orbitals mix equally, forming the Asp\*-Trp\*-heme complex's occupied frontier orbitals. A negative field (top image) reduces the energy levels of the heme-fragment orbitals, preventing their mixing with the Asp\*-Trp\*-fragment's occupied frontier orbitals. Conversely, a positive field (bottom image) results in the opposite effect.

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fragment FOs significantly up in energy relative to the heme-fragment FOs, while the positive field has the opposite effect. The consequences of these energy shifts is evident in the character of the frontier orbitals of the full active site model. Shown in Figure 3 are the LUMO and HOMO for each of the three applied electric fields. As is evident, for the negative field, shifting the energies of the Asp\*-Trp\* FOs up in energy leads to poor energy overlap between the frontier orbitals of the Asp\*-Trp\* and heme-fragments, hence the frontier orbitals of the active site cluster are now Asp\*-Trp\*-like. The opposite response is seen for the positive field. Accordingly, under the influence of a positive field the unpaired electron will be in heme-orbitals and under the influence of a negative field in Asp\*-Trp\*-orbitals.

### 3. Cytochrome P450 monooxygenases

We turn now to the remarkable demonstration that the selectivity between competing propene epoxidation and hydroxylation pathways could be switched by altering the direction and magnitude of an OEEF (26). Using a small molecule model system representing the Cys-ligated Cpd I of cytochrome P450 (Figure 2B), Shaik et al. calculated that an OEEF with  $F_z = \pm 0.01$  au would realize a 100% selectivity toward C–H or C=C bond activation (26).

In their investigation, Shaik et al. used DFT methods to calculate the reaction path and transition state energies for both propene hydroxylation and epoxidation as catalyzed by the analogue of Cys-ligated Cpd I of Figure 2B. The rate-limiting step in each process was determined to be the activation of either the C–H or C=C bond by the oxo-group of Cpd I, resulting in the formation of an alcohol or an epoxide, respectively (Scheme 2).

In the absence of a field, the calculated transition state for epoxidation was found to be nearly degenerate with that for hydroxylation. However, for a uniform OEEF with  $F_z = 0.01$  au the transition state for C–H bond activation (hydroxylation) was calculated to be 6–10 kcal/mol lower than that for C=C bond activation (epoxidation), while for  $F_z = -0.01$  au the epoxidation process was calculated to be preferred by 2–6 kcal/mol.

To explain these observations, Shaik et al. investigated OEEF induced changes to the spin and charge densities of their active site model. They found that in the presence of an electric field oriented in the positive  $z$ -direction, the third unpaired electron of Cpd I is located almost entirely on the sulfur atom of the Cys analogue. When the field is reversed, most of the spin density is located on the porphyrin ring. These changes were accompanied by the transfer of about 0.3 electrons from the sulfur to the porphyrin for a positive field and the opposite for a negative applied field. Still, Shaik et al. offered no explanation for the mechanism through which these field-induced shifts to the charge density to alter the transition state energies for hydroxylation versus epoxidation; they speculated that the effects originated from field induced state mixing. Subsequently this speculation was well supported by VB modelling revealing two excited-charge transfer states. One moves electron density from propene to compound I, while the other facilitates charge transfer from sulphur towards Fe–O–propene (5).

We performed fragment calculations on a propene-Cpd I analogue shown in Figure 2B, with the propene molecule

621 serving as one fragment and the Cpd I analogue, referred  
 622 to as the heme-fragment, as the other. Partial correlation  
 623 diagrams of the near frontier orbitals for an OEEF of  $-0.01$ ,  
 624  $0$ , and  $+0.01$  au are shown in Figure 4. (More detailed  
 625 correlation diagrams are provided at Figures S4, S5, and S6  
 626 in the SI.) The HOMO and LUMO of the propene-fragment  
 627 are respectively the  $\pi$  bonding and antibonding orbitals of the  
 628 propene double bond. These FOs will shift down in energy  
 629 relative to the FOs of the heme-fragment when  $F_z < 0$  and  
 630 up when  $F_z > 0$ . These shifts affect the character of the  
 631 frontier orbitals of the heme-propene complex.

632 For  $F_z > 0$ , the propene HOMO is well-matched energetically  
 633 with the HOMO of the heme-fragment ( $\Delta E = 0.032 E_h$ ),  
 634 promoting strong overlap. However, propene's  $\pi^*$  antibonding  
 635 LUMO is energetically distant from the heme-fragment's HOMO  
 636 ( $\Delta E = 0.283 E_h$ ) preventing any mixing of these states.  
 637 (Because these orbitals are so widely separated, we  
 638 introduced discontinuities along the energy axis of Figure 4 so  
 639 that the correlation between the orbitals could be depicted.)  
 640 Conversely, an  $F_z$  of  $-0.01$  au substantially reduces the  
 641 energy of propene's HOMO relative to that of the heme-  
 642 fragment ( $\Delta E = -0.124 E_h$ ), thus reducing their overlap.  
 643 Simultaneously, it decreases the relative energy of propene's  
 644  $\pi^*$  antibonding orbital ( $\Delta E = 0.126 E_h$ ) enough to allow  
 645 it to interact with the heme-fragment's frontier orbitals.  
 646 These effects are illustrated in Figure 4 with contour plots of  
 647 representative frontier orbitals of the propene-heme complex.  
 648 For the positive field there is no mixing between the heme-  
 649 fragment MOs and the  $\pi^*$  antibonding orbital of propene. In  
 650 the absence of a field we see a small amount of mixing in one  
 651 of the complex's MOs. In the presence of a negative field, the  
 652 mixing is spread across several MOs, effectively introducing  
 653 electron density into propene's  $\pi^*$  antibonding orbital. Such  
 654 electron redistribution is consistent with the Dewar-Chatt-  
 655 Duncanson model (29) for C=C bond activation, offering a  
 656 mechanism for epoxide formation through the application of  
 657 a negative OEEF.

658 To better explore how a positive field facilitates C–H  
 659 bond activation, we substituted the propene molecule (C–  
 660 H bond dissociation energy (BDE)  $\sim 85$  kcal/mole) with  
 661 propane (Figure 2C; BDE  $\sim 100$  kcal/mole) and conducted  
 662 three fragment calculations with OEEFs of  $-0.01$ ,  $0$ , and  
 663  $+0.01$  au. The corresponding partial correlation diagrams are  
 664 shown in Figure 5. (Detailed correlation diagrams are shown  
 665 as figures S7, S8, and S9 in the SI.)

666 Of note are the energies of the nearly degenerate propane-  
 667 fragment H–C  $\sigma$  bonding HOMO and HOMO-1. In the  
 668 absence of an OEEF (center pane Figure 5) these orbitals  
 669 are located well below the frontier orbitals of the heme-  
 670 fragment, occasioning little propane contribution to the  
 671 reactive complex's frontier orbitals. The situation is exasperated  
 672 in the presence of a negative field (left pane Figure 5)  
 673 where propane's HOMO is so far removed from the heme-  
 674 fragment frontier orbitals as to make mixing between the two  
 675 negligible. However, a positive field (right pane Figure 5)  
 676 brings the energy of propane's HOMO and HOMO-1 into  
 677 closer alignment with the heme-fragment frontier orbitals and  
 678 yields the frontier orbitals of the reactive complex to be of  
 679 mixed propane-heme character. Because the  $z$ -coordinate  
 680 of the heme-fragment is approximately 0, it appears to be  
 681 less responsive to the OEEF than those of propane with  
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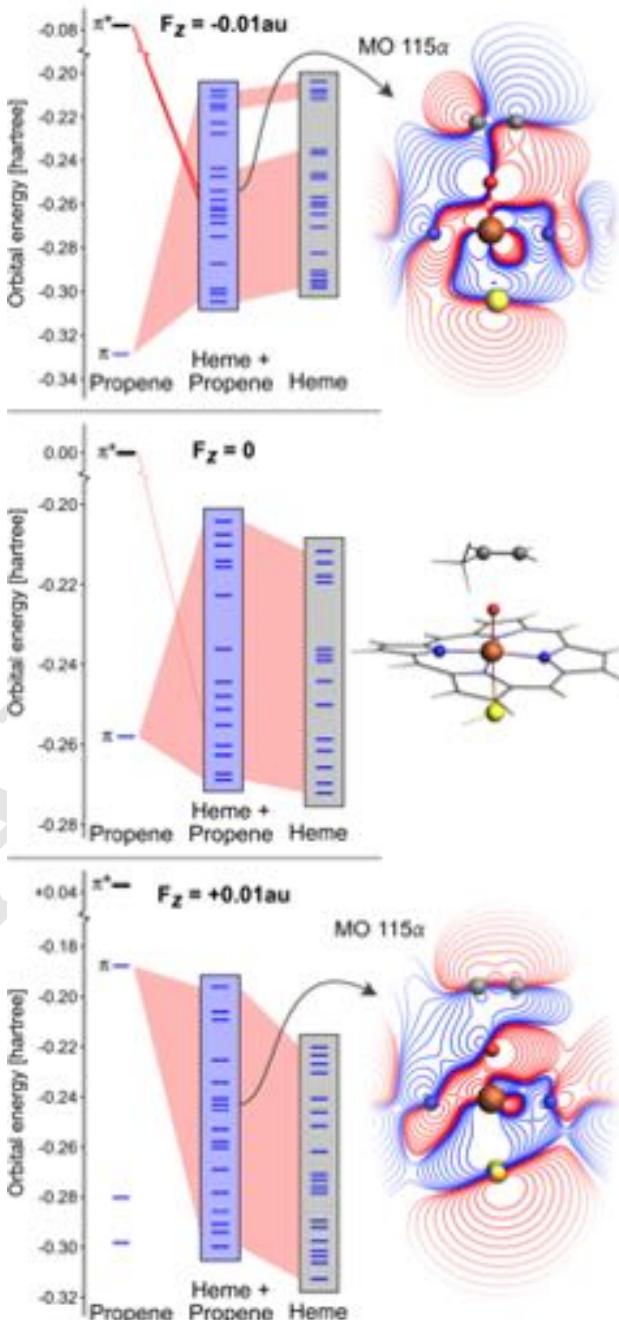


Fig. 4. Partial correlation diagrams for the interactions between the frontier regions of the propene molecule and the Cys-ligated heme analogue subjected to external electric field of (from top to bottom):  $-0.01$ ,  $0.00$ , and  $+0.01$  au, along with contours plots in the XZ plane of representative orbitals (MO  $115\alpha$ ) showing the mixing between the heme-fragment MOs and propene's  $\pi$  bonding and antibonding orbital. Note the discontinuities along the energy axes ( $y$ -axes) accounting for the large energy gaps between the propene LUMO and the rest of the MOs. The energies between the HOMO of the heme-fragment and the  $\pi^*$  antibonding orbital of propene are  $0.0124$ ,  $0.217$ , and  $0.251 E_h$  for fields of  $-0.01$ ,  $0$ , and  $+0.01$  au respectively, while the energies between the HOMO of the heme-fragment and propene's  $\pi$  bonding orbital are  $-0.124$ ,  $-0.046$ , and  $0.032 E_h$  for fields of  $-0.01$ ,  $0$ , and  $+0.01$  au respectively. For the negative field, the representative MO  $115\alpha$  shows propene  $\pi^*$  antibonding character, while for the positive field, it is characterized by  $\pi$  bonding character.

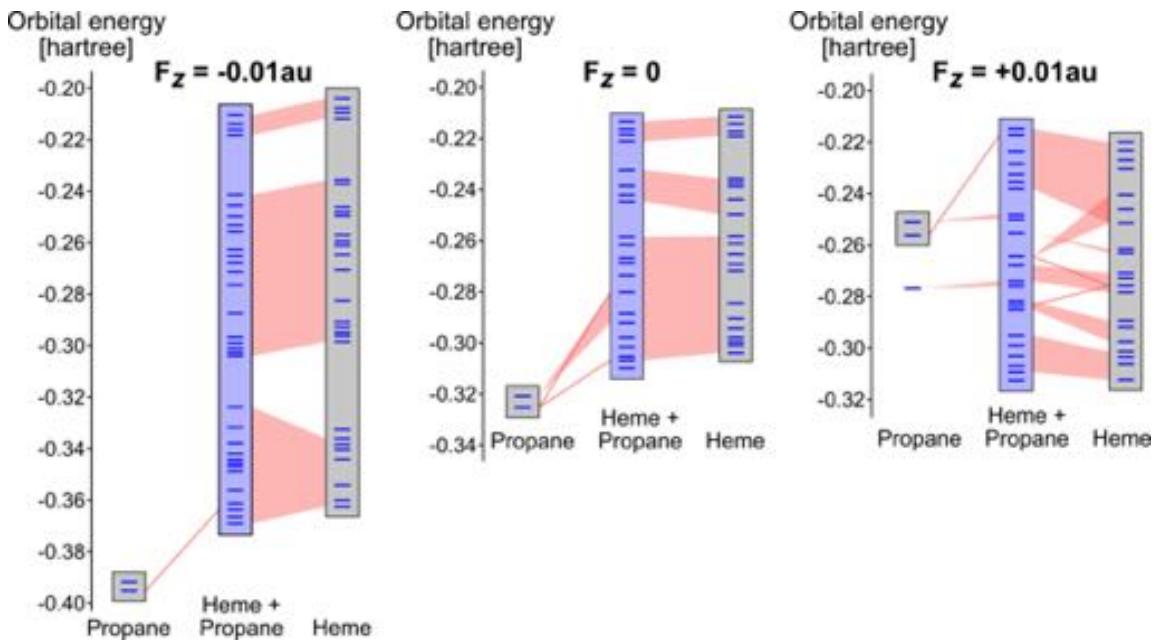
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Fig. 5. Frontier molecular orbitals displaying the propane Cys-ligated heme interaction due to an applied field. The negative field depresses the energy of the occupied propane FOs to such an extent that there is little to no overlap with the heme frontier orbitals (left). With the positive field, the propane FOs are raised in energy and now mix with the heme FOs (right).

770

771  $F_z > 0$ . However, had we chosen the origin to be coincident  
772 with an atom of the propane molecule, the situation would  
773 be reversed, with the heme orbitals appearing to be more  
774 responsive. The key point is that important parameter is  
775 not the field induced changes to the energies of individual  
776 MOs but the relative changes to their energies. In turn, these  
777 changes are a consequence of alterations to the electrostatic  
778 potential brought about by the electric field, whether an  
779 OEEF or a more realistic varying electric field.

780 We investigated the effects of the OEEF enhanced mixing  
781 by calculating the electronic structure and molecular orbital  
782 manifold along a reaction profile transferring a hydrogen  
783 atom from propane to the oxo-ligand of Cpd I to form what is  
784 known as compound II (Cpd II) and a  $\text{C}_3\text{H}_7$  radical (Scheme 1,  
785 bottom)—the first step in the overall hydroxylation reaction.

786 Because the cytochrome P450 active site model shown in  
787 Figure 2A does not include a substrate pocket, our calculation  
788 is a poor representation of the reaction dynamics of the  
789 enzyme. However, our purpose was to compare the relative  
790 stabilities of the fragment configurations along the reaction  
791 profile in the presence of positive and negative applied fields.

792 Figure 6 shows the calculated reaction profile for an  
793 applied field of  $+0.01 \text{ au}$ . A TS with an energy of  $\sim 17$   
794 kcal/mole was found approximately 50% of the way along  
795 the reaction coordinate. (See SI for coordinates along the  
796 reaction coordinate.) Early in the reaction the heme–propane  
797 HOMO–1 results from mixing propane’s HOMO with the  
798 heme-fragment’s LUMO. Later, the mixing between occupied  
799 propane FOs and unoccupied heme FOs become more intense.  
800 Where the heme–propane HOMO and HOMO–1 derive from  
801 mixing between propane’s HOMO and unoccupied heme  
802 FOs. This mixing is made possible by the field induced  
803 near degeneracy of the propane and heme-fragment frontier  
804 orbitals. A situation that is intensified through the course of  
805 the reaction because propane’s HOMO increases its energy  
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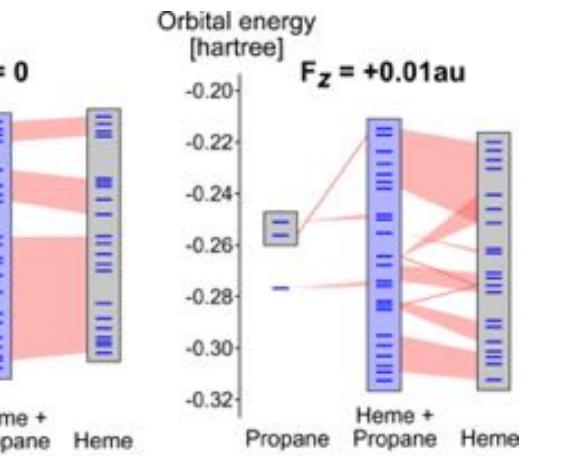


Fig. 6. The reaction profile in the presence of the  $+0.01 \text{ au}$  applied field is shown (solid), as well as the corresponding profile of the same reaction path but with a  $-0.01 \text{ au}$  field applied. The reactant, transition state, and product states are depicted, with important atoms emphasized. See SI for more detail.

847 as the H–C  $\sigma$  bonding interaction grows weaker. Thus,  
848 through the reaction, the energy of propane’s HOMO matches  
849 increasingly well with the unoccupied heme-fragment orbitals,  
850 leading to greater overlap between propane and enzyme  
851 frontier orbitals.

852 Also shown in Figure 6 is the energy along the same  
853 reaction path with an applied field of  $-0.01 \text{ au}$ . This path  
854 is strongly field destabilized with a maximum along the  
855 path of  $\sim 32$  kcal/mole and a positive  $\Delta H_{\text{rxn}}$ . However, the  
856 configuration at this point does not represent a true TS as the  
857 negative field alters the potential energy surface. A negative  
858 field TS calculation with the same initial and final states as in  
859 Figure 6 recovers a reaction path profile qualitatively like the  
860 dotted path shown in Figure 6, again with a positive  $\Delta H_{\text{rxn}}$   
861 but with a distinct TS configuration (see SI for comparison  
862 of TS configurations). Inspection of the frontier MOs of

869 the heme–propane complex shows no contribution from the  
870 propane fragment orbitals, consistent with Figure 5.

871 The negative field lowers the energy of propane’s frontier  
872 orbitals to such an extent that mixing with the unoccupied  
873 heme-fragment orbitals is not favored. Instead, propane’s  
874 occupied frontier orbitals mix with occupied orbitals of the  
875 heme-fragment, minimizing the charge transfer from propane  
876 to the heme-fragment. In fact, the negative field lowers the  
877 energy of propane’s unoccupied FOs sufficiently to produce  
878 a small amount of mixing with the occupied heme-fragment  
879 orbitals. This mixing, though small, leads to charge transfer  
880 from the heme-fragment to propane. The reverse direction of  
881 what is observed in the catalytic process of Scheme 1.

882 It is interesting to note that the HOMO-LUMO energy  
883 gaps have been associated with chemical hardness (30), where  
884 a smaller energy gap indicates greater chemical reactivity  
885 and decreased kinetic stability. If one takes the energy  
886 difference between the orbitals involved in charge transfer,  
887 e.g., propane’s HOMO and the heme-fragment’s LUMO, as  
888 the HOMO-LUMO energy gap for this reaction, then the  
889 application of a positive field softens the reaction and a  
890 negative field act to harden it.

#### 892 4. Summary

893 We introduce a physical model that attributes puzzling  
894 effects of electric fields on heme–Fe reactivity to shifts in  
895 the energy levels of reactant fragment orbitals (such as heme,  
896 Trp, and reaction substrate) due to changes in electrostatic  
897 potential induced by the fields. These energy shifts depend  
898 solely on the local electrostatic potential. These shifts  
899 modify the interaction of frontier orbitals, thus enhancing  
900 or reducing reactivity. We demonstrate that significant  
901 changes in the electronic structure of His-ligated Cpd I  
902 under electric fields can be explained by the energy shifts of  
903 fragment orbitals on the Fe-heme complex and on adjacent  
904 amino acid residues. Additionally, we illustrate how the  
905 selectivity between epoxidation and hydroxylation in Cys-  
906 ligated Cpd I is influenced by field-induced variations in the  
907 energy of fragment orbitals from the Cys-ligated Fe–heme  
908 and the reacting substrate. Further, we hypothesize that  
909 the energy difference between fragment orbitals involved in  
910 inter-fragment charge transfer may quantitatively evaluate  
911 the impact of electric fields on reactivity and selectivity.

912 These findings support the hypothesis that one role of an  
913 enzyme’s internally generated electric field is to modify the  
914 electrostatic potential at crucial active site locations, thereby  
915 enhancing the energy alignment between the frontier orbitals  
916 of the catalyst and the reactants. However, there are countless  
917 electric fields that could produce the same electrostatic  
918 potential at these key points. Each of these distinct fields  
919 would interact with the active site electron density in a  
920 unique fashion. A subset of these fields would accelerate the  
921 catalytic reaction’s electron reorganization in accordance with  
922 Model 1. Of these, consistent with the theory of electrostatic  
923 preorganization, some fields would simultaneously align polar  
924 and charged groups to further lower TS energy. Thus, Nature  
925 faces an optimization challenge: choosing between an electric  
926 field that optimizes the energies of reactant orbitals and  
927 one that facilitates charge redistribution and transition state  
928 stabilization. While it’s possible that the fields best suited  
929 for promoting charge redistribution also maximize orbital

930 energy overlap, we believe it is more likely that an enzyme’s  
931 complex electric field is the product of Nature’s attempt to  
932 balance these two effects. Understanding how Nature resolves  
933 this balancing act will be crucial to the developing discipline  
934 of electric field design. Further research into the topology  
935 and geometry of electrostatic potentials in different enzymes  
936 and catalysts will be necessary to uncover the answer to this  
937 mystery.

#### 938 Materials and Methods

939 We reprised the referenced calculations in Section 1 using the  
940 Amsterdam Density Functional (ADF) package (31, 32). For  
941 these calculations we used the B3LYP hybrid functional (33) with  
942 an all-electron triple zeta with polarization Slater-type-orbital  
943 basis set (34, 35). We used the same active analogues as those  
944 employed in reference 23 and 26 and shown in Figure 2. The  
945 active site analogues of the heme group were allowed to relax in  
946 response to the applied field. In addition, we took advantage of  
947 ADF’s fragment orbital capability in which molecular orbitals are  
948 expanded as a linear combination of the orbitals of predefined  
949 molecular fragments, so-called fragment orbitals (FOs). Presenting  
950 the results in the form of fragment correlation diagrams provides  
951 the ideal visual tool to assess the effects of varying electrostatic  
952 potential on the electronic structures of the Cpd I analogues.

#### 953 Data, Materials, and Software Availability

954 The SI contains the atomic coordinates of the active site  
955 analogues used in this study.

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