# Minority Views Matter: Evaluating Speech Emotion Classifiers with Human Subjective Annotations by an All-Inclusive Aggregation Rule

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**Abstract**—When selecting test data for subjective tasks, most studies define ground truth labels using aggregation methods such as the majority or plurality rules. These methods discard data points without consensus, making the test set easier than practical tasks where a prediction is needed for each sample. However, the discarded data points often express ambiguous cues that elicit coexisting traits perceived by annotators. This paper addresses the importance of considering all the annotations and samples in the data, highlighting that only showing the model's performance on an incomplete test set selected by using the majority or plurality rules can lead to bias in the models' performances. We focus on *speech-emotion recognition* (SER) tasks. We observe that traditional aggregation rules have a data loss ratio ranging from 5.63% to 89.17%. From this observation, we propose a flexible method named the all-inclusive aggregation rule to evaluate SER systems on the complete test data. We contrast traditional single-label formulations with a multi-label formulation to consider the coexistence of emotions. We show that training an SER model with the data selected by the all-inclusive aggregation rule shows consistently higher macro-F1 scores when tested in the entire test set, including ambiguous samples without agreement.

**Index Terms**—Speech Emotion Recognition, Learning from Disagreement, Subjective Perception, Multi-label Emotion Classification

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## 1 INTRODUCTION

RECENT developments in *affective computing* have attracted increasing attention to human perception systems. Current systems utilize outputs from ubiquitous multi-modality sensors (e.g., cameras and audio recording devices) to recognize states or traits describing human behaviors. These efforts have resulted in human-centered solutions for problems such as depression detection [1], pain recognition [2], deception detection [3], and emotion recognition [4]. Given the subjective nature of these tasks, these models are commonly tested with labels derived from human perceptual evaluations, where each data point is annotated by multiple raters. The standard practice to process these annotations and generate the training and testing sets for these models is to use the majority or plurality aggregation methods, as illustrated in Figure 1a. These methods discard annotations that disagree with the consensus label. However, it is common to have co-existing emotions in daily interactions [5], so a single label does not properly describe the emotional perception of a sample. Also, these methods discard data points without agreement. The *majority rule* (MR) discards the data if a class does not achieve more than 50% of the votes. The *plurality rule* (PR) discards the data if one class does not have more votes than the other classes. The issue with discarding samples without

consensus is that it reduces the validity of systems intended for practical applications, as these ambiguous samples are not considered in the test set. Previous studies have only focused on how to utilize all existing annotations during training. For instance, studies have investigated the use of a soft-label learning strategy to include all the samples during training [6], [7], [8], [9], [10], [11], [12]. However, the test set is still *simplified* by only considering sentences with MR or PR agreement, discarding complex and ambiguous samples.

We explore an effective formulation that combines annotations, using all the annotations provided by subjective evaluations for both the train and test sets, making systems more suitable for practical applications. While the formulation is suitable for any problem using labels derived from perceptual evaluations, we focus on *speech emotion recognition* (SER), where emotions generally co-occur in daily interactions. Instead of discarding non-consensus labels, we include all the data points in the train and test sets, allowing SER models to use valuable information during training while being tested on all samples in the test set, even the data points without consensus agreement. We refer to our approach as the *all-inclusive rule* (AR) method. The flexibility to have co-occurred emotions is critical in our formulation. Figure 1b compares our all-inclusive aggregation with the majority and plurality rules generally used in SER tasks for deciding whether or not to include a data point in the test set. By using the AR method, we consider all the data in the test set, which allows us to show the complete performance of SER systems. The driving questions for our study are as follows:

- How is the performance of SER systems affected by

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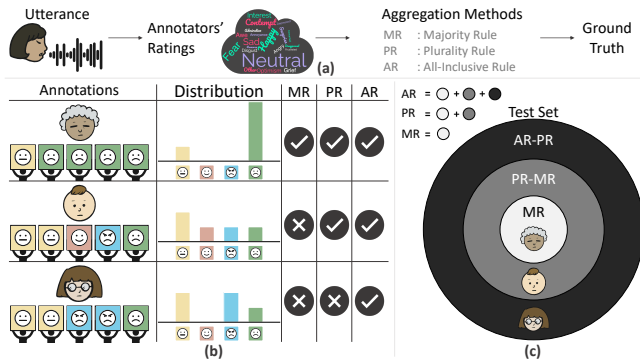  *E-mail: {goncalves, seonggyun.leem, ali.salman, busso}@utdallas.edu*

Fig. 1: Overview of the difference between the ground-truth generated by three rules, *majority rule* (MR), *plurality rule* (PR), and *all-inclusive rule* (AR). (a) Perceptual evaluation to obtain ground-truth labels. Each utterance is rated by several annotators, obtaining the consensus label using aggregation methods. (b) An illustration of how aggregation methods discard the data depending on the annotation distribution. The check mark means the data point is kept and the symbol "x" means the data point is discarded. (c) A diagram that illustrates how much data is included in the final test set according to each aggregation method. MR contains the lowest amount of data, and AR always includes the entire test set available in the dataset.

using different aggregation methods for the training set?

- Does training an SER system with data derived from the all-inclusive rule improve the performance on ambiguous emotions compared to data using the majority or plurality rules?
- What is the best label learning strategy for training SER systems when evaluated on the complete test set?

To investigate the aforementioned questions, we use common emotional speech databases generally used in SER tasks: the MSP-Podcast, USC-IEMOCAP, CREMA-D, and MSP-IMPROV corpora. Based on our experiments, we find that SER systems using conventional training strategies (i.e., majority or plurality rules) perform worse on the complete test set than on the incomplete test set. This result shows that these aggregation methods cannot properly handle ambiguous samples, which are much more difficult to predict. Our results also show that training with the all-inclusive rule leads to overall better performances than using the majority or plurality rule when testing with complete and incomplete test data. Additionally, we find that soft-label learning is the best training strategy for building SER systems, even if the system is evaluated on the complete test set.

## 2 BACKGROUND AND RELATED WORK

This paper focuses on building categorical *speech emotion recognition* (SER) systems. We aim at developing formulations to evaluate the models on the complete test set of datasets, including the ambiguous samples. This section describes disagreement between raters and existing aggregation methods used to select the data samples.

### 2.1 Disagreement among Raters

In contrast to common classification tasks that have well-established 'gold standard,' subjective tasks such as emotion recognition do not have clear labels, which are often obtained with perceptual evaluations. Researchers frequently turn to crowd-sourcing platforms like Amazon Mechanical Turk for rapid and extensive label collection [13]. While this approach is cost-effective, it invariably leads to a compromise in label quality. This trade-off is particularly pronounced in subjective tasks, where the ambiguity inherent in the task itself compounds the variability in annotations.

Subjective tasks, such as emotion perception [14] or hate speech tagging [15], present unique challenges due to their inherently subjective nature. Labels in these tasks are not straightforward to obtain, as they depend heavily on individual interpreters. Disagreements among annotators can arise from various factors [16]: diverse backgrounds leading to different interpretations, lack of interest in providing correct labels, emotional priming, and contextual differences [17]. These variances introduce a significant amount of noise into the labeling process, which is especially problematic in crowd-sourced evaluations [18].

Noise in annotations is a critical concern, and various strategies have been devised to mitigate its impact. In the context of speech emotion classification tasks, it is important to acknowledge that while noise contributes to label discrepancies, it is not the sole reason for disagreement. Drawing from the methodologies employed in the MSP-Podcast corpus [14], several approaches can be effective in reducing noise. These strategies include filtering out evaluators with consistently low agreement scores, stopping crowdsourcing efforts when a threshold of agreement is not met, and relying on in-house workers, who can receive targeted training to improve label agreement. However, it is important to acknowledge that perceptual differences are not necessarily noisy. They can provide information that a SER system should leverage.

Our paper aims to demonstrate that traditional methods of aggregating labels (e.g., majority or plurality voting), which often overlook the nuanced nature of subjective tasks, may not be suitable for speech emotion classification. We propose an alternative aggregation method for the speech emotion recognition task, moving towards a more comprehensive and inclusive approach to label aggregation.

### 2.2 Existing Aggregation Method Definition

The two most common aggregation rules are:

- **Majority Rule (MR)**: it selects a class only if more than half of the votes select that class. If the frequency of any category does not reach half or more of the votes, the data point is discarded (see illustration in Figure 1b)
- **Plurality Rule (PR)**: it selects a class if one emotional class obtains more votes than other classes (i.e., receives a plurality). This rule does not require that the selected class has more than half of the votes. Data points with ties are discarded.

MR and PR assume that the ground truth is a single class. In reality, emotional classes often co-occur (e.g., excited+happy, surprised+happy, angry+sad). Therefore, they

are inadequate to accurately represent real-world emotional states. We present the *all-inclusive rule* that is more suitable for training and evaluating the performance of SER systems in practical applications where ambiguous expressions are expected.

## 2.3 Selection of Test Set for Evaluating SER Systems

Evaluating SER systems on the complete test set is very important. However, the standard approach to dealing with samples without consensus is to discard them from the test set. When collecting the emotional annotations from multiple workers, there is often a high disagreement between the annotators [19], [20], [21]. Therefore, many previous studies discarded many data points in the test set. For example, the IEMOCAP and CREMA-D corpora use MR for constructing the ground-truth labels [22], [23], [24], discarding approximately 31.37% and 35.8% of the data, respectively. Most studies using these corpora have followed the same rule to construct the ground-truth label for testing their models [25], [26], [27], [28]. The MSP-IMPROV and MSP-Podcast corpora use PR to annotate primary and secondary emotional labels for each speaking turn [14], [29], and studies using these corpora have kept this default aggregation rule to evaluate their models [30], [31], [32].

The studies mentioned in the previous paragraph have assumed that each speaking turn has only one emotional category, so the ground-truth category does not reflect secondary emotions also conveyed in the recordings. However, real-life emotional states can co-exist in many situations (e.g., a person can be simultaneously sad and angry) [5]. Therefore, aggregating multiple annotations into a single class and discarding non-consensus data points of the test set is not appropriate to accurately evaluate whether the predictions of SER systems can represent emotional behaviors observed in daily interactions. Although some studies have investigated the use of the "multiple-hot" vector to define SER as a multi-label problem [33], [34], [35], this approach still cannot determine if some emotions are more dominant than others. It considers all the annotations provided to the speaking turn as ground truth, even if a class was only selected by a single annotator. To the best of our knowledge, Riera et al. [36] is the only study suggesting that we should use all test samples to evaluate SER systems, instead of discarding non-consensus data. However, they did not explore SER systems trained with various label learning methods. Additionally, they relabeled some of the emotions (e.g., excited as happy; surprised as "other"), which is an important limitation. Unlike this study, we use the original emotional classes in all the datasets, providing empirical experiments using different label learning methods and various test sets created by considering different aggregation methods.

## 2.4 Label Learning Methods for SER

Most SER studies have trained their models by using consensus labels obtained by aggregating individual perceptual emotional annotations with MR or PR. A common approach for training an SER model with a single consensus label is to minimize the *cross-entropy* (CE) between the prediction and the one-hot encoding extracted from an aggregated ground-truth. We refer to this approach as **hard-label learning**. Due

to its simple formulation, many studies have used hard-label learning to train their SER model [25], [26], [27], [28], [30], [37], [38].

Although the hard-label learning strategy simplifies the representation of the ground-truth label, it does not take into account the subjectivity of perceptual evaluations. For example, the hard-label learning strategy cannot differentiate cases when the consensus label is barely reached (i.e., ambiguous cases) from unanimous cases (i.e., clear cases). To address this problem, some studies have used a soft-encoding to improve the one-hot vector [6], [7], [8], [9], [10], [11], [12]. Those studies have used the CE between the prediction and the ground-truth vector formed by estimating the proportions of annotations assigned to each class. We refer to this approach as **soft-label learning**, and we use CE as the cost function.

Instead of using hard-label and soft-label learning methods, few studies on SER have regarded the soft-label vector as a distribution, using the *Kullback-Leibler divergence* (KLD) as the objective function to train SER models. We refer to this approach as **distribution-label learning** [39], and we use KLD as the cost function. Those studies represented their ground-truth emotion with a multi-class soft-label encoding, training the model to minimize the KLD between the soft-encoded ground truth and the predicted distributions [40], [41], [42].

## 3 METHODOLOGY

We introduce an alternative aggregation rule, named the *all-inclusive rule* (AR), to train and evaluate the performance of SER systems on a complete test set, including data points without MR or PR consensus. We define this rule and explain its importance along with directions on how to use it.

### 3.1 Definition of All-inclusive Rule

The AR is an aggregation method that keeps all the annotated samples within a corpus regardless of the frequency of the votes. Data points are never discarded. In this rule, the first step is to gather all the classes given to each data point. At this point, AR generates the ground truth for the data. When creating the train set, the representation of the ground truth is defined as a one-hot encoding or as the distribution of the votes, depending on the desired label learning strategy. For the hard-label learning strategy, AR chooses the emotional class that has the highest votes as the ground truth, similar to the plurality rule. However, if no plurality is achieved within the votes, we randomly choose as the ground truth one of the classes that received the most votes. We illustrate this scenario in Table 1 Case (C1), where the hard-label can be either (1,0,0,0) or (0,0,1,0). For the soft-label or distribution-label learning strategy, AR generates the distributional ground truth based on the frequency of votes assigned to the emotional classes.

When generating the test set, AR always uses the distributional ground truth regardless of the label-learning strategy, shown in the rightmost column of Table 1. This method ensures that every annotated data point and all of its annotations are considered for the test set. The all-inclusive rule provides a label descriptor that better captures

TABLE 1: Overview of the label vectors for the *all-inclusive rule* (AR) with three examples. Each example has five annotations. We illustrate the rules with a four-class emotion classification task. The four emotions include neutral (N), happiness (H), anger (A), and sadness (S). A label vector is created as follows: (N,H,A,S). We list three examples. For instance, (C1) N,N,A,A,S indicates that the five emotional annotations for Case (C1) selected two votes for neutral, two votes for anger, and one vote for sadness.

| Case | Training Set | | | Test Set |
| | Hard-label | Soft-label | Distribution-label | Label |
| --- | --- | --- | --- | --- |
| (C1) N,N,A,A,S | (1,0,0,0) OR (0,0,1,0) | (0.4,0.0,0.4,0.2) | (0.4,0.0,0.4,0.2) | (0.4,0.0,0.4,0.2) |
| (C2) N,N,H,A,S | (1,0,0,0) | (0.4,0.2,0.2,0.2) | (0.4,0.2,0.2,0.2) | (0.4,0.2,0.2,0.2) |
| (C3) N,N,N,A,S | (1,0,0,0) | (0.6,0.0,0.2,0.2) | (0.6,0.0,0.2,0.2) | (0.6,0.0,0.2,0.2) |

TABLE 2: Overview of the number of utterances, emotion classes, and data loss ratio in the datasets. P represents primary emotion, and S represents secondary emotions.

| Database | Utterance | Emotion | Choice | MR | PR | AR |
| --- | --- | --- | --- | --- | --- | --- |
| IMPROV (P) | 8,438 | 4 | Single | 9.20% | 5.63% | 0% |
| CREMA-D | 7,442 | 6 | Single | 35.80% | 8.55% | 0% |
| PODCAST (P) | 90,978 | 8 | Single | 47.78% | 18.56% | 0% |
| IEMOCAP | 10,039 | 9 | Multiple | 31.37% | 25.32% | 0% |
| IMPROV (S) | 8,438 | 10 | Multiple | 54.17% | 12.34% | 0% |
| PODCAST (S) | 90,978 | 16 | Multiple | 89.17% | 29.08% | 0% |

the emotional content of the data points by incorporating sentences with ambiguous emotions in the test set.

## 3.2 Usage of All-inclusive Rule for Test Set Preparation

We utilize all data samples and consider every available emotion as a learning target to incorporate all opinions collected during the perceptual evaluation. Previous studies using the IEMOCAP corpus, for example, have aggregated all the annotated emotions into a 4-class emotion classification task (e.g., merging excitement and happiness, and discarding minor classes such as fearful, surprise, and disgusted). Unlike this approach, we neither ignore other emotional states present in a corpus nor limit the SER models to be trained or tested only on a few selected emotions.

Additionally, our all-inclusive rule enables SER models to be tested with the secondary emotions on the whole test set. The utilization of secondary emotional annotations has been ignored by previous studies due to the data loss caused by standard aggregation methods (up to 89.17% in Table 2). Since our AR utilizes the entire annotated test set, we can test our SER model with secondary emotions which have not been studied before. Table 2 illustrates the ratio of data loss imposed by the different aggregation rules on the four datasets used in this study for the primary and secondary emotions. The use of MR and PR discards up to 89.17% and 29.08% of the entire data, respectively. The worst case is classifying secondary emotions on the MSP-Podcast corpus.

## 4 EXPERIMENTAL SETTINGS

### 4.1 Resources

We check if the all-inclusive aggregation method works well over four popular databases. We maximize the usage of the emotional annotations by using the all-inclusive aggregation rule. Some databases provide the annotators with the option to select the class "other", allowing the annotators to type their own emotional descriptions. In our experiments, we remove the annotations labeled as "other" except for cases when they provide descriptions that are equivalent to the pre-defined emotions (e.g., from "slightly happy" to "happiness"). For these cases, we aggregate the descriptions that are similar to the pre-defined emotions following one of the pre-processing steps presented in Chou et al. [41].

#### 4.1.1 The MSP-Podcast Corpus

The MSP-Podcast corpus, referred here to as PODCAST, contains spontaneous and diverse emotional speech samples collected from various podcast recordings, which are split into speaking turns to form a speech repository. Several SER algorithms are used to retrieve speaking turns that are expected to be emotional by using the approach presented in Mariooryad et al. [43]. The annotation process uses a crowdsourcing protocol inspired by the work of Burmania et al. [44]. The perceptual evaluation includes the *primary emotions* (P) and *secondary emotions* (S). The annotators choose a single primary emotion, but they can select multiple secondary emotions for each sample. The primary emotions contain nine options: anger, sadness, happiness, surprise, fear, disgust, contempt, neutral, and "other". The secondary emotions consist of the primary emotions and eight more classes: amusement, frustration, depression, concern, disappointment, excitement, confusion, and annoyance (17 options in total). Each speaking turn is annotated by at least five different workers. This paper uses version 1.10 of the corpus, which consists of 104,267 annotated utterances. We exclude the "Test2" set in this paper, resulting in 90,978 utterances as listed in Table 2.

#### 4.1.2 The USC-IEMOCAP Corpus

The USC-IEMOCAP corpus [23], referred here to as IEMO-CAP, consists of motion capture, audio, and video recordings from five sessions of dyadic conversations collected from 10 professional actors. The corpus includes scripted and spontaneous spoken communication scenarios. Each dyad is provided with selected scripts to elicit emotional states (e.g., neutral, angry, sad, and happy emotions). All recorded conversations were manually segmented into 10,039 utterances, and at least three evaluators annotated the emotional categories of each utterance. The IEMOCAP has ten different emotion categories (neutral, happiness, sadness, anger, surprise, fear, disgust, frustration, excitement, and "other"). Each annotator can provide more than one emotion and/or choose "other," if none of the classes are appropriate. If "other" is selected, they can type their own descriptions.

#### 4.1.3 The CREMA-D Corpus

The CREMA-D corpus, referred here to as CREMA-D, is an audiovisual dataset containing high-quality recordings

collected from a racially and ethnically diverse group of 91 professional adult actors. The actors were given a set of sentences and asked to say every sentence targeting a specific emotional state. At least seven annotators rated the emotional labels under different conditions: audio-only, video-only, and audiovisual recordings. In total, 7,442 clips were collected and rated by 2,443 raters via a crowdsourcing platform. We only use the emotional annotations collected using the voice-only condition, because this paper focuses on building SER systems. The CREMA-D corpus was annotated with six different emotions (anger, disgust, fear, happy, neutral, and sad), where each annotator selected only one emotion. The option "other" was not allowed.

### 4.1.4 The MSP-IMPROV Corpus

The MSP-IMPROV corpus [29], referred here to as IM-PROV, includes acted, elicited, and spontaneous emotional speech to explore the perception of emotion [45]. The MSP-IMPROV corpus consists of 8,438 utterances in total. Those recorded samples are annotated with a crowd-sourcing protocol that tracked the quality of the workers in real-time, stopping the evaluation when their quality dropped below an acceptable threshold [44]. Similar to the MSP-Podcast corpus, it allows annotators to choose a single *primary emotion* (P) and multiple *secondary emotions* (S). The primary emotions contain five emotion classes: anger, sadness, happiness, neutral, and "other." The secondary emotions included the primary emotions plus six more emotions: frustration, depression, disgust, excitement, fear, and surprise.

### 4.2 Speech Emotion Classifier

To assess the performance of various aggregation methods, we use the Wav2vec2.0 architecture [46] that has shown good performance for SER tasks in various studies [47], [48]. Among the variants of the Wav2vec2.0 model, we use the "wav2vec2-large-robust" architecture, proposed in Hsu et al. [49], that showed the best recognition performance in the study of Wagner et al. [48]. The model accepts raw signal as its input, instead of using spectrogram or *Mel-frequency cepstral coefficient* (MFCC). We use a 16kHz sampling rate for our dataset to match the sampling rate of its pre-trained data. For efficiency and reproducibility, we remove the 12 transformer layers from the top of the 24 transformer layers used in this architecture, which is shown to preserve the recognition performance with fewer parameters [48]. We attach two hidden layers and the softmax output layer on top of the Wav2vec2.0 model, where each hidden layer has 1,024 nodes. They are implemented with the *rectified linear unit* (ReLU) activation function. We aggregate the outputs of the Wav2vec2.0 model by using average pooling per utterance, then feed it to the classification layers. We apply the dropout function using $p = 0.5$ to the first and second layers of the classification layers to regularize the model.

When implementing the model, we utilize the pre-trained "wav2vec2-large-robust" model from the Hugging-Face library [50], and attach the classification layers to the pruned Wav2vec2.0 model. Then, we fine-tune the model for each task. During the fine-tuning step, we freeze the convolutional layers, followed by the transformer layers

TABLE 3: The data loss ratio imposed by the label aggregation method on the training, development, and test sets of the PODCAST corpus. P represents primary emotion, and S represents secondary emotions.

| Rule | Set | PODCAST (P) | PODCAST (S) |
|---|---|---|---|
| MR | Training | 47.95% | 88.63% |
| | Development | 47.90% | 89.64% |
| | Test | 47.08% | 90.90% |
| PR | Training | 18.76% | 29.46% |
| | Development | 19.62% | 28.90% |
| | Test | 17.14% | 27.76% |
| AR | Training | 0.00% | 0.00% |
| | Development | 0.00% | 0.00% |
| | Test | 0.00% | 0.00% |

of the Wav2vec2.0 model. This partial fine-tuning strategy has shown better performance than fine-tuning the entire parameters [47]. We use the Adam optimizer [51] with a 0.0001 learning rate. We group 32 utterances to construct each mini-batch and update the model for 100 epochs. After the 100 epochs, we select the model with the best recognition performance in the development set. We implement the code in Pytorch [52] and run the code on an NVIDIA Tesla V100 GPU.

When training our model on the MSP-Podcast corpus, we use the pre-defined train (63,076 samples), development (10,999 samples), and test (16,903 samples) sets of the corpus. The other corpora are smaller, so we use a cross-validation strategy. We use the speaker-independent sessions for the IEMOCAP (five sessions) and the MSP-IMPROV (six sessions). The CREMA-D corpus does not provide pre-defined sessions, so we manually split them into five speaker-independent sessions. For the IEMOCAP, MSP-IMPROV and CREMA-D corpora, we conduct a $K$-fold cross validation, where $K$ denotes the number of sessions for each corpus. Each fold is organized as follows: one session for the test set, one session for the development set, and the remaining sessions for the train set.

Table 2 illustrates the data loss ratio introduced by each label aggregation method in the databases considered in this study. We evaluate the data loss ratio in each partition (i.e., train, development, or test set). We noticed that the data loss trends are similar across the four datasets, so we only present the distribution for the PODCAST database as an example. Table 3 shows the ratios. Overall, the data loss ratio of each partition is very similar to the data loss ratio for the entire database.

### 4.3 Training/Test Set Selected by Aggregation Rules

In this evaluation, we train and test the models with match and mismatched aggregation rules. For the train and development sets, we use the MR, PR, and AR to define the ground truth. We denote them as $MR_{train}$, $PR_{train}$, and $AR_{train}$, respectively. For testing, we evaluate the models with the MR, PR, and AR sets. In addition, we define two extra test conditions, which are illustrated by the *donuts* in Figure 1c: PR-MR, the test set accepted by the PR, but discarded by the MR, and AR-PR, the test set accepted by the AR but discarded by the PR. The condition AR-PR repre-

sents the most ambiguous set with samples receiving non-consensus annotations. To our best knowledge, this is the first study that evaluates SER models with non-consensus annotations.

### 4.4 Label Learning for SER

In addition to the different aggregation methods, we also evaluate the performance using different label learning methods. In our experiments, we consider three different label learning strategies: hard-label learning, soft-label learning, and distribution-label learning. For the hard-label learning, we construct ground truth by using a one-hot encoding that has "1.0" for the class that received the maximum number of votes from the annotators. When we use the train set aggregated with the AR, we randomly choose one of the emotions that received the most votes as the ground-truth emotion if the sample does not have a consensus. We smooth the ground truth vector of this one-hot encoding by using the smoothing strategy proposed by Szegedy et al. [53] which utilizes a smoothing parameter set to 0.05. This smoothing strategy adds a small probability to emotional classes with zero value. We use the CE objective function to train the SER systems. For soft-label learning and distribution-label learning, we represent the ground-truth vector by using the distribution of the annotator's votes. We divide the number of votes for each class by the number of total votes for each data point. We also implement the label smoothing strategy used for the hard-label learning. The cost function for the soft-label learning is the CE loss, and for the distribution-label learning is the KLD.

### 4.5 Evaluation Metrics

This paper uses the macro-F1 scores to evaluate the SER performance, which requires estimating precision and recall rates. The MR, PR, and PR-MR test sets are formed by selecting a single class, so they are suitable for the macro-F1 score. The class that receives the maximum number of votes is selected as the target. We consider a prediction a success if the class with the maximum predicted probability agrees with the target class. In contrast, the test sets collected with the AR and AR-PR conditions contain samples with non-consensus labels. We allow co-existing emotions to estimate the macro-F1 score for these experiments. The target classes are selected by applying thresholds over the ground truth. We consider a prediction a success if the proportion for a class is above $1/C$, where $C$ is the number of emotional classes, following the approach adopted by previous studies [36], [41]. For instance, consider a four-class emotion recognition task, and the emotion classes contain neutral, anger, sadness, and happiness. Assume we collect five annotations from five different unique raters for one sample, and the annotations contain neutral (N), anger (A), anger (A), sadness (S), and sadness (S). We first calculate the label distributions, which for this case is (N, A, S, H) = (0.2, 0.4, 0.4, 0.0). The threshold is 1/4=0.25, and the ground truth is converted to (0,1,1,0). During inference, we consider the predictions for three different models: (0.2,0.35,0.35,0.1), (0.1,0.45,0.45,0.0), and (0.45,0.1,0.0,0.45). The three predictions are transformed into (0,1,1,0), (0,1,1,0), and (1,0,0,1), respectively, using the

threshold. In these cases, only the first two predictions are fully corrected.

We check the statistical significance of the results using each aggregation method. For the cross-validation experiments (IEMOCAP, CREMA-D, and IMPROV), we first concatenate all the predictions for each condition across all the folds, so the results consider all the data (i.e., each sample appears in one fold on the test set). For the PODCAST experiments, we directly use the predictions of all the pre-defined test sets from a single model. After collecting all the predictions, we split those predictions into 40 folds to evaluate the average of the macro-F1 score. We perform a two-tailed t-test to assign statistical significance if the $p-value$ is less than 0.05. We denote $*$, $\dagger$, and $\star$ when a model has significantly better performance than a model training with the $MR_{train}$, $PR_{train}$, and $AR_{train}$ sets, respectively.

## 5 RESULTS AND ANALYSIS

The experimental evaluation starts by comparing our proposed framework to evaluate the aggregation rules with *state-of-the-art* (SOTA) baselines to demonstrate the merits of the SER strategy used in this study (Sec. 5.1). Then, we evaluate the three research questions listed in Section 1 (Secs. 5.2, 5.3 and 5.4).

### 5.1 Comparison of Results with Prior SOTA Methods

We compare the performance of our SER model with three existing SOTA approaches using the IMPROV(P), CREMA-D, PODCAST(P), and IEMOCAP corpora. The first baseline is the model proposed by Li et al. [54], which built an end-to-end framework that extracts a spectrogram from the input speech and integrates a self-attention mechanism to emphasize the emotional frames of the utterances. At the time this paper was published, this model achieved SOTA performance on the IEMOCAP database with four primary emotions. The second baseline is the model proposed by Pepino et al. [55]. This study used wav2vec 2.0 to extract speech representations, combining them with hand-crafted features (i.e., eGeMAPS [56]). The study achieved SOTA classification performance on the IEMOCAP corpus. The third baseline was proposed by Goncalves and Busso [31], which proposed a transformer architecture network trained with multimodal losses, achieving SOTA performance on the CREMA-D and IMPROV(P) corpora. To fairly compare this model with our approach, we only use the network under the "audio-only" scenario with 65 acoustic low-level descriptors as input. The three baselines are implemented following the description provided in their corresponding papers, evaluating the models under the same testing conditions as our model. In our experiments, we treat SER as a single-label task, following previous studies, and report performance on the MR or PR test conditions. We train and test these models using all the primary emotion classes.

Table 4 lists the results of our comparison. We compare our model to the SOTA models trained on the $MR_{Train}$ data for the CREMA-D and IEMOCAP corpora and the $PR_{Train}$ data for the IMPROV(P) and PODCAST corpora. Our model trained on the $AR_{Train}$ set outperforms all three SOTA methods on the IMPROV(P), CREMA-D, IEMOCAP, and

TABLE 4: Macro-F1 score of existing SOTA baselines and our proposed model on the IMPROV(P), CREMA-D, IEMOCAP, and PODCAST(P) databases. The results are evaluated by aggregating the labels in the test set using either the *majority rule* (MR) or *plurality rule* (PR).

| Aggregation | Method | IMPROV(P) | MR CREMA-D | IEMOCAP | PR PODCAST(P) |
|---|---|---|---|---|---|
| $MR_{Train}/PR_{Train}$ | Li et al. [54] | 0.398 | 0.311 | 0.256 | 0.150 |
| | Pepino et al. [55] | 0.331 | 0.223 | 0.191 | 0.142 |
| | Goncalves et al. [31] | **0.539** | 0.574 | 0.261 | 0.161 |
| | Ours | 0.512 | **0.591** | **0.269** | **0.184** |
| $AR_{Train}$ | Ours | **0.562** | **0.585** | **0.279** | **0.166** |

PODCAST(P) corpora. Most of the best results came from our model, demonstrating that our SER models are competitive when compared with previous SOTA approaches. The baseline with the best results for all the conditions is the framework proposed by Goncalves and Busso [31]. On the IMPROV (P) corpus, we obtain a macro-F1 of 0.562 using $AR_{Train}$, outperforming this SOTA method (macro-F1 score: 0.539). However, when we train with the $PR_{Train}$ set, we obtain a macro-F1 of 0.512, which is worse than the model trained with the approach proposed by Goncalves and Busso [31] (macro-F1 score: 0.539). On the CREMA-D corpus, to the best of our knowledge, we are the first to use annotations obtained with the voice-only condition to train SER systems. Our method obtains a macro-F1 of 0.591 using the $MR_{Train}$ set, and 0.585 using the $AR_{Train}$ set. This performance is better than the SOTA performance [31] (macro-F1 score: 0.574). On the IEMOCAP and POD-CAST(P) corpora, our SER model outperforms all other SOTA methods [31], [54], [55].

## 5.2 Evaluation with Complete and Incomplete Test Data

Table 5 shows the macro-F1 scores for each combination of aggregation method and label-learning strategy. The results are based on 18 experiments with different databases where the models were trained with either the $MR_{train}$, $PR_{train}$, or $AR_{train}$ set (6 databases $\times$ 3 learning strategies). Figures 2 and 3 present the average performance for each evaluation set (MR, PR, AR, PR-MR, or AR-PR). We perform a small-sample test of the hypothesis (matched pairs) on those results.

We consider our first research question: **how is the performance of SER systems affected by using different aggregation methods for the training set?** We evaluate this research question by assessing the models trained in different conditions in the complete test data, incomplete test data, and cross-corpus setting.

### 5.2.1 Evaluation on the Complete Test Set (AR)

When testing with the AR approach using all the annotated data in the test set, Figure 2c indicates that the macro-F1 score using the $AR_{train}$ set is significantly higher than using the $MR_{train}$ and $PR_{train}$ sets across the 18 conditions. In fact, the best overall macro-F1 score in 14 out of 18 experiments was achieved by models trained with the $AR_{train}$ set, as shown in Table 5. These findings suggest that incorporating the AR approach during training can enhance performance, compared to models trained with either the MR or the PR criterion. Adding more annotated samples in the training process of SER tasks is beneficial.

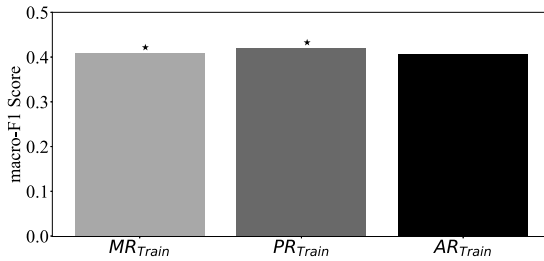### 5.2.2 Evaluation on the Incomplete Test Sets (MR & PR)

The single-label task SER performance was evaluated by considering the MR and PR test conditions. As shown in Table 5, testing with the PR set resulted in consistently lower performance compared to testing with the MR set, since more ambiguous samples were discarded in the MR set. Similarly, the performance in the PR-MR condition was generally lower than in the PR conditions. These results indicate that including more ambiguous samples in the test set (e.g., more samples without majority consensus), which are commonly seen in practical scenarios, can decrease the performance of SER models. Therefore, using either PR or MR to define the test set may not provide a representative picture of the realistic results that would be observed during the deployment of SER systems in real-world situations, where every sentence is expected to be recognized.

Out of 18 conditions, Table 5 shows that training with $AR_{train}$ achieved the best performance in 11 cases when tested with the PR set (approximately 61%) and 14 cases when tested with the AR set (approximately 78%). Figure 2b shows that the average macro-F1 scores of the models trained with the $AR_{train}$ are statistically significantly better than the ones obtained when training with the $PR_{train}$ set. These results demonstrate that aggregating the annotations with the AR approach for the training set can improve the SER performance on samples with lower-agreement annotations.
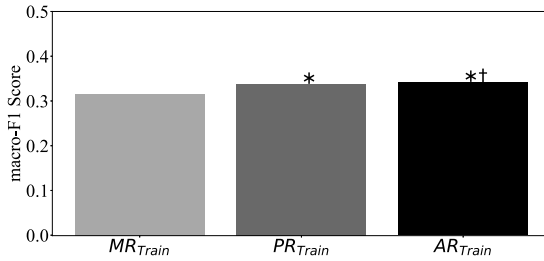
When focusing on the results evaluated on the MR test condition, the model trained with the $AR_{train}$ set outperformed other methods in only 7 out of 18 experiments (approximately 39%). Figure 2a shows that the model trained with $AR_{train}$ performed worse than the models trained with the $MR_{train}$ or $PR_{train}$ set. Incorporating more challenging samples in the training set ($AR_{train}$) seems to decrease its accuracy on the most straightforward (unambiguous) samples. However, this trade-off enhances the model's robustness in real-world scenarios, where ambiguous and unambiguous samples are inevitably encountered. Therefore, we argue that training SER systems with the $AR_{train}$ set is, in general, more effective for real-life deployments where the test set includes a mix of ambiguous and unambiguous data, reflecting the true complexity of real-world scenarios." We also find that the average performance of the model trained with $PR_{train}$ was higher than the results of the model trained with $MR_{train}$ when the number of training samples was increased. This finding is consistent with the results reported in Chou et al. [9].

TABLE 5: The table illustrates the macro-F1 score when training and testing with each aggregation method under each label-learning strategy for each database. We highlight in bold the best performance for each condition. We denote $*$, $\dagger$, and $\star$ when a model has significantly better performance than a model training with $\text{MR}_{train}$, $\text{PR}_{train}$, and $\text{AR}_{train}$, respectively.

| Database | Aggregation (train/test set) | Hard-label learning | | | | | Soft-label learning | | | | | Distributional-label learning | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | MR | PR | AR | PR - MR | AR - PR | MR | PR | AR | PR - MR | AR - PR | MR | PR | AR | PR - MR | AR - PR |
| IMPROV(P) | $\text{MR}_{Train}$ | 0.512† | 0.507† | 0.555† | 0.300 | **0.516†** | 0.595 | 0.587 | 0.613 | **0.346** | 0.530 | **0.612** | **0.604** | 0.599 | **0.401** | 0.440 |
| | $\text{PR}_{Train}$ | 0.450 | 0.448 | 0.513 | 0.305 | 0.465 | **0.600** | 0.593 | **0.623** | 0.341 | **0.531** | 0.601 | 0.596 | 0.590 | 0.359 | 0.436 |
| | $\text{AR}_{Train}$ | **0.562∗†** | **0.555∗†** | **0.593∗†** | 0.335 | 0.498 | 0.576 | 0.569 | 0.602 | 0.339 | 0.518 | 0.602 | 0.594 | **0.600** | 0.340 | **0.441** |
| CREMA-D | $\text{MR}_{Train}$ | 0.591 | 0.532 | 0.551 | 0.381 | 0.500 | 0.640 | 0.575 | 0.671 | 0.409 | 0.651 | 0.518⋆ | **0.474⋆** | 0.411 | **0.357** | 0.368 |
| | $\text{PR}_{Train}$ | **0.600** | **0.545** | 0.595∗ | **0.390** | 0.572∗ | 0.667 | 0.594 | 0.699∗ | 0.416 | 0.688 | **0.518⋆** | 0.473⋆ | **0.419** | 0.357 | **0.374** |
| | $\text{AR}_{Train}$ | 0.585 | 0.528 | **0.607∗** | 0.386 | **0.593∗** | **0.673** | **0.615∗** | **0.710∗** | **0.444** | **0.706∗** | 0.486 | 0.442 | 0.414 | 0.340 | 0.370 |
| PODCAST (P) | $\text{MR}_{Train}$ | 0.214⋆ | 0.184⋆ | 0.303 | 0.143 | 0.300 | 0.215 | 0.185 | 0.326 | 0.145 | 0.328 | 0.161 | 0.137 | 0.162 | 0.102 | 0.159 |
| | $\text{PR}_{Train}$ | **0.259∗⋆** | **0.232∗⋆** | **0.403∗⋆** | **0.187∗⋆** | **0.420∗⋆** | **0.241⋆** | **0.207∗⋆** | **0.397∗⋆** | **0.160⋆** | **0.408∗⋆** | 0.195∗ | 0.166∗ | 0.192∗ | 0.126∗ | 0.184∗ |
| | $\text{AR}_{Train}$ | 0.192 | 0.166 | 0.330∗ | 0.129 | 0.351∗ | 0.199 | 0.174 | 0.355∗ | 0.138 | 0.367∗ | **0.204∗** | **0.175∗** | **0.200∗** | **0.139∗** | **0.192∗** |
| IEMOCAP | $\text{MR}_{Train}$ | 0.269 | 0.260 | 0.339 | 0.203 | 0.351 | 0.346 | 0.343 | 0.412 | 0.257 | 0.426 | 0.354 | 0.341 | 0.299 | 0.253 | 0.287 |
| | $\text{PR}_{Train}$ | 0.259 | 0.254 | 0.345 | 0.186 | 0.355 | 0.369 | 0.359 | 0.433 | **0.279** | 0.453 | **0.377** | 0.361 | 0.320 | 0.253 | 0.306 |
| | $\text{AR}_{Train}$ | **0.279** | **0.268** | **0.365** | **0.238†** | **0.378** | **0.390∗** | **0.383∗** | **0.464∗†** | 0.266 | **0.479∗** | 0.369 | **0.361** | **0.325∗** | **0.265** | **0.317** |
| IMPROV (S) | $\text{MR}_{Train}$ | 0.424 | 0.254 | 0.229 | 0.234 | 0.245 | **0.451** | 0.299 | 0.379 | 0.278 | 0.386 | 0.361 | 0.185 | 0.137 | 0.149 | 0.150 |
| | $\text{PR}_{Train}$ | **0.455⋆** | **0.340∗** | **0.328∗** | **0.318∗** | **0.360∗** | 0.433 | 0.353∗ | 0.483∗ | 0.342∗ | 0.505∗ | 0.397 | 0.248∗ | 0.181∗ | 0.219∗ | 0.189∗ |
| | $\text{AR}_{Train}$ | 0.391 | 0.315∗ | 0.337∗ | 0.311∗ | 0.365∗ | 0.410 | **0.360∗** | **0.491∗** | **0.343∗** | **0.522∗** | **0.431∗** | **0.306∗†** | **0.216∗†** | **0.282∗†** | **0.227∗†** |
| PODCAST (S) | $\text{MR}_{Train}$ | 0.344 | 0.078 | 0.138 | 0.076 | 0.141 | **0.389⋆** | 0.080 | 0.199 | 0.076 | 0.198 | 0.352 | 0.051 | 0.060 | 0.047 | 0.059 |
| | $\text{PR}_{Train}$ | **0.392⋆** | 0.113∗ | 0.327∗ | 0.111∗ | 0.328∗ | 0.321 | 0.122∗ | 0.450∗ | 0.122∗ | 0.457∗ | 0.412 | 0.076∗ | 0.078∗ | 0.072∗ | 0.074∗ |
| | $\text{AR}_{Train}$ | 0.283 | **0.125∗** | **0.352∗†** | **0.124∗†** | **0.357∗†** | 0.237 | **0.139∗** | **0.457∗** | **0.142∗** | **0.466∗** | **0.425** | 0.078∗ | **0.091∗†** | **0.075∗** | **0.088∗†** |

(a) Macro-F1 scores on MR set.

(b) Macro-F1 scores on PR set.

(c) Macro-F1 scores on AR set.

Fig. 2: Averaged macro-F1 scores across 18 experiments listed in Table 5 with different databases and label-learning strategies on the different evaluation sets generated by three rules, *majority rule* (MR), *plurality rule* (PR), and *all-inclusive rule* (AR). We denote $*$, $\dagger$, and $\star$ when a model has significantly better performance than a model training with $MR_{train}$, $PR_{train}$, and $AR_{train}$, respectively.
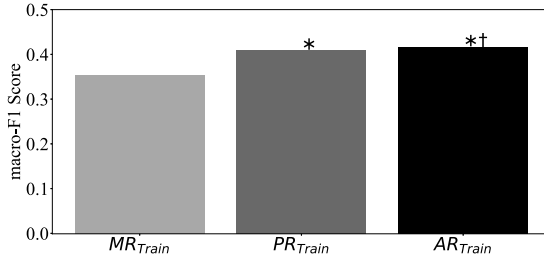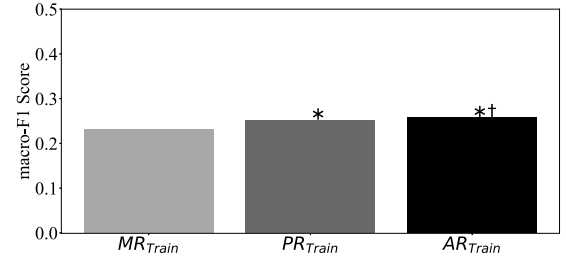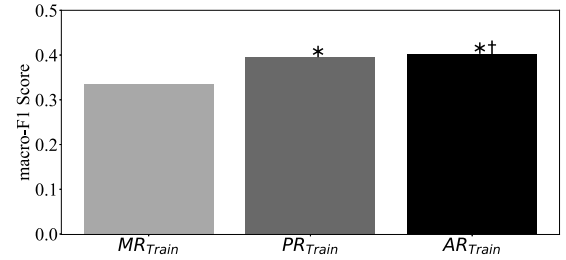
(a) Macro-F1 scores on PR-MR set.

(b) Macro-F1 scores on AR-PR set.

Fig. 3: Averaged macro-F1 scores across 18 experiments listed in Table 5 with different databases and label-learning strategies on the different evaluation sets generated by the PR-MR and AR-PR sets. We denote $*$, $\dagger$, and $\star$ when a model has significantly better performance than a model training with the $MR_{train}$, $PR_{train}$, and $AR_{train}$ sets, respectively.

### 5.2.3 Evaluation in Cross-Corpus Settings

The previous experimental results are conducted in within-corpus settings. We are interested in studying the effect of training the models with different aggregation strategies in cross-corpus settings. We choose to train the model with the PODCAST (P) corpus and test the models with the IMPROV (P) corpus to demonstrate the benefits of the AR method in cross-corpus experiments. The IMPROV (P) corpus has the following emotions: anger, sadness, happiness, and neutral

emotions. The PODCAST (P) corpus includes the same four categories in addition to surprise, fear, disgust, and contempt. Given the overlap between emotions, we can conduct this cross-corpus evaluation, where a SER model is trained with the PODCAST (P), and the objective is to predict the emotions in the IMPROV (P) corpus. Our strategy is to use the models trained with the PODCAST (P) corpus as they are, evaluating their performance on the IMPROV (P) set. Then, we select the predictions on anger, sadness, happiness, and neutral state. We use the threshold to convert the distribution predictions into binary labels and calculate the results using the macro F1 score. For instance, consider the prediction of one sample of the IMPROV (P) as: (anger, sadness, happiness, surprise, fear, disgust, contempt, neutral) = (0.2, 0.2, 0.1, 0.1, 0.2, 0.1, 0.0, 0.1). We only select the four predictions without renormalizing the predictions: (anger, sadness, happiness, the neutral) = (0.2,0.2,0.1,0.1). In the next step, we use the threshold $1/C = 1/8$ to obtain the following binary format: (1,1,0,0). Consider that the ground truth of the sample is: (anger, sadness, happiness, the neutral) = (0.4,0.4,0.1,0.1). Since the threshold for the IMPROV (P) corpus is 1/4, the binary format is (1,1,0,0). In this scenario, the prediction is 100% correct.

Table 6 summarizes the cross-corpus macro-F1 results when the test set is created using the MR, PR, AR, PR-MR, and AR-PR labels. The table shows that training the models with the $AR_{Train}$ set leads to better performance on the MR, PR, AR, and AR-PR sets. This evaluation demonstrates that the proposed approach is also beneficial for cross-corpus evaluations.

## 5.3 Evaluation on the Ambiguous Set

We consider our second research question: **does training an SER system with data derived from the all-inclusive rule improve the performance on ambiguous emotions compared to data using the majority or plurality rules?**

### 5.3.1 Performance on the $AR - PR$ Condition

We analyze the results on the $AR - PR$ test condition, which only considers the samples in the AR set that are not included in the PR set. When exclusively using the samples from the $AR-PR$ test condition, Table 5 shows that training with $MR_{train}$ does not show the best performances in 17 out of 18 cases (approximately 94%). We observe significantly better performance for models trained with the $PR_{train}$ set in 10 out of 18 experiments (approximately 56%) when using the $AR - PR$ test condition. Moreover, Figure 3b shows the averaged macro-F1 score of the model trained with the $MR_{train}$ set achieves the worst classification performance. These results show that containing more

TABLE 6: The table shows cross-corpus macro-F1 results of the models trained with the 8-class MSP-PODCAST (P) set used to predict the emotions on the 4-class IMPROV (P) set.

| Test Set | MR | PR | AR | PR-MR | AR-PR |
|---|---|---|---|---|---|
| $MR_{Train}$ | 0.445 | 0.441 | 0.520 | 0.271 | 0.506 |
| $PR_{Train}$ | 0.445 | 0.448 | 0.521 | **0.295** | 0.495 |
| $AR_{Train}$ | **0.458** | **0.459** | **0.523** | 0.276 | **0.520** |

ambiguous data in the train set (i.e., the model trained with either the $PR_{train}$ or $AR_{train}$ set) can improve the performance on sentences with more ambiguous emotions. Therefore, we conclude that only training SER models with the MR set does not help predict ambiguous emotions. Besides, Figure 3 shows that the averaged macro-F1 scores of models trained with the $AR_{train}$ set are significantly better than the ones trained with either the $MR_{train}$ set or the $PR_{train}$ set on both the $AR - PR$ and $PR - MR$ test conditions. We suggest using the AR approach to select the training data for SER tasks.

### 5.3.2 Analysis of the Feature Embeddings

We aim to visualize the embeddings of the models trained by various aggregation rules for sentences with high and low agreements. We take the PODCAST (P) to explore this question. We restrict the analysis to only four emotions for better visualizations: anger, sadness, happiness, and neutral state. We are interested in segments that have either low or high agreements from the test set for the visualization. We define the low and high agreement groups by selecting samples from the test set using the Cohen Kappa statistic [57]. We select the top 2% of the test samples with high agreement, obtaining 21 samples for "sadness," 33 samples for "anger," 97 samples for "happiness," and 139 samples for "neutral." We consider these samples to be representative of emotional speech with high agreement. We also consider ambiguous cases. Our approach is to select the top 2% of the test samples with low agreement. The majority of these samples do not have a clear consensus. We consider sentences that have the following two emotions, indicating in brackets the number of selected samples: anger-neutral (30), sadness-happiness (18), neutral-happiness (67), and anger-sadness (30).

We utilized the *T-distributed stochastic neighbor embedding* (T- SNE) to visualize the data distribution in the feature representation (1,024-dimensional vector) in two-dimensional plots. We visualize the figures with two emotions plus one complex emotion. For example, "anger," "sadness," plus "anger-sadness" (complex emotions). We print the name of the emotion centered around the average values of the sentences for the given class. Figure 4 shows plots for the embedding obtained with the models trained with the $AR_{train}$ and $MR_{train}$ sets. The results for $PR_{train}$, $AR - PR_{train}$, and $PR - MR_{train}$ are omitted for space limitations. The T- SNE plots show some separations between the emotions with high agreements. The samples from the complex samples with two emotions are often located between the emotional samples with high agreements. When we compare the embeddings created with models trained with the $AR_{train}$ and $MR_{train}$ sets, we observe a higher separation between the classes when using the $AR_{train}$ set (see the location of the *name* of the emotions in the plots). We provide further evidence of this result with the silhouette score [58], which is a metric used to compare the quality of the clusters generated in the embeddings. It evaluates how well-separated and distinct the clusters are in the data, ranging from -1 (poor cluster) to +1 (perfect clusters). We extracted the 1,024-dimensional feature representation created by our models when they were trained with sets created with different types of consensus agreement. Table 7 lists the estimated

TABLE 7: Silhouette score of emotional clusters observed on the embeddings. The analysis of the feature embeddings includes the emotion pairs: anger-neutral (ang.-neu.), sadness-happiness (sad.-hap.), neutral-happiness (neu.-hap.), and anger-sadness (ang.-sad.). We highlight in bold the highest silhouette score for each case.

| Case | ang.-neu. | sad.-hap. | neu.-hap. | ang.-sad. |
|---|---|---|---|---|
| $MR_{Train}$ | -0.0366 | 0.4085 | 0.0819 | 0.0819 |
| $PR_{Train}$ | 0.0502 | 0.3994 | 0.1291 | 0.0492 |
| $AR_{Train}$ | **0.0618** | **0.4571** | **0.1369** | 0.1627 |
| $AR\text{-}PR_{Train}$ | -0.1371 | 0.1597 | 0.0166 | 0.2695 |
| $PR\text{-}MR_{Train}$ | -0.1379 | 0.17 | 0.0108 | **0.4395** |

silhouette score with the three clusters: first emotion, second emotion, and the complex sentences with the two emotions. For this analysis, we also include the embedding generated by models trained with the $PR_{train}$, $AR - PR_{train}$, and $PR - MR_{train}$ sets. The Table shows that the model trained with the $AR_{train}$ set achieves the highest silhouette score for the "anger-neutral", "sadness-happiness", and "neutral-happiness" cases. Surprisingly, the model trained with the $PR - MR_{train}$ set achieves the highest silhouette score on the "anger-sadness" case. Overall, the embeddings from the models trained with more ambiguous samples have a higher capability to cluster the complex emotion samples than the model trained with the $MR_{train}$ set.

### 5.3.3 Role of Extra Data Added by the AR Approach

One of the benefits of using the $AR_{train}$ set is the extra amount of data used during training, since it uses every sample in the data, in contrast to the $MR_{train}$ or $PR_{train}$ sets. However, adding extra data is not the only reason for the benefits of this strategy. We conducted experiments to compare models under training sets of similar size using oversampling and undersampling strategies.

We implement the oversampling approach by generating synthetic data. We follow the approach proposed by Pappagari et al. [32] to generate the data until the number of the data is equal to the number used in the $AR_{train}$ set. Table 8 shows the results. The column "Real Data" means the number of original training sets; the column "Synthetic Data" indicates the number of generated synthetic training data. The table reports the performance in each testing set under this setting. The performance of the model trained with the $AR_{train}$ set constantly outperforms the "$MR_{train}$ + synthetic data" and the "$PR_{train}$ + synthetic data." Therefore, the introduction of the samples in the $AR - PR_{train}$ set is indeed helpful in predicting ambiguous samples.

For the undersampling strategy, we also conduct experiments that reduce the training set until the number of samples in the $PR_{train}$ and $AR_{train}$ sets equals the number of data selected by the $MR_{train}$ set. The samples to be removed are randomly selected. Table 8 summarizes the macro-F1 score for each condition. The table shows that training with the $AR_{train}$ set leads to the best performance on the $AR$, $PR - MR$, and $AR - PR$ test sets. We conducted a second undersampling strategy where all the models are trained in two conditions with consistent size across the $MR_{train}$, $PR_{train}$ and $AR_{train}$ strategies. The first condition consists of 20K random data points in



(a) anger-neutral,$AR_{Train}$ (b) anger-neutral,$MR_{Train}$

(c) sadness-happiness,$AR_{Train}$ (d) sadness-happiness,$MR_{Train}$

(e) neutral-happiness,$AR_{Train}$ (f) neutral-happiness,$MR_{Train}$

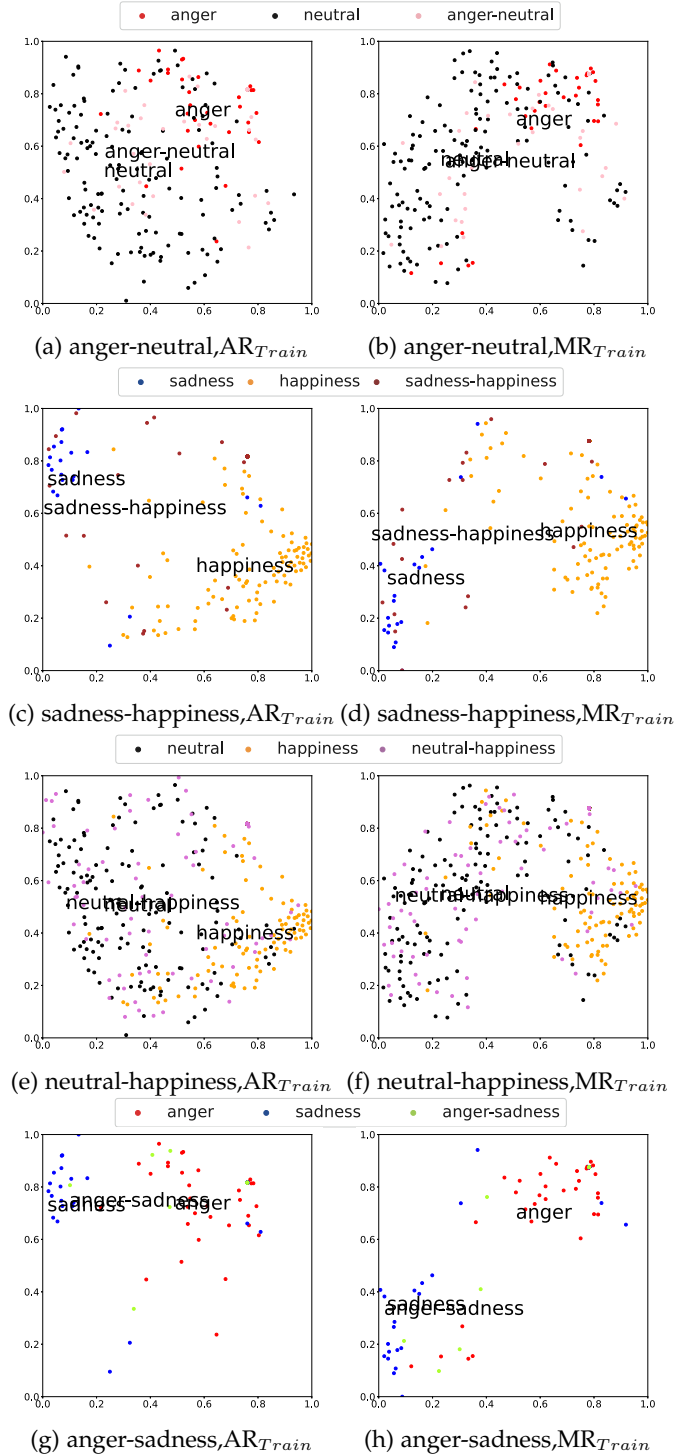(g) anger-sadness,$AR_{Train}$ (h) anger-sadness,$MR_{Train}$

Fig. 4: T-SNE using embeddings generated by the models trained with the $MR_{Train}$ and $AR_{Train}$ sets. The feature embedding analysis includes the emotion pairs: anger-neutral, sadness-happiness, neutral-happiness, and anger-sadness.

the training set selected following the corresponding rules (MR, PR, or AR). The second condition adds 12,831 data points, leading to 32,831 samples in the training set. These 12,831 samples are randomly selected from the rest of the training set, and they do not have to satisfy any consensus criteria. Since not all the 32,831 samples have consensus for

TABLE 8: Analysis of the role of extra data added by the AR approach. The table reports the oversampling approach, which uses data augmentation, and the undersampling approach, which randomly removes samples until the data is consistent.

| Experiments | Train | Real Data | Synthetic Data | Reduce Data | MR | PR | AR | PR-MR | AR-PR |
|---|---|---|---|---|---|---|---|---|---|
| Oversampling | $MR_{Train}$ | 32,831 | 30,245 | 0 | 0.217 | 0.188 | 0.343 | 0.145 | 0.345 |
| | $PR_{Train}$ | 51,243 | 11,833 | 0 | 0.206 | 0.178 | 0.368 | 0.136 | 0.368 |
| | $AR_{Train}$ | 63,076 | 0 | 0 | **0.234** | **0.211** | **0.398** | **0.172** | **0.407** |
| Undersampling | $MR_{Train}$ | 32,831 | 0 | 0 | **0.237** | **0.207** | 0.366 | 0.164 | 0.372 |
| | $PR_{Train}$ | 32,831 | 0 | 18,412 | 0.214 | 0.186 | 0.380 | 0.142 | 0.388 |
| | $AR_{Train}$ | 32,831 | 0 | 30,245 | 0.227 | 0.201 | **0.387** | **0.164** | **0.399** |

TABLE 9: Results for the undersampling strategy that compares training sets with either 20,000 samples, following the corresponding aggregation rules, or 32,831 samples that are formed by randomly adding 12,831 samples regardless of whether they reach or do not reach consensus. The table shows the macro-F1 scores, highlighting the benefit of using the $AR_{train}$ set.

| Train Set | # | MR | PR | AR | PR-MR | AR-PR |
|---|---|---|---|---|---|---|
| $MR_{Train}$ | 20,000 | 0.303 | 0.320 | 0.317 | 0.334 | 0.309 |
| | 32,831 | **0.333** | **0.350** | **0.349** | **0.362** | **0.345** |
| $PR_{Train}$ | 20,000 | 0.336 | 0.375 | 0.377 | 0.404 | 0.382 |
| | 32,831 | **0.350** | **0.391** | **0.394** | **0.424** | **0.404** |
| $AR_{Train}$ | 20,000 | 0.353 | 0.400 | 0.402 | 0.438 | 0.408 |
| | 32,831 | **0.367** | **0.415** | **0.418** | **0.454** | **0.430** |

the MR and PR rules, we train the models using a soft-label learning strategy, using all the samples in the set. Table 9 provides the macro-F1 score when training with both conditions. The table shows that adding more data is always helpful. Interestingly, we consistently observe the highest performance across test settings using the $AR_{train}$ set for both conditions (20,000 and 32,831 sets). These results indicate that the AR method can improve the performance of the SER models by including ambiguous data, where the benefits are not only due to adding more samples.

### 5.4 What is the best label learning for SER?

We consider our third research question: **what is the best label learning strategy for training SER systems when evaluated on the complete test set?**

Figure 5 shows the performance of SER systems trained using various aggregation methods and different label-learning methods. We present these results on the entire test set using the AR approach. Among the label-learning methods, the distribution-label learning strategy, which uses KLD as the cost function, has the lowest performance. Using CE as the loss function results in better SER performance than using KLD. Among the label-learning strategies that use CE, soft-label learning shows better results than hard-label learning. Table 5 reveals that SER systems using soft-label learning outperformed systems using hard-label learning in 17 out of 18 cases (approximately 94%). This result aligns with previous studies, which have shown that representing emotions with soft-encoding and using the CE loss function is a more appropriate label learning strategy for training SER models [6], [7], [12], [59], [60].

Additionally, Figure 6 summarizes the macro-F1 scores for each database when using hard-label learning, soft-label

learning, and distributional-label learning strategies. We only consider the results on the $AR - PR$ test set for better interpretation since these samples are the most emotionally ambiguous. Figures 6a (training with MR), 6b (training with PL), and 6c (training with AR) reveals that the soft-label learning strategy is the most suitable learning method to train SER systems from the existing learning methods to recognize mixed emotions from the ambiguous samples in the $AR - PR$ set.

## 6 CONCLUSION AND FUTURE WORK

This paper investigated the performance of speaker-independent categorical SER systems evaluated on an all-inclusive test set without discarding any data, using our aggregation rule. Our preliminary investigation showed that following the majority or plurality rule discards a significant portion of the annotated test samples, resulting in poor representations of the expected SER performance in realistic scenarios where the classifier must recognize the emotions in all the sentences, with or without consensus. The experiments with the all-inclusive test set showed that using the all-inclusive aggregation rule for defining the ground truth leads to more reliable SER performance, as the test includes more speech samples with lower-agreement annotations. Our results also indicated that the performance of SER models decreases as more ambiguous samples are included in the test set, emphasizing the importance of using the complete test set. Additionally, we found that training with high-agreement data alone cannot help to predict ambiguous emotions. Lastly, our findings showed that among label-learning strategies, soft-label learning leads to the best performance in the entire test set. The averaged SER performance of the model trained with data selected by the all-inclusive aggregation rule is consistently higher than those trained with data selected by the majority or plurality rule on both the incomplete and entire test sets.

Building upon the findings presented in this study, future directions can expand the application of the all-inclusive rule to other subjective tasks. Specifically, we can investigate its effectiveness in the context of *text-to-speech* (TTS) and textless *speech-to-speech translation* (S2ST) systems. For instance, Zhou et al. [61] developed a system to synthesize human voices with mixed emotions. However, the number of emotions is limited, so their emotion embedding has room to improve. By applying the all-inclusive rule, which considers the entire dataset, we anticipate that the TTS system will become more realistic with a broader range of emotional expressions compared to existing methods. Likewise, current S2ST systems do not consider emotional

(a) Training with MR.
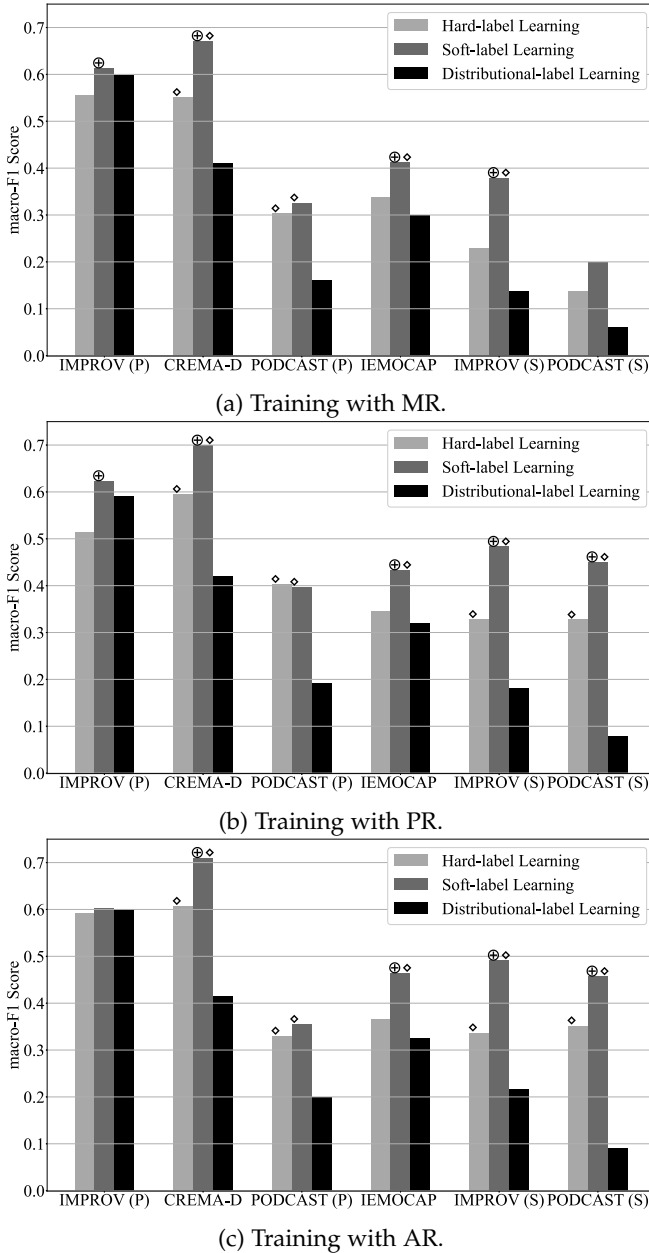


(b) Training with PR.



(c) Training with AR.

Fig. 5: Bar plots illustrate the macro-F1 scores when using hard-label learning, soft-label learning, and distributional-label learning strategies. All models are evaluated with the complete test set aggregated by the AR strategy for each database. We denote ⊕, ‡, and ◇ when a model has significantly better performance than a model training with the hard-label learning, soft-label learning, and distributional-label learning strategies, respectively.



(a) Training with MR.



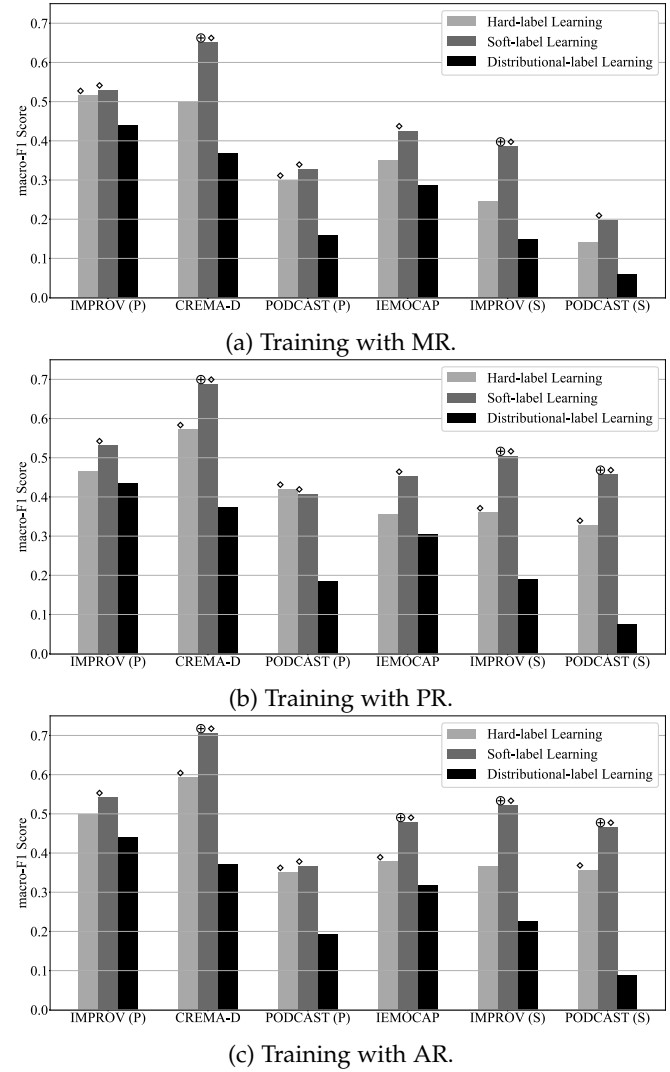(b) Training with PR.



(c) Training with AR.

Fig. 6: Macro-F1 scores for each database when using hard-label learning, soft-label learning, and distributional-label learning strategies. All models are evaluated with the **AR-PR** test set, which includes samples without MR or PR consensus. We denote ⊕, ‡, and ◇ when a model performs significantly better than a model training with the hard-label learning, soft-label learning, and distributional-label learning strategies, respectively.

information [62], [63], which is a critical aspect of natural human conversation. Recognizing the significance of emotions in effective communication, we believe that integrating the all-inclusive rule into S2ST systems can significantly enhance their realism during speech conversion. We intend to investigate all-inclusive approaches for incorporating emotional information into S2ST systems and evaluate the impact when compared to traditional methods such as the majority rule. Besides, we will explore other important

dimensions in addition to accuracy to derive better labels, including reduction of biases, improvement of fairness, and reduction of uncertainty [64], [65]. We expect that by considering all the annotations provided by the workers, we can derive better labels that also address these important dimensions.

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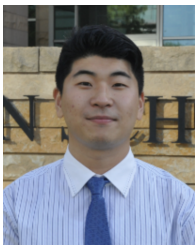
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