

Thermal characterization of ferroelectric $\text{Al}_{1-x}\text{B}_x\text{N}$ for non-volatile memory

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ABSTRACT: Boron (B)-substituted wurtzite AlN ($\text{Al}_{1-x}\text{B}_x\text{N}$) is a recently discovered wurtzite ferroelectric material that offers several advantages over ferroelectric $\text{Hf}_{1-x}\text{Zr}_x\text{O}_2$ and $\text{PbZr}_{1-x}\text{Ti}_x\text{O}_3$. Such benefits include a relatively low growth temperature as well as a thermally-stable, and thickness-stable ferroelectric polarization; these factors are promising for the development of ferroelectric non-volatile random-access memory (FeRAM) that are CMOS-compatible, scalable, and reliable for storing data in harsh environments. However, wurtzite ferroelectric materials may

undergo exacerbated self-heating upon polarization switching relative to other ferroelectric materials; the larger energy loss is anticipated due to the higher coercive field and remanent polarization. This work provides insight into the polarization switching-induced self-heating of future FeRAM based on $\text{Al}_{1-x}\text{B}_x\text{N}$. It was experimentally observed that the thermal conductivity of $\text{Al}_{1-x}\text{B}_x\text{N}$ thin films drops from $40.9 \text{ W m}^{-1} \text{ K}^{-1}$ to $4.35 \text{ W m}^{-1} \text{ K}^{-1}$ (which is two orders of magnitude lower than that of bulk AlN) when the B composition (x) increases from 0 to 0.18. The transient thermal response of an $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ metal-ferroelectric-metal (MFM) capacitor was investigated using micro-Raman thermometry and validated via device thermal modeling. Further simulation studies reveal that the large heat generation rate and the low thermal conductivity is predicted to induce an instantaneous temperature rise that may exceed 150°C in a FeRAM device based on a 5 nm thick $\text{Al}_{1-x}\text{B}_x\text{N}$ film at GHz frequency switching. In addition, thermal crosstalk within a FeRAM cell array exacerbates the self-heating, resulting in a predicted steady-state temperature rise that is an order of magnitude higher than that of a single bit-cell.

Key words: aluminum boron nitride, time-domain thermoreflectance, ferroelectric random-access memory, polarization switching, device self-heating

1. INTRODUCTION

Aluminum nitride (AlN), a wurtzite III-V compound, has been utilized in various technology fields because of its wide bandgap, strong piezoelectric response, high temperature tolerance, low dielectric loss, and well-established growth and etching processes. Alloying has often been used to enhance the functionality of AlN. For instance, $\text{Al}_x\text{Ga}_{1-x}\text{N}$ is the base material for ultraviolet

optoelectronics¹ and wide/ultra-wide electronic devices². Similarly, $\text{Al}_{1-x}\text{Sc}_x\text{N}$ has enabled wide bandwidth acoustic resonators^{3,4}.

Recently, it has been observed that AlN and some of its solid solutions exhibit ferroelectric properties⁵⁻⁷ with large coercive fields ($> 2 \text{ MV cm}^{-1}$) and remanent polarizations ($> 80 \mu\text{C cm}^{-2}$). These values are larger than those for most perovskite- and HfO_2 -based ferroelectric materials^{5,8,9}. Ferroelectric AlN-based solid solutions offer several benefits compared to other ferroelectric materials. First, the low growth temperatures ($< 400^\circ\text{C}$) enable the complementary metal oxide semiconductor (CMOS) back-end-of-line (BEOL) compatibility^{10,11}. Second, the thermal stability of the ferroelectric polarization is better than those for other ferroelectric materials¹² including fluorite and perovskite compounds, that are susceptible to loss of written polarization states when the materials are heated¹³. The wurtzite crystal structure is stable up to the melting temperature ($2200\sim 3000^\circ\text{C}$)¹⁴ and there are no known temperature-driven transitions to a non-polar polytype structure^{15,16}. Third, the strong propensity for texturing during film growth (Figure 1a) results in a minimal reduction in the polarization for nm-range thick films that are necessary to construct highly scaled devices¹⁷.

Wurtzite ferroelectrics are candidates for ferroelectric non-volatile random-access memory (FeRAM) applications¹⁸⁻²⁰, where the direction of ferroelectric polarization is used as a means of binary data storage. FeRAM devices based on lead zirconate titanate (PZT) and strontium bismuth tantalate (SBT) have been commercially available for more than a decade, although their use is currently limited due to the low data density. The scalability of ferroelectric wurtzite materials gives promise to the realization of high data density FeRAM.

Wurtzite-based FeRAM also offers potential advantages for harsh environment operation, especially for space applications. Charge-based data storage technologies are vulnerable to high-

energy cosmic particle irradiation (γ -ray, electrons, protons, etc.) in space²¹. It requires a radiation-hardened microprocessor design which demotes its performance. For instance, RAD750 (which is a radiation-hardened computer system developed 23 years ago) continues to be deployed for new launches. Although several technologies that are robust to irradiation were developed for space applications, their data retention time strongly depends on the ambient temperature. In a spacecraft, temperature regulation can be challenging. Since wurtzite-based FeRAM offers the potential for robustness to irradiation and thermal stability, it is of interest for reliable data storage in space.

Despite all the benefits offered by wurtzite-based FeRAM, there is a noticeable disadvantage. The amount of energy loss that occurs upon polarization switching is expected to be significantly larger than that of PZT or SBT. Polarization switching, or any field excursion that produces irreversible domain nucleation and domain wall motion, in ferroelectric materials is accompanied by energy dissipation²²⁻²⁴. Figure 1b illustrates the energy loss mechanism during polarization switching in wurtzite ferroelectric materials using an energy state diagram. Upon the application of an electric field, dielectric energy arising from the ionic and electronic polarizabilities is stored in the material system without a ferroelectric response. This energy reversibly converts back to electrical energy when the electric field is removed (AlN has an intrinsic dissipation factor smaller than 0.0005²⁵⁻²⁸). In contrast, when the electric field magnitude reaches the material's coercive field (E_c), polarization switching occurs due to the dipolar polarizability. This mechanism requires a large amount of energy since it is accompanied by reconstruction of some of the primary bonds²⁹. Once the polarization is switched, the energy state returns to a stable state by releasing the stored energy as heat. Figure 1c illustrates the energy storage and release mechanisms that occur within a polarization-electric field hysteresis loop (P - E hysteresis loop). The amount of volumetric heat generation (energy loss) from polarization switching can be calculated based on the area inside the

P - E hysteresis loop³⁰. The larger the area, the more energy is lost per cycle. Because the area is approximately proportional to E_c and the remanent polarization (P_r), the volumetric heat generation (per cycle) in wurtzite ferroelectric materials can be two to three orders of magnitude larger than those for conventional ferroelectric materials such as PZT, as shown in the inset of Figure 1c.

Any rise in FeRAM temperature has undesired consequences in terms of device performance and reliability. For example, in PZT capacitors, high ambient temperature conditions (105 ~ 250°C) result in a decrease in the polarization, coercive field, and the polarization (data) retention lifetime, while also leading to an "imprint" in the P - E hysteresis loop that shifts E_c and P_r ³¹. In addition, an increase in the ambient temperature is accompanied by an increase in leakage currents³¹ that can obscure the polarization switching current essential for reading FeRAMs. The relatively low memory density and coercive fields of PZT and SBT render self-heating (caused by polarization switching) to be a modest problem for current FeRAM devices. However, the self-heating effect in wurtzite-based FeRAM devices should be thoroughly investigated, due to their comparatively large energy loss per cycle, to ensure reliable device operation and to perform design optimization.

To study polarization switching-induced self-heating, thermal characterization of $\text{Al}_{1-x}\text{B}_x\text{N}$ thin films grown by a magnetron co-sputtering and metal-ferroelectric-metal (MFM) capacitors fabricated based on these films was performed. Currently, two group III-nitride solid solutions that are candidates for FeRAM applications have been reported: $\text{Al}_{1-x}\text{Sc}_x\text{N}$ and $\text{Al}_{1-x}\text{B}_x\text{N}$. $\text{Al}_{1-x}\text{Sc}_x\text{N}$ allows modification of E_c and P_r by adjusting the alloy composition (x); however, Sc is a comparatively expensive material, and $\text{Al}_{1-x}\text{Sc}_x\text{N}$ films are also prone to contamination by oxygen. $\text{Al}_{1-x}\text{B}_x\text{N}$ utilizes less expensive and fully CMOS-compatible constituents and offers a larger

bandgap energy than $\text{Al}_{1-x}\text{Sc}_x\text{N}$ ³². However, this materials system has not been studied as extensively³³.

The self-heating of an $\text{Al}_{1-x}\text{B}_x\text{N}$ device depends on the thermal conductivity (κ), which dictates the effectiveness of transporting the heat away from the device active region. While the κ of $\text{Al}_{1-x}\text{Sc}_x\text{N}$ has been reported³⁴, experimental data for $\text{Al}_{1-x}\text{B}_x\text{N}$ is lacking. In the first part of this work, the cross-plane thermal conductivity (κ_{\perp} ; along the c -axis) of $\text{Al}_{1-x}\text{B}_x\text{N}$ thin films was measured as a function of B-composition (x), thickness, and temperature. The measurement results were confirmed using a thermal conductivity model based on Callaway's phonon gas theory³⁵⁻³⁷, augmented by a virtual crystal approximation (VCA).

VCA includes a resistive phonon scattering process arising from the chemical disorder associated with the cation sublattice, i.e., phonon-alloy disorder scattering³⁸. In the second part of this work, the self-heating effect in an $\text{Al}_{1-x}\text{B}_x\text{N}$ MFM capacitor induced by polarization switching was characterized using micro-Raman thermometry. Experimental results were validated using a device thermal model. Finally, simulation of a hypothetical high-density $\text{Al}_{1-x}\text{B}_x\text{N}$ FeRAM array was performed to study its transient thermal response. This model was used to interrogate factors that impact the self-heating behavior such as the $\text{Al}_{1-x}\text{B}_x\text{N}$ film thickness, clock speed for data writing, and the thermal crosstalk between adjacent bit-cells.

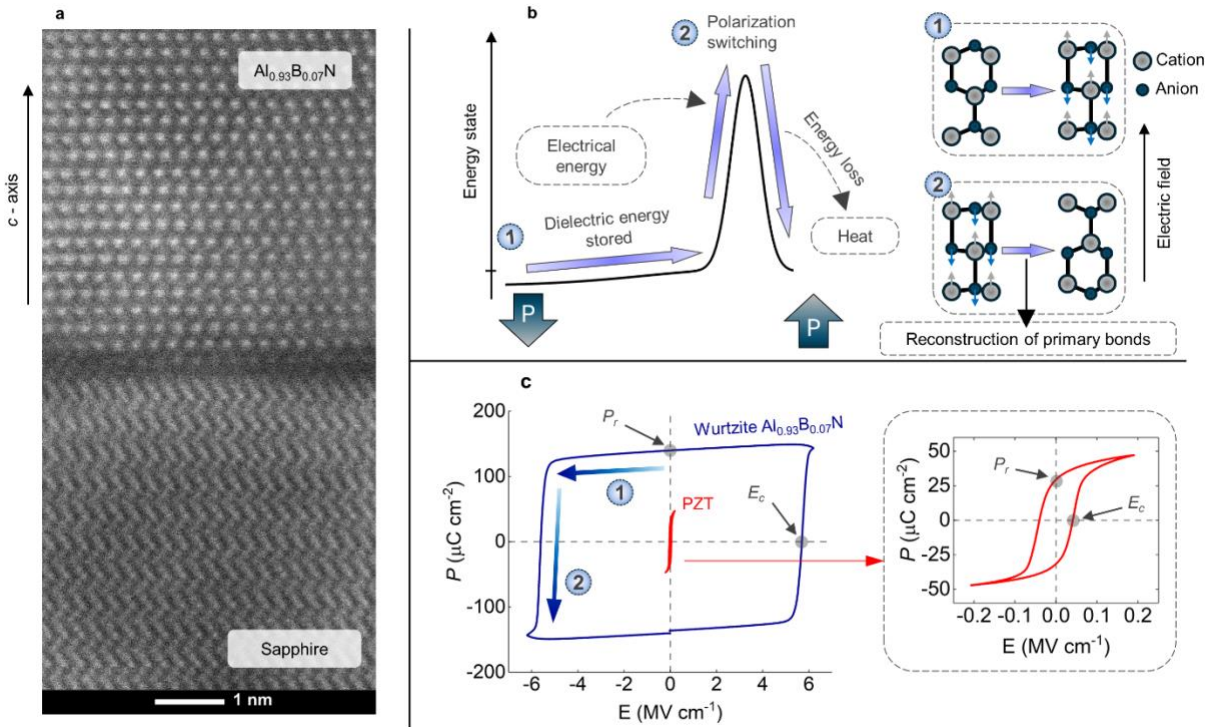


Figure 1. Energy loss and self-heating in ferroelectric wurtzite $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$. **a**, Cross-sectional scanning transmission electron microscopy (STEM) image of $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ grown on a sapphire. **b**, The self-heating mechanism in ferroelectric wurtzite materials via polarization switching. ① When an electric field is applied, dielectric energy is stored by the materials system. ② When the applied electric field reaches the coercive field, a significant amount of electrical energy is absorbed by the material to break and rebuild the primary bonds during the switching process. The absorbed energy is irreversibly converted to the waste heat during this deformation process. The insets on the right show the deformation of the crystal structure during steps ① and ②. **c**, Polarization-electric field hysteresis loops of wurtzite $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ (blue loop) and $\text{Pb}_{0.99}(\text{Zr}_{0.52}\text{Ti}_{0.48})_{0.98}\text{Nb}_{0.02}\text{O}_3$ (PZT-red loop) noted with the remanent polarization (P_r) and the coercive field (E_c) values. The circled numbers correspond to the physical mechanisms illustrated in Figure 1b.

2. HEAT GENERATION INDUCED BY POLARIZATION SWITCHING

As explained above, heat generation via the nucleation of new domains and the motion of domain walls is a characteristic phenomenon for ferroelectric materials. The volumetric energy loss for a round-trip of polarization switching corresponds to the internal area of a P - E hysteresis loop. This area depends on the field sweep frequency (Figure 2a), temperature⁶, defect concentrations, etc. The time-averaged volumetric heat generation rate (g_{avg}) driven by an alternating current (AC) electric field can be expressed as shown in equation 1.

$$g_{avg} = f \oint g(t) dt = f \oint E(t) \cdot J(t) dt = f \oint E(P) dP \quad (1)$$

where $g(t)$ is the volumetric heat generation rate as a function of time, f is the frequency of the AC electric field, and $J(t)$ is the current density. When only polarization switching contributes to the current density, equation 2 is valid. Equation 3 is obtained by substituting $J(t)$ into equation 1.

$$J(t) = \frac{dP}{dt} \quad (2)$$

$$g_{avg} = f \oint E(P) dP \quad (3)$$

g_{avg} can be used to evaluate the steady-state temperature rise of a ferroelectric film driven by an AC electric field. However, it should be noted that in practice, the volumetric heat generation rate is a function of time, $g(t)$. In Figure 2b, $g(t)$ is manifested by a short pulse of heat generation, a product of the measured $E(t)$ and $J(t)$ with respect to time. The $g(t)$ pulse occurs when the applied electric field approaches E_c (5~6 MV cm⁻¹ for Al_{1-x}B_xN)³³ and polarization is switched. The expression $g(t) = E(t) \times J(t)$ assumes that the energy loss is instantaneously manifested by heat generation.

Figure 2c depicts the measured $g(t)$ of a 300 nm thick Al_{0.93}B_{0.07}N layer driven by bipolar triangular electric fields with four different frequencies and peak amplitudes of 6~6.6 MV cm⁻¹. It

is observed that $g(t)$ is more concentrated for higher frequency operation, so that $g(t)$ has a larger peak intensity and a narrower width. When the electric field ramp rate is higher (i.e., shorter rise time), the required E_c is higher and the polarization switching speed is faster; thus the width of $J(t)$ is narrower (equation 2). The larger peak intensity of a heat generation pulse for higher frequency operation is expected to result in a significantly increased instantaneous peak temperature of the ferroelectric film.

It should be noted that the leakage current flowing through the ferroelectric layer can hinder the quantification of heating caused by polarization switching. According to equation 2, a relatively large leakage current vertically expands the hysteresis loop, where the loop area (i.e., the volumetric energy loss) includes a notable contribution from Joule heating. Therefore, the hysteresis loop and polarization switching current data shown in Figure 2 were collected with the following criterion. The amplitude of the AC field was applied to be “ $0.4 \text{ MV cm}^{-1} + E_c$ ” to suppress the leakage current.

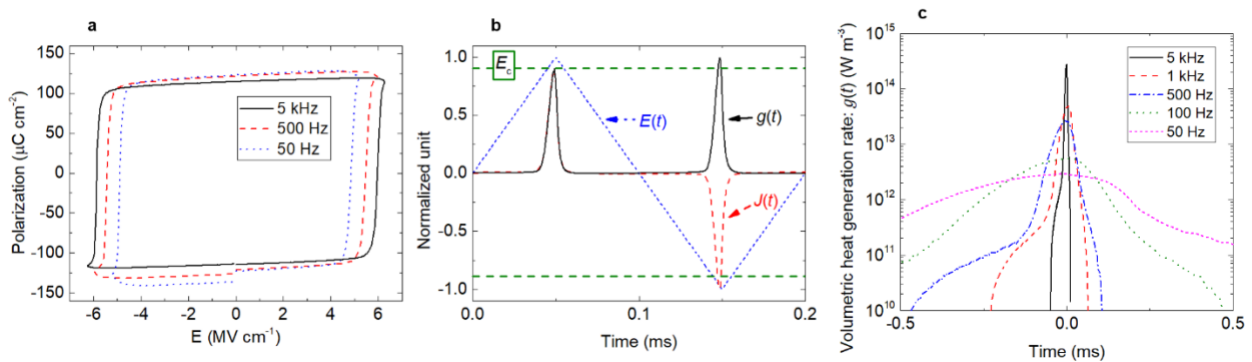


Figure 2. The ferroelectric characteristics of a 300 nm thick $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ film. **a**, Polarization-electric field hysteresis loops. **b**, Measurement of the applied electric field $E(t)$, current density $J(t)$, and the power loss density (volumetric heat generation rate) $g(t)$ for 5 kHz operation. E_c is 5.91 MV cm^{-1} . **c**, Measured time-dependent volumetric heat generation rates for four different operational frequencies.

3. THERMAL CONDUCTIVITY OF $\text{Al}_{1-x}\text{B}_x\text{N}$

The cross-plane thermal conductivity (κ_{\perp}) of 400 nm thick $\text{Al}_{1-x}\text{B}_x\text{N}$ samples with different x was characterized using a time-domain thermoreflectance (TDTR) method. It was reported that ferroelectricity is observed for B-compositions of $0 \leq x \leq 0.19$ ³³. Therefore, six $\text{Al}_{1-x}\text{B}_x\text{N}$ films with an x of 0, 0.05, 0.06, 0.07, 0.12, and 0.18 were prepared via reactive magnetron co-sputtering and characterized. Because of phonon-alloy disorder scattering within the solid solution, it is generally expected that the thermal conductivity will be several orders of magnitude lower than that of AlN and BN. A phonon gas model modified with a virtual crystal approximation (VCA) and phonon-alloy disorder scattering was created to validate and interpret the measurement results. Details are explained in the *Methods* section.

The measured data agrees with the phonon gas modeling results as shown in Figure 3a. The modeling results show that the κ_{\perp} of an AlN film is $42.6 \text{ W m}^{-1} \text{ K}^{-1}$, which is lower than the κ_{\perp} of single crystal bulk AlN due to phonon-boundary scattering³⁴. The κ_{\perp} is further reduced to $20.7 \text{ W m}^{-1} \text{ K}^{-1}$ by incorporating 1% of B into the cationic sublattice ($\text{Al}_{0.99}\text{B}_{0.01}\text{N}$) because of phonon-alloy disorder scattering. The κ_{\perp} of $\text{Al}_{1-x}\text{B}_x\text{N}$ keeps reducing with x and reaches a minimum value of $4.6 \text{ W m}^{-1} \text{ K}^{-1}$ for $x = 0.2$. Device designers should account for this reduction of κ_{\perp} with x to describe device self-heating. The mean value of the measured κ_{\perp} of $\text{Al}_{0.82}\text{B}_{0.18}\text{N}$ is ~15% lower than the modeling result. This is thought to be due to the lower crystalline quality of this film as compared to the other samples. X-ray diffraction (XRD) measurements show that the (0002) peak amplitude and full width at half maximum (FWHM) of the $\text{Al}_{0.82}\text{B}_{0.18}\text{N}$ sample are one order of magnitude lower and 2 times broader, respectively, than those for other $\text{Al}_{1-x}\text{B}_x\text{N}$ samples, as depicted in Figure 3b. In addition, a (1001) peak is observed for the $\text{Al}_{0.82}\text{B}_{0.18}\text{N}$ sample. These

XRD signatures indicate that the c -axis texture of the $\text{Al}_{0.82}\text{B}_{0.18}\text{N}$ film is relatively poor as compared to the other samples.

Figure 3c shows the E_2 (high) phonon frequency of $\text{Al}_{1-x}\text{B}_x\text{N}$ measured by Raman spectroscopy. The E_2 (high) phonon peak position exhibits a blue-shift as x increases. The shift in the phonon frequency agrees well ($< 2\%$ error) with values calculated by the VCA thermal conductivity model described in the *Methods* section (Figure 3d). This reflects that the VCA reasonably calculates the phonon dispersion of $\text{Al}_{1-x}\text{B}_x\text{N}$, including acoustic phonon branches that mainly contribute to the thermal conductivity. It should be noted that the E_2 (high) phonon peak could not be detected for $\text{Al}_{0.82}\text{B}_{0.18}\text{N}$, which is thought to be due to relatively poor c -axis texturing, also manifested in XRD and thermal conductivity (i.e., low κ_{\perp}) measurements.

The thickness of the $\text{Al}_{1-x}\text{B}_x\text{N}$ layer of future FeRAM is expected to be less than 10 nm to keep the device operating voltage at a modest level. For example, switching the polarization with a voltage of 5 V requires the film thickness to be less than 10 nm because the E_c of $\text{Al}_{1-x}\text{B}_x\text{N}$ is larger than 5 MV/cm³³. Since it is not obvious which x will be optimal for FeRAM at this time, the film thickness effect (i.e., phonon-boundary scattering) on κ_{\perp} was evaluated for $\text{Al}_{1-x}\text{B}_x\text{N}$ films with an x of 0.07. The results in Figure 3e show that κ_{\perp} decreases as the film thickness reduces due to an increase in the phonon-boundary scattering rate.

Stable operation under relatively high ambient temperature conditions is one of the most promising benefits of ferroelectric wurtzite nitrides⁹. TDTR measurements were performed to understand the temperature dependence of κ_{\perp} for an $\text{Al}_{1-x}\text{B}_x\text{N}$ film with $x = 0.07$ and the results are shown in Figure 3f. The results show that κ_{\perp} initially increases with temperature and plateaus for temperatures higher than 150 K. Beyond this temperature, phonon transport is mainly restricted by phonon-alloy disorder and phonon-boundary scattering effects instead of other scattering

mechanisms, including phonon-Umklapp scattering. The two main scattering sources are the B-composition (x) and the thickness of the $\text{Al}_{1-x}\text{B}_x\text{N}$ layer, not the ambient temperature. Therefore, κ_{\perp} is weakly temperature dependent within this temperature range. Figure 3g shows model predictions for the κ_{\perp} of $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ with three different thicknesses including a 5 nm case that is relevant to future FeRAM applications. Results indicate that $\text{Al}_{1-x}\text{B}_x\text{N}$ layers to be used in FeRAM devices with a thickness of less than 10 nm will possess a low ($\sim 1 \text{ W m}^{-1} \text{ K}^{-1}$) and constant thermal conductivity above room temperature.

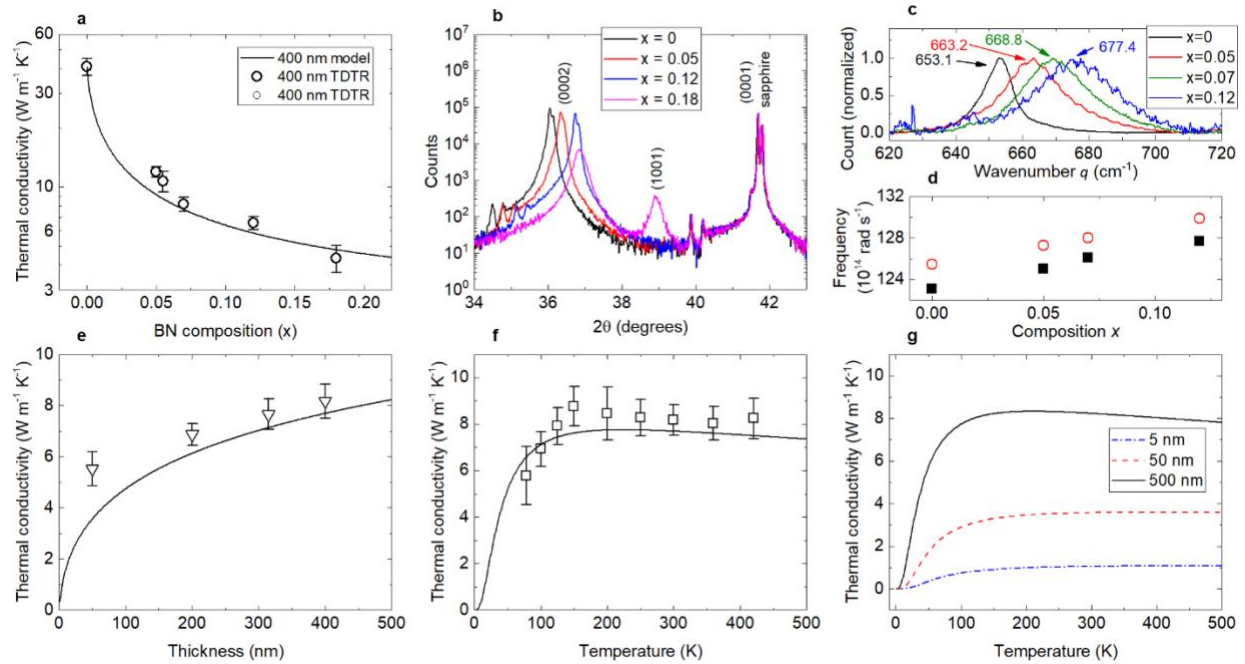


Figure 3. Characterization of $\text{Al}_{1-x}\text{B}_x\text{N}$ layers. **a-d**, Measurements and calculations of 400 nm thick $\text{Al}_{1-x}\text{B}_x\text{N}$ layers with different B-compositions, x : **(a)** cross-plane thermal conductivities, where the symbols and line represent results from TDTR measurements and phonon gas modeling, respectively, **(b)** XRD theta-2theta scan for a range where (0002) and (1001) peaks of $\text{Al}_{1-x}\text{B}_x\text{N}$ can be observed, **(c)** the measured $E_2(\text{high})$ phonon peaks of four $\text{Al}_{1-x}\text{B}_x\text{N}$ films using Raman spectroscopy, and **(d)** the E_2 (high) phonon frequencies measured via Raman spectroscopy (filled squares) and calculated using the thermal conductivity model (open circles). **e-g**, Measurement (symbols) and calculation (line) results of the cross-plane thermal conductivity of $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ as a function of film thickness at room temperature **(e)** and a function of temperature, where film thickness is 400 nm **(f)** or 5 – 500 nm **(g)**.

4. SELF-HEATING OF AN $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ MFM CAPACITOR

Nanoparticle-assisted micro-Raman thermometry was used to measure (i) the time-averaged temperature rise after the baseline temperature reaches a steady-state value (ΔT_{avg}) as well as (ii) the instantaneous peak temperature rise (ΔT_{peak}) of an $\text{Al}_{1-x}\text{B}_x\text{N}$ metal-ferroelectric-metal (MFM) capacitor. The MFM capacitor structure consists of: Pt top-electrode (100 nm) / $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ active layer (300 nm) / W bottom-electrode (100 nm) / sapphire substrate as shown in Figure 4a. While an alternating current (AC) triangular voltage (50 - 7000 Hz) was periodically applied to the MFM capacitor, ΔT_{avg} was measured with a 30 s data acquisition time (laser exposure) to achieve a reasonably high signal-to-noise ratio. On the other hand, the measurement of ΔT_{peak} requires a much shorter data acquisition time (e.g., 2 μs used in this work), and measurement needs to be done at the timing when the temperature reaches a peak value. To accomplish this while accumulating a sufficiently large amount of Raman scattered photons, the triangular AC voltage pulse applied to the MFM capacitor (1% duty cycle) and the laser pulse ($t_{\text{laser}} = 2 \mu\text{s}$) were synchronized and a periodic lock-in modulation scheme was used to increase the signal-to-noise ratio, as illustrated in Figure 4b. The laser pulse delay time (t_{delay}) was controlled to collect temperature information spanning over one period of the triangular voltage pulse. More details of the transient Raman thermometry measurements can be found in the *Methods* section.

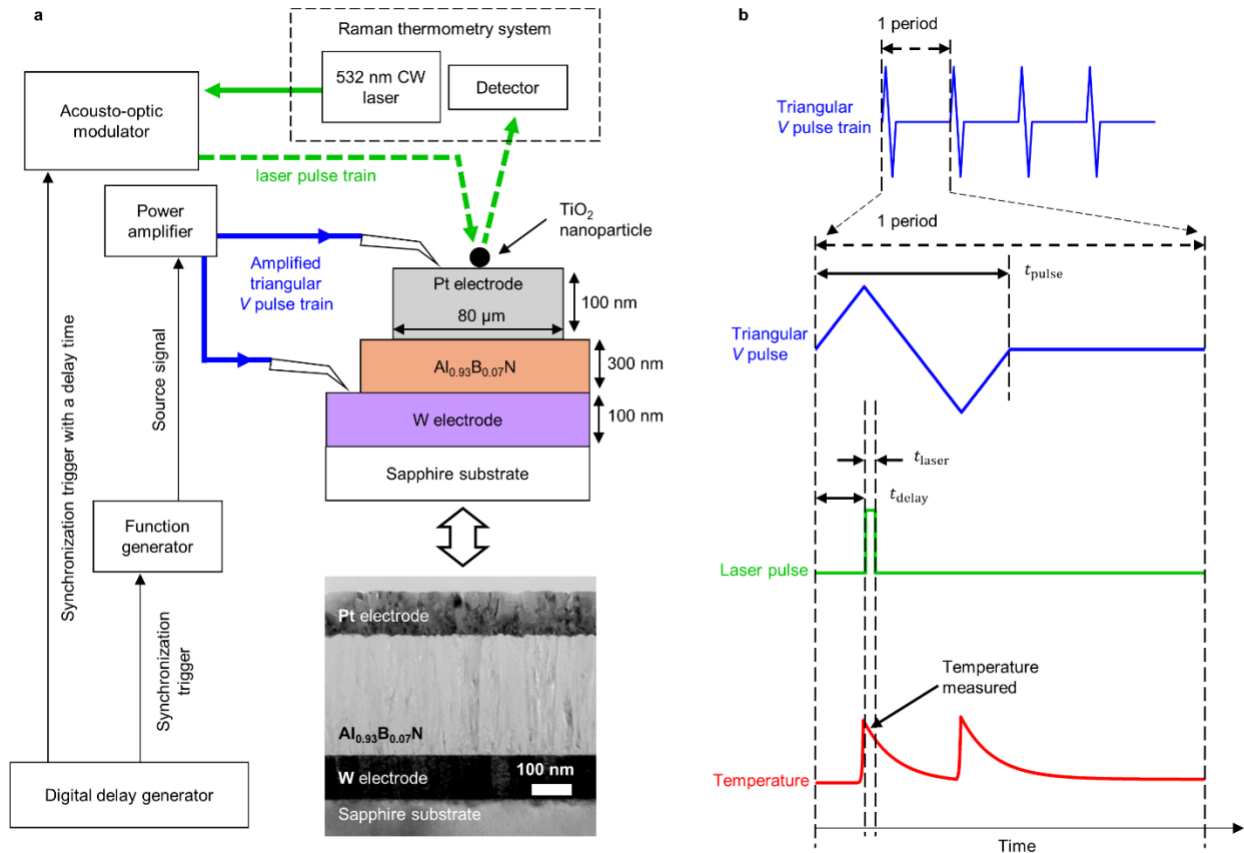


Figure 4. Transient temperature measurement system. **a**, Schematic of the nanoparticle-assisted Raman thermometry measurement setup and a cross-sectional scanning transmission electron microscopy image of the MFM (Pt/ $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ /W) capacitor. **b**, Synchronization between the triangular AC voltage pulse and the laser excitation pulse during transient Raman thermometry measurements to capture the transient thermal response of the device (red line at the bottom). The blue line (top) illustrates a periodic pulse train of a triangular AC voltage applied to the sample. A periodic Raman laser excitation with a pulse width of t_{laser} (green line) is synchronized with the triangular voltage train. The laser time delay (t_{delay}) is controlled to collect temperature information spanning an entire period of the triangular voltage pulse.

A triangular bipolar AC electric field was applied to induce periodic polarization switching in the $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ layer. It should be noted that when a continuous AC electric field is applied, the volumetric heat generation rate, $g(t)$ is a periodic function where the power dissipation pulse exhibits a narrower width and higher amplitude for higher frequencies, as discussed in the *Heat Generation Induced by Polarization Switching* section. This means the transient thermal response of the device will be a superposition of a gradually increasing baseline temperature due to the accumulation of heat (which eventually reaches a steady-state value) and a periodic instantaneous temperature rise that occurs during polarization switching, denoted as ΔT_{avg} and ΔT_{peak} , respectively. Figure 5a shows the transient temperature rise of the MFM capacitor (ΔT) during one period of an applied 5 kHz triangular bipolar electric field, measured via transient micro-Raman thermometry (open symbols). The experimental data are overlaid with calculation results from a device thermal model (black solid line). Details of the 3D finite element analysis (FEA) thermal model that calculates the thermal response of the MFM capacitor in response to the measured $g(t)$ can be found in the *Methods* section. The measured ΔT_{peak} values are lower because (i) each data point acquired via transient Raman thermometry is averaged over $t_{\text{laser}} = 2 \mu\text{s}$ and (ii) heat dissipation through the needle probe in contact with the top electrode is not included in the thermal model. Figure 5b shows ΔT_{avg} analysis under a continuous 5 kHz triangular bipolar electric field. The transient temperature excursion (ΔT , black solid line) and the thermal modeling results of ΔT_{avg} (blue dashed line), as well as the steady-state Raman thermometry results of ΔT_{avg} (red box with diagonal line pattern) are shown in this plot. In Figure 5c, the measured / calculated ΔT_{avg} and the calculated ΔT_{peak} of the $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ MFM capacitor are compared for AC triangular electric field inputs with different frequencies. It is notable that both ΔT_{avg} and ΔT_{peak} increase with the operational frequency and ΔT_{peak} is significantly higher than ΔT_{avg} for a given frequency condition.

It was previously demonstrated that $\text{Al}_{1-x}\text{B}_x\text{N}$ exhibits outstanding data retention up to 200°C ¹². Therefore, the modest self-heating behavior is not expected to negatively impact the retention of the memory state. However, this argument needs to be reassessed for high-density memory devices, as will be discussed in the next section.

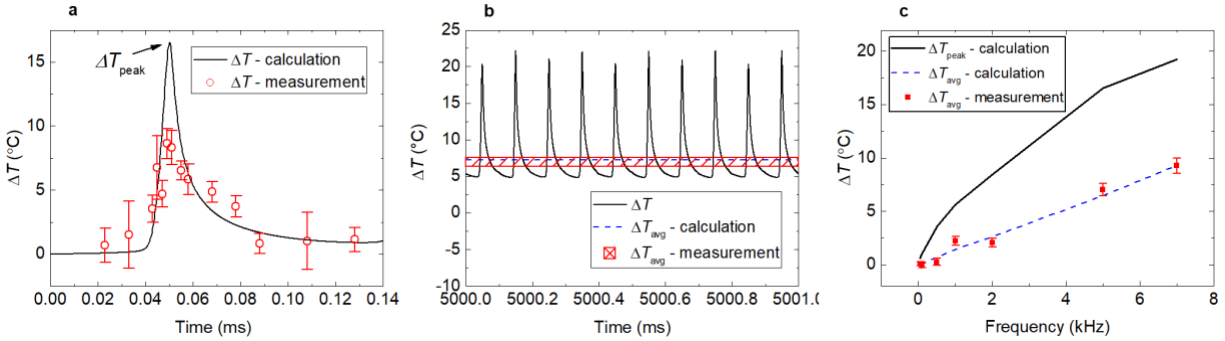


Figure 5. Characterization results of the top electrode temperature of an $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ MFM capacitor. **a**, Transient Raman thermometry (open symbols) and thermal modeling results (black line) in response to 5 kHz AC triangular electric field operation. **b**, The steady-state temperature rise of a MFM capacitor operating under 5 kHz continuous AC triangular electric field measured using Raman thermometry (red box patterned with diagonal lines) and calculated via thermal modeling (blue dashed line). **c**, The instantaneous peak temperature rise (simulation – black solid line) and time-averaged temperature rise (simulation – blue dashed line and experimental values – red symbols) as a function of the operational frequency.

5. SIMULATION OF SELF-HEATING IN A HYPOTHETICAL $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ FERAM DEVICE ARRAY

The analysis of a single MFM capacitor indicates that more intense device self-heating may occur for higher frequency operation in terms of both ΔT_{avg} and the ΔT_{peak} . State-of-the-art random-access memory devices operate at clock speeds greater than 1 GHz¹⁹. This means a single FeRAM

device can experience polarization switching at a frequency up to the clock speed of 1 GHz. A worst-case scenario in terms of self-heating will occur when data is continuously re-written at this clock speed, where the continuous polarization switching maximizes the heat generation rate, $g(t)$. In addition, the ramp rate of the applied electric field is required to be higher than the clock speed as the memory should be written within one clock cycle (reversal of clock speed). As discussed before, the width and amplitude of $g(t)$ and ΔT_{peak} depend on the ramp rate and the GHz-level clock speed is expected to result in a significantly higher ΔT_{peak} than that shown in Figure 5c.

To quantify the self-heating behavior, a thermal model of a hypothetical one-transistor one-capacitor (1T1C) FeRAM based on an $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ layer was created as illustrated in Figure 6a (adapted based on a $\text{Hf}_{0.5}\text{Zr}_{0.5}\text{O}_2$ FeRAM device configuration reported in the literature^{39,40}). The $\text{Hf}_{0.5}\text{Zr}_{0.5}\text{O}_2$ ferroelectric layer was replaced by a 5 nm thick $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ film so that the operating voltage can be kept below ~ 5 V. Modeling was performed for two different clock speeds: a 250 MHz case that represents space applications and a 2 GHz case that represents a modern CPU architecture. In terms of space applications, the latest radiation-hardened microprocessors are RAD750 and GR740 with clock speeds of 200 MHz and 250 MHz, respectively. The former was utilized in the James Webb space telescope in 2021 and the latter was released by the European space agency in 2020.

First, the transient thermal response of the 1T1C FeRAM device was analyzed when driven by a single polarization switching event. This contrasts to a worst-case scenario where polarization switching occurs during every clock cycle. The $g(t)$ for the 250 MHz and 2 GHz clock speeds could not be measured because of limitations associated with the experimental apparatus used in this work; instead, the $J(t)$ and $E(t)$ were calculated for $g(t)$. As discussed earlier, the width of $J(t)$ is determined by the electric field ramp rate. The peak amplitude and FWHM of $J(t)$ were

extrapolated, assuming a linear relationship with the operational frequency, where measurement data were collected within a 50 Hz - 7 kHz range, as shown in Figure 6b. The extrapolation results for the FWHM of $J(t)$ are 193.7 ps and 24.2 ps for the 250 MHz and 2 GHz clock speeds, respectively. The peak amplitudes for the 250 MHz and 2 GHz clock speeds are 1.2 and 9.9 of 10^6 A cm⁻², respectively. It should be noted that there may be lower and upper bounds for the FWHM and peak amplitude, respectively due to an intrinsic limitation in the speed of polarization switching. Under such case, $g(t)$ will be less concentrated (i.e., the FWHM and peak amplitude of $J(t)$ will be wider and smaller, respectively) than the extrapolated value. Also, the clock speed has an upper limit due to the time required to charge the capacitor (RC time constant = $R \times C$, where R is the resistance and C is the capacitance⁴¹). If the RC time constant is longer than the period of the clock speed, the polarization may not be fully switched. The R includes both internal and parasitic resistances associated with the device test circuit. To evaluate the RC time constant of the hypothetical Al_{0.93}B_{0.07}N FeRAM device, a previously reported parasitic specific contact resistance of Al_{0.7}Sc_{0.3}N ($R_c \cdot A \sim 0.016 \Omega \text{ cm}^2$) and a total internal resistance for their measurement system ($R_i = 104 \Omega$) were adopted⁴², where A is the contact area of the top electrode. From the measured P-E loops, the relative permittivity (ϵ_r) was determined to be 13.6. The C is $\epsilon_r \epsilon_0 \cdot A/t$, where ϵ_0 is the vacuum permittivity and t is thickness of the Al_{0.93}B_{0.07}N layer. Then, the C and R_c are calculated as ~ 0.014 pF and $2.7 \text{ M}\Omega$ with $A = 6 \times 10^{-9} \text{ cm}^2$ of the FeRAM model. Since the R_i mentioned above is negligible, the RC time constant for this geometry is ~ 38 ns, which is two orders of magnitude larger than the time period for 2 GHz operation. This indicates that a two orders of magnitude lower $R_c \cdot A$, than a currently achievable value⁴² is necessary to accomplish a 2 GHz clock speed; it is likely that an alternative layout could mitigate this problem somewhat.

To estimate the E_c of the 5 nm thick $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ film for high frequency (250 MHz and 2 GHz) operation, the E_c of a 226 nm thick $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ film was measured using a triangular bipolar AC field with a frequency (f) range 100 Hz ~10 kHz. This sample adopts the same electrode scheme as the MFM capacitor tested in the *Self-Heating of an $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ MFM Capacitor* section (i.e., 100 nm thick Pt top electrode and 100 nm thick W bottom electrode), but employs a different substrate (Si). A fitting model was built based on Ishibashi and Orihara's power-law dependence of E_c on the operational frequency^{43,44} as described in equation 4.

$$E_c(f) = K(f \cdot [\text{Hz}^{-1}])^\beta \quad (4)$$

The K and β were determined to be 4.69 MV cm⁻¹ and 0.033, respectively, by fitting equation 4 to the E_c values measured at room temperature (295.15 K). This value of β is comparable to those reported for a 100 μm thick 0.71Pb(Mg_{1/3}Nb_{2/3})O₃-0.29PbTiO₃ film ($\beta = 0.04522$) and a 300 nm thick PZT film ($\beta = 0.05$), and smaller than a value reported for a 342 nm thick SBT film ($\beta = 0.12$)^{44,45}. Equation 4 predicts (extrapolates) the E_c to be 8.7 and 9.4 MV cm⁻¹ under 250 MHz and 2 GHz clock speed operations, respectively. Note that the clock speed is twice of f because there are two triangular pulses during each cycle of a bipolar AC field.

The E_c of $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ is known to be a function of temperature.⁶ Since $g(t)$ linearly scales with the E_c for a given $J(t)$, it is necessary to quantify the relation between E_c and temperature. The temperature dependence of E_c can be approximated using the Arrhenius equation (equation 5), where A_0 is a pre-exponential factor, E_a is the pseudo-activation energy for the polarization switching, and k_B is Boltzmann constant.

$$E_c(T) = A_0 \exp\left(\frac{E_a}{k_B T}\right) \quad (5)$$

The E_c and P_r of the 226 nm thick $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ capacitor were measured at $f = 1$ kHz for a temperature range of 22°C – 236°C. The E_c decreased with temperature as shown in Figure 6b. By

fitting the measurement results to equation 5, the E_a was determined to be 15.5 meV. To express E_c as a function of both f and T , equation 4 (at 295.15 K) and equation 5 were combined such that E_c can be approximated as equation 6.

$$E_c(f, T) = K f^\beta \exp \left[\left(\frac{E_a}{k_B} \right) \left(\frac{1}{T} - \frac{1}{295.15 \text{ K}} \right) \right] \quad (6)$$

P_r is also expected to be a function of temperature such that $J(t)$ is temperature dependent according to equation 2. The remanent polarization in the wurtzite ferroelectric materials decreases extremely slowly as a function of temperature, since there is no known Curie temperature before decomposition or melting. In summary, the aforementioned relations for E_c and $J(t)$ were used to calculate $g(t)$, which is a crucial input for the thermal modeling, as a function of both frequency and temperature. Figure 6c shows the transient thermal response of a 1T1C FeRAM device in response to the $g(t)$ determined above for a single 250 MHz or 2 GHz triangular electric field pulse input. As discussed in the *Thermal Conductivity of $Al_{1-x}B_xN$* section, the κ_\perp of a 5 nm thick $Al_{0.93}B_{0.07}N$ layer is predicted to be $\sim 1 \text{ W m}^{-1} \text{ K}^{-1}$. For switching at 2 GHz, the low κ_\perp and the large peak amplitude of $g(t)$ lead to a large instantaneous ΔT_{peak} that reaches up to $\sim 83^\circ\text{C}$, which is an order of magnitude higher than the ΔT_{peak} for the MFM device analyzed in the *Self-Heating of an $Al_{0.93}B_{0.07}N$ MFM Capacitor* section. On the other hand, ΔT_{peak} under 250 MHz operation is $\sim 23^\circ\text{C}$.

Next, the impact of thermal crosstalk on the self-heating of a 2D FeRAM cell-array was interrogated. Thermal crosstalk refers to the amplified self-heating in one section of a high-density device array (as compared to the case of a single isolated device) due to the generation of heat in a nearby section; the adjacent heat generation forms a periodic thermal boundary condition that hinders lateral spreading of the generated heat⁴⁶. To quantify this effect in an $Al_{0.93}B_{0.07}N$ FeRAM array, the 1T1C FeRAM device model was duplicated to form a periodic cell-array. The steady-state ΔT_{avg} of the hottest center bit-cell was calculated for several cell array sizes for the 250 MHz

and 2 GHz clock speeds, as shown in Figure 6d. Each bit-cell unit is assumed to have an area of $1 \mu\text{m} \times 3 \mu\text{m}$, and the spacing between them is presumed to be $1 \mu\text{m}$. The worst-case scenario of device self-heating is assumed, where data writing (i.e., polarization switching) occurs every single clock cycle to evaluate the highest possible ΔT_{avg} . Figure 6e shows that ΔT_{avg} increases with the number of cells in an array and can reach up to $\sim 293^\circ\text{C}$, which is ~ 3 times higher than that for a single element at the same clock speed of 2 GHz. Although wurtzite $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ is known to be thermally stable, high temperature operation can sometimes be undesirable in terms of device reliability. The electrical breakdown field of $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ was reported to reduce slowly with temperature.⁶ In addition, it was reported that the leakage current flowing through a 15 nm thin $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ layer increases at high temperatures.⁴⁷ This will exacerbate device self-heating via the increase in the Joule heating. Finally, the ferroelectric fatigue rate of $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ was shown to increase with temperature. For instance, the number of polarization switching cycles resulting in fatigue failure was shown to reduce to $\sim 16\%$ when the temperature is increased from room temperature to 200°C .⁴⁸ On the other hand, the ΔT_{avg} for a clock speed of 250 MHz is $\sim 43^\circ\text{C}$ because g_{avg} and the amplitude of $g(t)$ are only $\sim 12\%$ of those for 2 GHz operation. The ΔT_{peak} under the 250 MHz operation is $\sim 23^\circ\text{C}$, which is a manageable temperature given the high temperature stability of wurtzite ferroelectric materials¹². It should be noted that these results represent those for a worst-case scenario and the actual thermal response of a real FeRAM device will depend on several design factors including the bit-cell unit size, the distance between them⁴⁶, the ramp rate of the electric pulse input, and the actual data re-writing rate. For FeRAM technologies based on AlN solid solutions to be used in applications that require GHz-level high speed computation, electro-thermal co-design techniques (such as high thermal conductivity substrate heterogeneous integration)⁴⁹ may need to be employed to mitigate device self-heating.

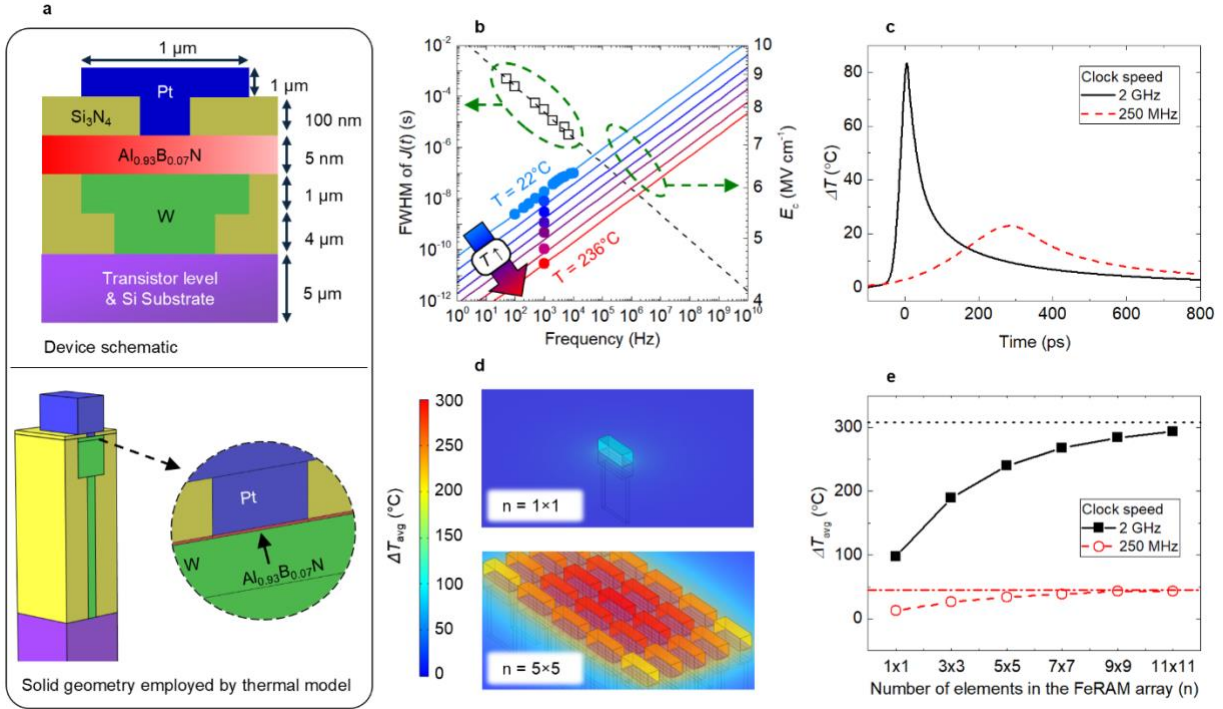


Figure 6. Simulation of high density FeRAM cell array. **a**, Cross-sectional schematic of the hypothetical 1T1C FeRAM device structure that was investigated via thermal modeling. **b**, The FWHM of the current density ($J(t)$) and the coercive field (E_c) as a function of operational frequency and temperature. The dashed and solid lines are best fits to the measured FWHM (open squares) and E_c (solid circles), respectively, under various (relatively low) frequency levels. **c**, The transient temperature excursion of the $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ layer in a 1T1C FeRAM device driven by a single polarization switching event under 2 GHz and 250 MHz clock speeds. **d**, Thermal modeling results of a single isolated FeRAM device and a 5×5 device array for a clock speed of 2 GHz. **e**, The impact of thermal crosstalk on the steady-state temperature rise (ΔT_{avg}) of device arrays with different sizes (i.e., number of bit-cells). The solid and opened symbols are simulation results for ΔT_{avg} for 2 GHz and 250 MHz clock speeds, respectively. The dotted black and red dash-dotted asymptote lines represent the calculated ΔT_{avg} values for 2 GHz and 250 MHz clock speeds, respectively when the number of arrayed devices (n) is infinity.

6. CONCLUSIONS

The thermal conductivity of $\text{Al}_{1-x}\text{B}_x\text{N}$ thin films was determined as a function of B-composition, film thickness, and ambient temperature using TDTR. The measurement results were validated using a model based on Callaway's phonon gas theory augmented by a virtual crystal approximation (VCA) to account for phonon-alloy disorder scattering. The transient and steady-state self-heating in an $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ metal-ferroelectric-metal (MFM) capacitor caused by polarization switching was characterized using nanoparticle-assisted Raman thermometry. The experimental results were validated using a finite element analysis (FEA) device thermal model that employs the measured thermal properties and the heat generation rate determined from ferroelectric hysteresis measurements. It was found that more intense self-heating occurs with an increase in the operational frequency because the heat generation rate becomes more concentrated within a shorter time period. Simulation results for hypothetical high-density FeRAM arrays show that thermal crosstalk among bit-cells further exacerbates device self-heating. The experimental and simulation results from this work reveal that the low thermal conductivity (for sub-10 nm thick films) and the large amount of heat generated during polarization switching (due to large E_c and P_r) may render $\text{Al}_{1-x}\text{B}_x\text{N}$ -based FeRAM devices prone to thermal reliability issues, for reversal at GHz-level clock speeds. However, the amount of heating drops rapidly as the frequency for polarization reversal is reduced.

7. METHODS

7.1. Film growth, characterization, and device fabrication

$\text{Al}_{1-x}\text{B}_x\text{N}$ thin films were deposited on sapphire substrates at 300°C via reactive magnetron co-sputtering, using argon and nitrogen as the process gases and 2" diameter Al (99.999% purity, Kurt

J. Lesker) and 2" diameter BN (99.5% purity, Plasmaterials) as the cathodes. Al was sputtered using pulsed DC excitation (100 kHz and 1.53 μ s pulse width) at a time averaged power of 250 W. BN was sputtered using radio frequency (RF) excitation at a time averaged power of 0 ~200 W depending on the targeted $Al_{1-x}B_xN$ composition. The chamber base pressure was $\sim 5 \times 10^{-8}$ Torr. The films were grown at a pressure of 2 mTorr.

Metal-ferroelectric-metal, MFM, capacitors were fabricated in the same chamber. The W bottom electrode and the top Pt electrode were sputtered *in situ*. As described above, a 300 nm and 226 nm thick $Al_{0.93}B_{0.07}N$ layers were deposited on the W bottom electrode [100 nm] grown on a sapphire substrate and Si substrate, respectively, at 300 °C.

The film structural parameters were evaluated using a Malvern Panalytical Empyrean X-ray diffractometer (XRD) equipped with a Bragg-Brentano^{HD} incident optics and a PIXcel3D solid state detector with programmable anti-scatter slit (PASS) diffracted beam optics. Symmetric θ - 2θ scans in the Bragg-Brentano geometry were used to assess phase purity and film orientation.

The $Al_{0.93}B_{0.07}N$ crystal structure and orientation were imaged using a Titan^{3TM} G2 60-300 STEM system with a 0.7 Å of resolution. The samples were prepared with a focused ion beam milling system.

7.2. Thermal property measurement

TDTR was used to characterize the $Al_{1-x}B_xN$ thin films. TDTR is a laser-based pump-probe method where the temperature rise of the specimen in response to pump laser heating is detected using a probe laser reflected from the sample surface⁵⁰. The thermorefectance effect can be described as:

$$\Delta R \approx C_{TR} \Delta T$$

where R is the reflectance of a metal transducer deposited on the sample surface, C_{TR} is the thermoreflectance coefficient, and ΔT is the temperature rise. The focused pump and probe beam spot diameters (18 and 9.5 μm , respectively) were measured using a scanning-slit optical beam profiler (Thorlabs BP2089-VIS). The TDTR signal represents the transient temperature excursion of a sample surface after the pump laser pulse hits the surface, leading to optical heating of the metal transducer. A mechanical delay stage was used to manipulate the timing of the incident probe pulse and the transient thermal response to the pump laser heating was captured. The temperature excursion data was fit to a transient heat diffusion model to extract the κ_{\perp} . Thermal properties other than κ_{\perp} that were used in the model are summarized in Table 1. Flint FL2-12 (Light Conversion) was used to generate a 1035 nm pulsed laser, and a part of this laser light was converted to a 518 nm pulsed laser by passing through a BiB_3O_6 crystal that results in second-harmonic generation. The 1035 nm laser was used as a probe and the 518 nm laser was used as the pump. The pump laser pulse was modulated with a frequency of 7 MHz using an electro-optic modulator and detected using a lock-in amplifier (Zurich Instruments UHFLI.)

Aluminum (Al) metal transducers were deposited on the $\text{Al}_{1-x}\text{B}_x\text{N}$ sample surfaces to perform the TDTR measurements since Al offers a C_{TR} appropriate for the 1035 nm probe laser⁵¹. A transducer thickness of 80 nm was selected to maximize the signal-to-noise ratio and the measurement sensitivity to the $\text{Al}_{1-x}\text{B}_x\text{N}$ thermal conductivity. Deposition of the Al metal transducer was performed using electron beam evaporation. Aluminum (purity 99.999%) metal pieces were placed in a water-cooled crucible centered under the electron beam. The chamber base pressure was 10^{-8} Torr, and the working distance between the metal source and the substrate was 60 cm. Samples were fastened to the puck using double sided tape and regions of each sample surface were masked using Kapton tape. The deposition rate and film thickness were measured *in*

situ using a quartz crystal microbalance (QCM). The targeted deposition thickness was 80 nm and the deposition rate was 7 nm/min. Bare, *c*-plane, single side polished sapphire substrates (10×10mm) were loaded in the deposition chamber. The resulting metal films served as witness samples for the transducer films.

The film density, thickness, and roughness of the witness samples were estimated by X-ray reflectivity (XRR). Fitting of the XRR data determined that the Al metal transducers were 77 nm thickness.

Table 1. Thermal properties adopted for TDTR data fitting process

	Al	Al _{1-x} B _x N	Sapphire
c_v (10^6 J m ⁻³ K)	Ref. ⁵²	Interpolation with Ref. ^{53,54}	
κ_{\perp} (W m ⁻¹ K ⁻¹)		This work	Ref. ⁵⁵
κ_{\parallel} (W m ⁻¹ K ⁻¹)	Ref. ⁵⁶	This work (model calculation)	

c_v is the volumetric heat capacity, κ_{\perp} is the cross-plane thermal conductivity (along the *c*-axis), and κ_{\parallel} is the in-plane thermal conductivity (across the *c*-plane).

7.3. Device thermal imaging

Nanoparticle-assisted Raman thermometry²³ was used to perform *in situ* temperature measurement of the Al_{1-x}B_xN MFM capacitors during polarization switching. Because the top metal electrode hinders acquisition of a Raman signal, anatase TiO₂ nanoparticles (99.98% purity) were deposited onto the device, serving as surface temperature probes. The size of the nanoparticles (or nanoparticle agglomerates), which dictates the spatial resolution of this method, ranged from 0.2 to 1 μm. During device operation, the peak shift of the E_g mode of the TiO₂ nanoparticles was used to deduce the surface temperature rise. The relationship between

temperature and the E_g phonon frequency ($\sim 143 \text{ cm}^{-1}$ at room temperature) of the TiO_2 agreed with reported results⁵⁷. A Horiba LabRAM HR Evolution Raman microscope equipped with a 532 nm laser, a grating with 1800 grooves/mm, and a long working distance 50 \times objective lens was used for these measurements. The incident laser power was adjusted ($< 0.5 \text{ mW}$) to prevent laser-induced heating of the top electrode and nanoparticles. For time-averaged temperature (ΔT_{avg}) measurements, a continuous wave (CW) laser was used without modulation and synchronization. The ΔT_{avg} data were collected over a 30 s acquisition time while a continuous triangular AC voltage was applied to the MFM capacitor for polarization switching. For transient temperature measurements, the CW laser was modulated into a 2 μs width square pulse train using an acousto-optic modulator (AA Opto-electronic MODA 180). For synchronization (Figure 4b), the voltage pulses for polarization switching were also modulated into a 200 μs width triangular pulse train using a function generator (Stanford Research System DS345). The acousto-optic modulator and function generator were synchronized by a digital delay generator (Stanford Research System DG535), which also controls the time delay between a voltage pulse and a laser pulse (t_{delay} in Figure 4b). The synchronized laser and voltage pulses were generated using a 50 Hz repetition rate. More details of the transient Raman thermometry setup can be found in reference⁵⁸. After data acquisition during a prolonged time duration, the top electrode of some of the MFM capacitors underwent visible degradation (near the edge of the electrode). This degradation phenomenon caused by electric field concentration has been reported for $\text{Al}_{0.93}\text{B}_{0.07}\text{N}$ MFM capacitor in a previous work⁴⁸.

7.4. Thermal conductivity modeling

The measured κ_{\perp} was evaluated using Callaway's phonon gas model³⁵⁻³⁷ modified with a virtual crystal approximation (VCA) to account for the effect of phonon-alloy disorder scattering³⁸. VCA assumes that the $\text{Al}_{1-x}\text{B}_x\text{N}$ solid solution possesses an ordered virtual crystal structure with phononic properties that are weighted averages of wurtzite AlN and wurtzite BN based on the composition, x . In the VCA adopted here, the overall dispersion relationship of $\text{Al}_{1-x}\text{B}_x\text{N}$ phonon energy (ω) was calculated as $\omega_{\text{Al}_{1-x}\text{B}_x\text{N}} = \left(\frac{x}{\omega_{\text{BN}}^2} + \frac{1-x}{\omega_{\text{AlN}}^2} \right)^{-1/2}$. The ω_{BN} and ω_{AlN} values employed here are first-principles calculation results reported elsewhere^{59,60}. The E_2 (high) phonon energy calculated here shows less than 2% error compared to the measured E_2 (high) phonon energy by Raman spectroscopy for $x = 0, 0.05, 0.07, 0.012$ (see the *Thermal Conductivity of Al_{1-x}B_xN* section). This reflects that the ω calculation is valid for $\text{Al}_{1-x}\text{B}_x\text{N}$ within the range of x studied. In this model, κ_{\perp} is calculated for acoustic phonon branches (i), as shown in equations 7 and 8:

$$\kappa_{\perp} = \sum_i \kappa_i \quad (7)$$

$$\kappa_i = \frac{k_{\text{B}}^4}{6\pi^2 \hbar^3 v_i} T^3 \times \left[\int_0^{\frac{\theta_{\text{D},i}}{T}} X^4 \frac{e^X}{(e^X - 1)^2} \tau_i^{\text{C}} dX + \frac{\int_0^{\frac{\theta_{\text{D},i}}{T}} X^4 \frac{e^X}{(e^X - 1)^2} \frac{\tau_i^{\text{C}}}{\tau_i^{\text{N}}} dX}{\int_0^{\frac{\theta_{\text{D},i}}{T}} X^4 \frac{e^X}{(e^X - 1)^2} \frac{\tau_i^{\text{C}}}{\tau_i^{\text{N}} \tau_i^{\text{R}}} dX} \right] \quad (8)$$

where k_{B} is Boltzmann's constant, \hbar is Planck's constant, T is temperature, $\omega_i(q)$ is the phonon frequency for a given branch as a function of the wave number (q), v_i is the sound velocity defined as $d\omega_i(q)/dq$, $\theta_{\text{D},i}$ is the Debye temperature defined as $\hbar\omega_{\text{D},i}/k_{\text{B}}$, and $X = \hbar\omega_i/k_{\text{B}}T$. As the cross-plane thermal conductivity is of primary interest, the phonon dispersion relationship used in the calculation only accounts for phonon branches that propagate parallel to the c -axis, as reported elsewhere^{54,60}. τ_i^{R} is the resistive phonon relaxation time considering phonon-Umklapp (τ_i^{U}),

phonon-boundary (τ^B), phonon-point defect (τ^P), and phonon-alloy disorder (τ^A) scattering processes. The normal phonon scattering time for non-resistive scattering processes is τ^N . The effective phonon scattering rate ($1/\tau^C$) accounting for both resistive and non-resistive scattering processes is calculated based on Matthiessen's rule as shown in equations 9 and 10.

$$\frac{1}{\tau_i^R} = \frac{1}{\tau_i^U} + \frac{1}{\tau_i^B} + \frac{1}{\tau_i^P} + \frac{1}{\tau_i^A} \quad (9)$$

$$\frac{1}{\tau_i^C} = \frac{1}{\tau_i^R} + \frac{1}{\tau_i^N} \quad (10)$$

The phonon relaxation times were calculated according to equations 11-14.

Phonon-Umklapp scattering (i.e., the resistive three-phonon scattering process) plays a major role in reducing the thermal conductivity at high temperatures because of the increased scattering rate. The phonon-Umklapp scattering rate for the i^{th} phonon branch is expressed as:

$$\frac{1}{\tau_i^U} = \frac{\hbar \gamma_i^2 \omega_i^2 T}{M v_i^2 \theta_D^i} e^{-\frac{\theta_{D,i}}{3T}} \quad (11)$$

where γ_i is the Grüneisen parameter for the i^{th} phonon branch and M is the average atomic mass (e.g., for AlN, $M = (26.982+14.007)/2$ g/mole).

The normal phonon scattering rate is determined by the following expression with a set of coefficients (a, b) and the average atomic volume V_o ($= M \times \text{density}$). The sets of coefficients are (2, 1) for the longitudinal acoustic phonon branch and (1, 1) for the transverse mode, respectively³⁷.

$$\frac{1}{\tau_i^N} = \left(\frac{k_B}{\hbar}\right)^{a+b} \frac{\hbar \gamma_i^2 (V_o)^{\frac{a+b-2}{3}}}{M v_i^{a+b}} \left(\frac{\hbar \omega}{k_B T}\right)^a T^{a+b} \quad (12)$$

where V_o is the average atomic volume ($M \times \text{density}$). As γ_i of $\text{Al}_{1-x}\text{B}_x\text{N}$ alloys has not been reported, $1/\tau_i^U$ and $1/\tau_i^N$ of $\text{Al}_{1-x}\text{B}_x\text{N}$ were found by applying Vegard's law to those of wurtzite AlN and BN.

The phonon-boundary scattering time is defined as the lifetime of acoustic phonons propagating across the thickness (d) of the sample at the sound velocity (v_i).

$$\frac{1}{\tau_i^B} = \frac{v_i}{d} \quad (13)$$

With regards to phonon-point defect scattering, both impurity elements and vacancies are treated as point defects. The scattering time was calculated as:

$$\frac{1}{\tau_i^P} = \frac{V_o}{4\pi v_i^3} \omega_i^4 \Gamma_i^P \quad (14)$$

where Γ_i^P is the mass-fluctuation phonon-scattering parameter derived from unintended impurities and the vacancy concentration, that is described in equation 15, as suggested by Klemens⁶¹:

$$\Gamma_i^P = \sum_j f_j \left\{ \left(\frac{m_j - M}{M} \right)^2 + 8\gamma_i^2 (1 + Q)^2 \left(\frac{r_j - r_o}{r_o} \right)^2 \right\} \quad (15)$$

where f_j and m_j are the mole fraction and atomic mass of the j^{th} impurity, and r_j and r_o are the atomic radius of the j^{th} impurity and the standard atomic radius of the host crystal ($\text{Al}_{1-x}\text{B}_x\text{N}$), respectively. AlN and BN are largely covalently bonded materials with 40% and 22% ionic characters, respectively, based on the Pauling electronegativity difference. Here, covalent radii were adopted to represent r_j and r_o . The experimentally determined bond lengths of AlN (1.899 Å) and BN (1.571 Å) are comparable with the calculated result (1.92 Å for AlN and 1.55 Å for BN) based on the covalent radius⁶². Q is a number calculated based on the difference of anharmonicity between bonds of impurities and the host crystal⁶¹. In this work, the Γ_i^P parameter was not calculated due to the limited information of f_j for each element and vacancy; instead it was used as a fitting parameter.

The calculation process for phonon-alloy disorder scattering is similar to that for impurity scattering; however, the mass- fluctuation phonon-scattering parameter (Γ_i^A) was calculated such

that atomic mass and size contribute to the phonon-alloy disorder scattering rate. In the virtual crystal of $\text{Al}_{1-x}\text{B}_x\text{N}$, the phonon energy dispersion was defined based on the assumption that there is long-range order for the cation sublattice. However, $\text{Al}_{1-x}\text{B}_x\text{N}$ is a solid-solution where both Al and B atoms contribute to the disorder in the virtual crystal. Therefore, Γ_i^A and τ_i^A are expressed with $Q = 4.2^{61}$ in equations 16 and 17 where l represents the elements, Al and B.

$$\frac{1}{\tau_i^A} = \frac{V_o}{4\pi v_i^3} \omega_i^4 \Gamma_i^A \quad (16)$$

$$\begin{aligned} \Gamma_i^A &= \sum_l f_l \left\{ \left(\frac{m_l - M}{M} \right)^2 + 8\gamma_i^2 (1 + Q)^2 \left(\frac{r_l - r_o}{r_o} \right)^2 \right\} \\ &= f_{Al} \left\{ \left(\frac{m_{Al} - M}{M} \right)^2 + 8\gamma_i^2 (1 + Q)^2 \left(\frac{r_{Al} - r_o}{r_o} \right)^2 \right\} \\ &\quad + f_B \left\{ \left(\frac{m_B - M}{M} \right)^2 + 8\gamma_i^2 (1 + Q)^2 \left(\frac{r_B - r_o}{r_o} \right)^2 \right\} \end{aligned} \quad (17)$$

Finally, κ_{\perp} was found according to equations 7 and 8 with the phonon scattering times calculated from equations 9-17. All parameters used in the thermal conductivity model are summarized in Table 2.

The γ_i and Γ_i^P were used as fitting parameters. Those for AlN were first found through two fitting processes: (1) fitting the calculated κ_{\perp} of pure bulk ($\Gamma_i^P = 0$) wurtzite AlN to literature values of 300 - 320 $\text{W m}^{-1} \text{K}^{-1}$ (ref.^{63,64}) and (2) fitting the measured κ_{\perp} of the 400 nm thick, unintentionally doped AlN layer sample to the calculation result where the γ_i and Γ_i^P were fitting parameters.^{62,63} The γ_i parameters for transverse (γ_T) and longitudinal (γ_L) acoustic phonons of AlN were found to be 0.30 ± 0.10 and 0.75 ± 0.27 , respectively, which are consistent with previously reported data³⁸. The Γ_i^P was determined to be 0.0126 ± 0.0026 . This result is comparable to the reported Γ_i^P value of 0.01 for a 394 nm AlN layer sample⁶⁵, grown under similar conditions (on a sapphire substrate using a reactive magnetron sputtering system). Finally, the γ_T and γ_L of BN were

determined to be 0.32 ± 0.05 and 0.99 ± 0.37 , respectively, through two data fitting processes: (1) fitting the measured κ_{\perp} of 400 nm thick $\text{Al}_{1-x}\text{B}_x\text{N}$ layer samples to the model calculation based on γ_i and Γ_i^P of AlN found above, and (2) fitting the calculated κ_{\perp} of a pure bulk wurtzite BN to the literature values of $600 - 1150 \text{ W m}^{-1} \text{ K}^{-1}$ from ab initio calculations^{66,67}. Using these parameters, the κ_{\perp} of all other $\text{Al}_{1-x}\text{B}_x\text{N}$ layer samples were calculated and compared with the measured κ_{\perp} , as shown in Figure 3.

Table 2. Parameters used in the thermal conductivity model.

		AlN	BN
Transverse acoustic phonon	γ	0.30 ± 0.10	0.32 ± 0.05
	θ_D (K)	236	482
	v_i (10^3 m s^{-1})	4.2 – 5.5	6.2 – 10
Longitudinal acoustic phonon	γ	0.75 ± 0.27	0.99 ± 0.37
	θ_D (K)	459	870
	v_i (10^3 m s^{-1})	9 – 10.2	13.2 – 17.5
	Al	B	N
m	26.982	10.806	14.007
r (Å)	1.21	0.84	0.71

γ is the Grüneisen parameter (found in this research), θ_D is the Debye temperature (reference^{59,60}), v_i is sound velocity (reference^{59,60}), m is the atomic weight, and r is the ionic radii (reference⁶²).

7.5. Device thermal modeling

In order to computationally evaluate the temperature rise of MFM capacitors and FeRAM devices, 3D finite element analysis (FEA) thermal models were constructed using COMSOL Multiphysics. In the MFM device analysis, the measured $g(t)$ was employed as a heat source in

the active ($\text{Al}_{0.93}\text{B}_{0.07}\text{N}$) layer. For the hypothetical 1T1C FeRAM simulation, a calculated $g(t)$ was used. A natural heat convection boundary condition was applied to the top surface and side walls of the devices^{68,69}. For the MFM capacitor simulation, the temperature boundary condition for the bottom surface was room temperature, similar to the experimental setup. For the 1T1C FeRAM device simulation, the bottom surface temperature was assumed to be 50°C, to account for the elevated ambient temperature caused by the transistor-level below the capacitor devices.

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